

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State:	Republic of Costa Rica
Date of Report:	4 August 2004
Dates of Additional Reports:	15 January 2008 (National Submission)
Date of Approval:	9 December 2020

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 3 March 1970	
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty of Tlatelolco, deposit 25 August 1969	
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 21 February 2013	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 2 May 2003	
5	2005 Amendment to the CPPNM	Deposit 4 May 2017	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 25 September 2001	
7	Chemical Weapons Convention (CWC)	Deposit 31 May 1996	
8	Biological Weapons Convention (BWC)	Deposit 17 December 1973	
9	Geneva Protocol of 1925	Deposit 17 March 2009	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 20 September 2001	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 24 January 2003	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol to the for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation	10 September 2010	
15	Other relevant regional legally binding instruments	Inter-American Convention against Terrorism	
16	International Atomic Energy Agency (IAEA)	Since 25 March 1965	
17	Directly relevant Arrangements	The Hague Code of Conduct (HCoC)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reiterated its commitment. (National statement of the former Chair of the 1540 Committee, Ambassador Urbina from Costa Rica, in the workshop held in Costa Rica (2009)).	
19	Membership in relevant international, regional or sub-regional organisations	The World Health Organization (WHO) The World Organisation for Animal Health (OIE) INTERPOL World Customs Organization (WCO) and its 2005 Framework of Standards to Secure and Facilitate Global Trade (SAFE Framework of Standards) International Maritime Organization (IMO) Organization of American States (OAS) World Bank International Monetary Fund (IMF) Financial Action Task Force of Latin America (GAFILAT) Inter-Parliamentary Union	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?				
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p>NW: Art. 7 of the Constitution: International treaties have higher level than laws, in conjunction with article 1 a) of the Treaty of Tlatelolco and the NPT</p> <p>CW: Art. 7 of the Constitution in conjunction with the CWC Law No. 7530 on Arms and Explosives of 18 December 2001. Art. 26 Decree No. 33015-S-RE, Regulation of the Law No. 7571 and on Chemical Safety and for the implementation of CWC. Arts. 2, 14</p> <p>BW: Art. 7 of the Constitution in conjunction with the BWC</p>	X	X	?	<p>NW/CW/BW: General Health, Law No. 5395. Art. 381 (toxic substances and products, dangerous substances, products or items (including radioactive))</p> <p>NW: Penal Code. Art. 250 ter., amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation</p> <p>CW: Law No. 7530 on Arms and Explosives of 18 December 2001, Arts. 26, 83 and 94 Penal Code. Art. 250</p>	
2	acquire	X	X	X	<p>NW: Art. 7 of the Constitution in conjunction with article 1 a) of the Tlatelolco Treaty and the NPT</p> <p>CW: Art. 7 of the Constitution in conjunction with the CWC Decree No. 33.015-S-RE. Arts. 2, 14</p>	X	X		<p>NW: Penal Code. Art. 250 ter.</p> <p>CW: Law No. 7530 on Arms and Explosives Art. 26 Penal Code. Art. 250</p>	

					BW: Art. 7 of the Constitution in conjunction with the BWC				
3	possess	X	X	X	NW: Art. 7 of the Constitution in conjunction with the Treaty of Tlatelolco CW: Art. 7 of the Constitution in conjunction with the CWC Decree No. 33.015-S-RE, Art. 2 BW: Art. 7 of the Constitution in conjunction with the BWC	X	X		NW: Penal Code. Art 250 ter., amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation CW: Penal Code. Art. 250
4	develop		X	X	CW: Art. 7 of the Constitution: International treaties have higher level than laws, in conjunction with the CWC Decree No. 33015-S-RE. Arts. 2, 14 BW: Art. 7 of the Constitution in conjunction with the BWC				
5	transport	?	?		NW/CW: General Health Law No. 5395. Art. 381	?	?		NW/CW: General Health, Law No. 5395. Art. 381 CW: Arms and Explosives Act. Art. 93 (transport of “regulated items, goods and substances”)
6	transfer	X	X	X	NW: Penal Code. Art. 250 ter., amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation CW:	X	X		NW/CW: General Health, Law No. 5395. Art. 381 NW: Penal Code. Art 250 ter., amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation CW:

