

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State: **Dominican Republic**

Date of Report: **7 December 2009**

Dates of Additional Reports: **5 November 2015**

Date of Approval: **9 December 2020**

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT) Deposit 24 July 1971	
2	Nuclear Weapons Free Zone/ Protocol(s) Treaty of Tlatelolco: Deposit 14 June 1968	
3	International Convention for the Suppression of Acts of Nuclear Terrorism Deposit 11 June 2008	
4	Convention on Physical Protection of Nuclear Material (CPPNM) Deposit 30 April 2009	
5	2005 Amendment to the CPPNM Deposit 22 September 2014	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force) Deposit 4 September 2007	
7	Chemical Weapons Convention (CWC) Deposit 27 March 2009	
8	Biological Weapons Convention (BWC) Deposit 23 February 1973	
9	Geneva Protocol of 1925 Deposit 8 December 1970	
10	1997 International Convention for the Suppression of Terrorist Bombings Deposit 21 October 2008	
11	1999 International Convention for the Suppression of the Financing of Terrorism Deposit 4 September 2008	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 9 March 2010	
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 9 March 2010	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation	Deposit 27 November 2012	
15	Other relevant regional legally binding instruments	Inter-American Convention Against Terrorism (deposit 8 October 2006)	
16	International Atomic Energy Agency (IAEA)	Since 1957	
17	Directly relevant Arrangements	Proliferation Security Initiative (PSI) The Hague Code of Conduct (HCoC): member since 24 July 2017	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports its commitment to refrain from providing any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery.	
19	Membership in relevant international, regional or sub-regional organisations	World Health Organization (WHO) - International Health Regulations (2005) World Organisation for Animal Health (OIE) INTERPOL World Customs Organization (WCO) and its Framework of Standards to Secure and Facilitate Global Trade (SAFE) Programme International Maritime Organization Organization of American States (OAS) Central American Regional Integration System (SICA) World Bank Group International Monetary Fund (IMF) Financial Action Task Force of Latin America (GAFILAT) Cotonou Agreement (ACP member State) World Trade Organization (WTO) International Civil Aviation Organization (ICAO)	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p>NW/CW/BW: Constitution of 2015. Art. 67, paragraph 2</p> <p>NW: Constitution of 2015, Art. 26 Law No. 76/2002, Code of Criminal Procedure, Art. 1 “Constitution and International Treaties take precedence over the (national) law. Applying the law, courts shall ensure the effective application of the Constitution and of international treaties and their interpretation by the courts established thereby, the standards and principles of which shall apply immediately in case subject to their legislation and shall always take precedence over the law” in conjunction with Article 1 a) of Treaty of Tlatelolco and NPT</p> <p>CW: Constitution of 2015, Art. 26 Law No. 76/2002, Code of Criminal Procedure, Art. 1 in conjunction with the CWC</p> <p>BW: Constitution of 2015, Art. 26 Law No. 76/2002, Code of Criminal Procedure, Art. 1 in conjunction with the BWC</p>	X	X	X	<p>NW/CW/BW: Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 75</p> <p>NW: Law No. 267/2008 on terrorism. Art. 13 f)</p>	
2	acquire	X	X	X	<p>NW: Law No. 267/2008 on terrorism. Art. 13 a) Constitution of 2015. Arts. 26 and 67, paragraph 2</p>	X			<p>NW: Law No. 267/2008 on terrorism, Art. 13 a)</p>	