				<p>Art. 7 of the Constitution: International treaties have higher level than laws, in conjunction with the CWC Decree No. 33015-S-RE. Arts. 2, 14</p> <p>BW: Art. 7 of the Constitution in conjunction with the BWC</p>				Penal Code. Art. 250		
7	use	X	X	X	<p>NW/CW/BW: Art. 7 of the Constitution in conjunction with the Convention on Terrorist Bombings Penal Code, Arts. 246 bis and 250 ter. amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation</p> <p>CW/BW: Law No. 8719/09. Art. 246 bis on attacks with radioactive, chemical or biological materials</p> <p>NW: Art. 7 of the Constitution in conjunction with the Tlatelolco Treaty</p> <p>CW: Art. 7 of the Constitution: International treaties have higher level than laws, in conjunction with the CWC Arms and Explosives Act. Art. 26, Decree No. 33015-S-RE. Arts. 2, 14</p> <p>BW: Art. 7 of the Constitution in conjunction with the 1925 Geneva Protocol</p>	X	X	X	<p>NW/CW/BW: Penal Code. Art. 246 bis, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation</p> <p>CW/BW: Law No. 8719/09. Art. 246 bis on attacks with radioactive, chemical or biological materials Law No. 7530 on Arms and Explosives. Art. 26</p> <p>NW: Penal Code. Art. 250 ter., amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation</p> <p>CW: Penal Code Art. 250, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation</p>	
8	attempt to engage in abovementioned activities	X	X	X	<p>NW/CW/BW: Penal Code. Art. 73</p>	X	X	X	<p>NW/CW/BW: Penal Code. Art. 73</p>	

9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Art. 7 of the Constitution in conjunction with the Convention on Terrorist Bombings Penal Code. Art. 274 bis, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation	X	X	X	NW/CW/BW: Penal Code. Art. 47 Penal Code. Art. 274 bis, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation
10	assist in abovementioned activities	X	X	X	NW/CW/BW: Art. 7 of the Constitution in conjunction with the Convention on Terrorist Bombings Penal Code. Art. 274 bis, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation CW: Art. 7 of the Constitution: International treaties have higher level than laws, in conjunction with the CWC Decree No. 33.015, Regulation of the Law No. 7571. Art. 14	X	X	X	NW/CW/BW: Penal Code Art. 274 bis, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation CW: Penal Code. Art. 250
11	finance abovementioned activities	X	X	X	NW/CW/BW: Penal Code. Arts. 7, 69, 70, 99, 100 and 123 amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation Law No. 8204 on drugs of use not authorized, related activities and terrorism financing, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation	X	X	X	NW/CW/BW: Law No. 8204 on drugs of use not authorized, related activities and terrorism financing, amended by Law No. 8719 of 4 March 2009 on Strengthening the Counterterrorism Legislation Financial Intelligence Unit
12	abovementioned activities related to means of delivery ¹		X	X	CW: Art. 7 of the Constitution in conjunction with the CWC Decree No. 33.015, Regulation of the Law No. 7571. Art. 3.4 c) (definition) BW: Art. 7 of the Constitution in conjunction with the BWC				

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework					Enforcement and civil/criminal penalties					Remarks
		X / ?			Source document	X / ?			Source document			
		N W	C W	B W *		N W	C W	B W				
1	Measures to account for production	X	X	X	<p>CW/BW: Decree No. 28113-S –on the Register of Dangerous Materials, as amended</p> <p>NW: INFCIRC/278, SQP and Additional Protocol (17 June 2011) Law No.4383 on the Peaceful Uses of Atomic Energy of 18 August 1969. Chapter III and IV Law No. 24037-S (1994) on the Protection against ionizing radiations. Art. 1</p> <p>CW: Decree No. 33.015-S-RE, Regulation of the Law No. 7571. Arts. 1, 5 and 8</p>	X	X	X	<p>NW/CW: General Health, Law No. 5395. Art. 381</p> <p>CW/BW: Decree No. 28113-S, as amended. Art. 7</p> <p>CW: Decree No. 33.015, Regulation of the Law No. 7571 and others of the 20 of April of 2006</p>			
2	Measures to account for use	X	X	X	<p>CW/BW: Executive Decree No. 28.930-S- Register of Dangerous Materials, as amended</p> <p>NW: INFCIRC/278, SQP and Additional Protocol (17 June 2011)</p>	X	X	X	<p>NW/CW: Law No. 24037-S (1994) on the Protection against ionizing radiations and Public Health Act (Book III) General Health, Law No. 5395. Art. 381</p> <p>CW/BW: Decree No. 28113-S, as amended. Art. 7</p>			

				<p>Law No. 4383 on the Peaceful Uses of Atomic Energy of 18 August 1969. Chapter III and IV Law No. 24037-S (1994) on the Protection against ionizing radiations. Art. 1</p> <p>CW: Decree No. 33.015-S-RE, Regulation of the Law No. 7571. Arts. 1, 5 and 8</p>				<p>CW: Decree No. 33.015, Regulation of the Law No. 7571 and others of the 20 of April of 2006. Art. 18</p>		
3	Measures to account for storage	X	X	X	<p>CW/BW: Decree No. 28113-S –on the Register of Dangerous Materials, as amended</p> <p>NW: INFCIRC/278, SQP and Additional Protocol (17 June 2011) Law 24.037-S (1994) on the Protection against ionizing radiations. Art. 20 (on radiological sources)</p> <p>CW: Decree No. 33.015-S-RE, Regulation of the Law No. 7571. Arts. 1, 4 (3i), 5 and 8</p>	X	X	X	<p>NW/CW: General Health, Law No. 5395. Art. 381</p> <p>CW/BW: Decree No. 28113-S. Art. 7</p> <p>CW: Decree No. 33.015, Regulation of the Law No. 7571 and others of the 20 of April of 2006. Art. 18</p>	
4	Measures to account for transport	X	X	X	<p>CW/BW: Decree No. 28113-S –on the Register of Dangerous Materials as amended. Art. 4</p> <p>NW: Law No. 4383, 18 August 1969. Chapter III and IV Law No. 24037-S (1994) on the Protection against ionizing radiations. Arts. 34 and 35</p> <p>CW: Decree No. 33.015, Regulation of the Law No.</p>	X	X	X	<p>NW/CW: General Health, Law No. 5395. Art. 381</p> <p>CW/BW: Decree No. 28.113-S. Art. 7</p> <p>CW: Decree No. 33015, Regulation of the Law No.</p>	

				7571 and others of the 20 of April of 2006. Art. 2				7571 and others of the 20 of April of 2006. Art. 18	
5	Measures to secure production	N A		NW: SQP	N A			NW: SQP	
6	Measures to secure use	N A		NW: SQP	N A			NW: SQP	
7	Measures to secure storage	N A		NW: SQP	N A			NW: SQP	
8	Measures to secure transport								
9	Physical protection measures	N A		NW: SQP	N A			NW: SQP	
10	Personnel Reliability								

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Atomic Energy Commission. Law No. 4383, 18 August 1969 - Executive Decree No. 2468-RE, 8 August 1972	
2	Licensing of nuclear installations/entities/ use of materials	Law No. 4383, Art 21, 18 August 1969 - Atomic Energy Commission Law No. 24037-S (1994) on the Protection against ionizing radiation	
3	IAEA Safeguards Agreements	INFCIRC/278 of 22 November 1979 and amended SQP Additional Protocol, 17 June 2011	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database	Participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency	

		Co-operation Agreement for the Promotion of Nuclear Science and Technology in Latin America and the Caribbean (ARCAL)	
10	National legislation and regulations related to nuclear material including CPPNM		