				<p>Law No. 76/2002, Code of Criminal Procedure, Art. 1 in conjunction with Article 1 a) of Treaty of Tlatelolco and NPT</p> <p>CW: Constitution of 2015, Art. 26 Law No. 76/2002, Code of Criminal Procedure, Art. 1 in conjunction with the CWC</p> <p>BW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1, in conjunction with BWC</p>					
3	possess	X	X	X	<p>NW/CW/BW: Constitution of 2015., Art. 67, para. 2 Law No. 267/2008 on terrorism. Art. 17</p> <p>NW: Law No. 267/2008 on terrorism. Art. 13 a) Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1 in conjunction with article 1 b) of Treaty of Tlatelolco</p> <p>CW: Constitution of 2015. Art. 26 Law No 76/2002, Code of Criminal Procedure. Art.1 in conjunction with the CWC</p> <p>BW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1 in conjunction with the BWC (retain)</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Art. 17</p>
4	develop	X	X	X	<p>NW/CW/BW: Constitution of 2015. Art. 67, para. 2</p> <p>NW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1 in conjunction with article 1 b) of the Treaty of Tlatelolco</p>				

					<p>CW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1, in conjunction with the CWC</p> <p>BW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art. 1 in conjunction with the BWC</p>				
5	transport	X	X	X	<p>NW/CW/BW: Constitution of 2015. Art. 67, para. 2 Law No. 267/2008 on terrorism. Art. 10 e) (for maritime transport) and Art. 17</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Art. 10 e) (For maritime transport) and Art. 17 Law 491/2006 on civil aviation. Art. 310</p> <p>NW: Law No. 267/2008 on terrorism. Art. 13 e)</p>
6	transfer	X	X	X	<p>NW/CW/BW: Constitution of 2015. Art. 67, para. 2</p> <p>NW: Law No. 267/2008 on terrorism. Art. 13 a)</p> <p>CW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure, Art. 1 in conjunction with the CWC</p> <p>BW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art. 1 in conjunction with BWC</p>	X			<p>NW: Law No. 267/2008 on terrorism. Art. 13 a)</p>
7	use	X	X	X	<p>NW/CW/BW: Constitution of 2015. Art. 26 in conjunction with the 1997 International Convention for the Suppression of Terrorist Bombings and Art. 67, para. 2 Law No. 267/2008 on Terrorism and creation of Counterterrorism National Committee and Directorate. Art. 7</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on Terrorism and creation of Counterterrorism National Committee and Directorate. Arts. 7, 10 (unloading from a vessel radioactive material, WMDs)</p>

				<p>CW/BW: Law No. 267/2008 on terrorism. Art. 7</p> <p>NW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art. 1 in conjunction with Treaty of Tlatelolco. Art. 1, para. 1. a Law No. 267/08 on terrorism. Art. 7 and 13 a)</p> <p>CW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art. 1 , in conjunction with the CWC</p> <p>BW: Constitution of 2015, Art. 26 Law No. 76/2002, Code of Criminal Procedure, Art.1 in conjunction with the 1925 Geneva Protocol</p>			<p>CW/BW: Law 267/2008 on terrorism. Art. 7</p> <p>NW: Law No. 267/2008 on terrorism. Art. 13 a)</p>			
8	attempt to engage in abovementioned activities	X	X	X	<p>NW/CW/BW: Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 76 Law No. 267/2008 on terrorism. Art. 23</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Art. 23 Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 76</p>	
9	participate as an accomplice in abovementioned activities	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Arts. 17 and 19 Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 76 Law No. 155/2017 on money laundering and terrorism financing. Arts. 82 (replace Law No. 72/2002) and 5 on terrorism financing</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Arts. 17, 19 Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 76 Act No. 155/2017 on money laundering and terrorism financing. Art. 82 (replace Law No. 72/2002) and 5 on terrorism financing</p>	
10	assist in abovementioned activities	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Arts. 17 and 19 Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 76</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Arts. 17, 19 Law No. 631/2016 on Control and regulation of arms, ammunitions and related materials. Art. 76</p>	