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	National Chemical Weapons Authority (Decree No. 33015-S-RE. Art. 4 para. 3)	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	National Chemical Weapons Authority (Decree No. 33015-S-RE. Art. 4 para.3) Decree No. 28113-S on the Register of Dangerous Materials and regulations Executive Decree No. 28930-S	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
1 Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Decree No. 28113-S on the Register of Dangerous Materials and regulations	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X /?			Source document	X /?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p>NW/CW/BW: Law No. 7757 General Customs Law, as amended. Art. 8 The Central American Uniform Customs Code Law No. 8360, (Central American Uniform Customs Code – CAUCA IV), as amended. Art. 63 Decree No. 31347/2003. Regulation of the Central American Common Custom Code (RECAUCA IV). Art. 5 o)</p>	X	X	X	<p>NW/CW/BW: Decree No. 25270-H/ 1996 Regulation of Customs Law. Art. 35 I) National Customs Authority</p>			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X		<p>NW: Law No. 4383, del 18 August 1969 -Atomic Energy Commission Law No. 24037-S (1994) on the Protection against ionizing radiations. Chapter XIII</p> <p>CW: Decree No. 33015-S-RE. Art. 14 h (ANAQ)</p>	X	X	X	<p>NW: Atomic Energy Commission Ministry of Health</p> <p>CW: Decree No. 33015-S-RE National Authority (Ministry of Health, Ministry of Foreign Affairs, Ministry of Finance, Ministry of Public Safety and Government, Ministry of Agriculture and Livestock) Law 7530- Arms and Explosives Act. Arts. 91 and 94</p> <p>BW: Ministry of Agriculture</p>			
3	Border control detection measures											

4	Control of brokering								
5	Export control legislation in place	X	X	?	<p>NW: Law No. 4383 on the Peaceful Uses of Atomic Energy of 18 August 1969. Art. 15 b) Law No. 24037-S (1994) on the Protection against ionizing radiations. Chapter XIII</p> <p>CW: Decree No. 33015-S-RE, Arts. 4 (i), 14 (h),</p> <p>BW: Law No. 7788 “Biodiversity Law” of 27 May 1998</p>		X		<p>CW: Decree No. 33015-S-RE. Art. 18</p>
6	Licensing provisions and Authority	X	X		<p>NW: Law No.4383 on the Peaceful Uses of Atomic Energy of 18 August 1969. Art. 15 (b) Law No. 24037-S (1994) on the Protection against ionizing radiations. Chapter XIII</p> <p>CW: Decree No. 33015-S-RE. Art 4 (i), (j)</p>	X	X		<p>NW: Atomic Energy Commission</p> <p>CW: National CWC Authority (AN AQ)</p>
7	Control lists of materials, equipment and technology	?	X		<p>NW: Law No. 24037-S (1994) on the Protection against ionizing radiations</p> <p>CW: Decree No. 33015-S-RE. Art. 3 (30)</p>		X		<p>CW: National CWC Authority (AN AQ)</p>
8	Intangible technology transfers								
9	Inclusion of means of delivery								
10	End-user controls								
11	Catch all clause								
12	Transit control	X	X	X	<p>NW/CW/BW: The Central American Uniform Customs Code Law No. 8360, (Central American Uniform</p>				

					Customs Code - CAUCA IV). Arts. 94 and 95 Central American Common Custom Code (RECAUCA)					
13	Trans-shipment control	X	X	X	NW/CW/BW: The Central American Uniform Customs Code Law No. 8360, (Central American Uniform Customs Code - CAUCA IV). Art. 66 Regulation of the Central American Common Custom Code (RECAUCA)					
14	Re-export control	X	X	X	NW/CW/BW: The Central American Uniform Customs Code Law No. 8360, (Central American Uniform Customs Code - CAUCA IV). Art. 107 Regulation of the Central American Common Custom Code (RECAUCA)					
15	Control over financing of exports/transshipments that would contribute to proliferation									
16	Control over services related to exports/ transshipments that would contribute to proliferation including transportation									

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	<p>Ms. Marcela Zamora Ovares (Counsellor, Division of Disarmament, Peace and Security, Ministry of Foreign Affairs and Worship) Tel: +506-2539-5448 Email: mzamora@rree.go.cr, macelazamorarreece@gmail.com</p> <p>Ms. Paula Maria Coto Ramirez (Minister Counsellor, Permanent Mission of Costa Rica to the United Nations) Tel: 212-986-6373 ext. 1 Email: pcoto@missioncrun.org</p>
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	