					<p>CW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1 in conjunction with the CWC</p> <p>BW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art.1 in conjunction with the BWC</p>				
11	finance abovementioned activities	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism. Art. 25 Law No. 155/2017 on money laundering and terrorism financing. Art. 5 on terrorism financing Decree 407/2017 on the regulation of the Law 155/2017 regarding the Preventive Asset Freezing according to UNSCR Resolutions 1267 (1999), 1989 (2011), 1988 (2011), 1373 (2001) 1718 (2006) and successive resolutions</p>	X	X	X	<p>NW/CW/BW: Law No. 267/2008 on terrorism Art. 25 Law No. 155/2017 on money laundering and terrorism financing. Chapter VI and VII</p>
12	abovementioned activities related to means of delivery ¹		X	X	<p>CW: Constitution of 2015. Art. 26 Law No. 76/2002, Code of Criminal Procedure. Art. 1 in conjunction with the CWC</p> <p>BW: Constitution of 2015. Art. 26 Law No. 76-02 of 2 July 2002, Code of Criminal Procedure. Art. 1 in conjunction with the BWC</p>				

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document	X / ?			
		N W	C W	B W *		N W	C W	B W	
1	Measures to account for production	X	?	?	<p>NW/CW/BW: Law No. 64/00 "General Act on the Environment and Natural Resources". Arts. 97 to 101</p> <p>NW: INFCIRC/201 Small Quantities Protocol (amended October 2006) Decree No. 414/1991. Art. 4 Decree No. 244/1995. Titles III and IV</p>	X			<p>NW: Decree No. 414/1991. Art. 9</p>
2	Measures to account for use	X	X	X	<p>NW: INFCIRC/201 Small Quantities Protocol (amended October 2006) Decree No. 414/1991. Art. 4 Decree No. 244/1995. Titles III and IV</p> <p>CW/BW: Act No. 64/00. Arts. 97 to 101</p> <p>BW: General Health Law No. 42/2001. Art. 70</p>	X		X	<p>NW: Decree No. 244/1995. Titles III and IV Law 267/2008 on terrorism. Art. 13 a)</p> <p>BW: General Health Law No. 42/2001. Chapter II, Arts. 148 and Art. 153 on sanctions</p>
3	Measures to account for storage	X	?	?	<p>NW/CW/BW: Act No. 64/00. Arts. 97 to 101</p> <p>NW: INFCIRC/201 Small Quantities Protocol (amended October 2006)</p>	X	X	X	<p>NW/CW/BW: Act 267/2008 on Terrorism. Art. 22</p> <p>NW: Decree No. 414/1991. Art. 9</p>

				Decree No. 414/1991. Art. 4 Decree No. 244/1995. Titles III and IV				
4	Measures to account for transport	X	X	X NW/CW/BW: Law No. 267/2008 on terrorism (maritime transportation) NW: Decree No. 244/1995 (Rules for radiological protection). Titles III and IV Decision CNE-AD-0036-2013 approving regulations on the physical security of radioactive sources, including their transport Decree No. 1680/1964. Art. 8 Decree No. 414/1991. Art. 4	X			NW: Decree No. 414/1991. Art. 9 Law 267/2008 on terrorism. Art. 13 a)
5	Measures to secure production	N A	?	? CW/BW: Act No. 64/2000. Arts. 97 to 101 (labelling specifications for use) NW: SQP	N A			NW: SQP
6	Measures to secure use	N A	X	X CW/BW: General Health Law No. 42/2001. Art. 47 (Safety on dangerous wastes) Law No. 64/2000. Arts. 97 to 101 (on dangerous wastes) NW: SQP	N A	X	X	CW/BW: General Health Law No. 42/2001. Art. 154 (Safety on dangerous wastes) NW: SQP
7	Measures to secure storage	N A	X	X CW/BW: Law No. 64/2000. Arts. 97 to 101 NW: SQP	N A			NW: SQP
8	Measures to secure transport	X	X	NW/CW: Decree No. 258/2020 on the Regulation of cargo transportation. Art. 63				

9	Physical protection measures	N A			NW: SQP	N A			NW: SQP	
10	Personnel Reliability									

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Ministry of Energy and Mines. Vice-Ministry of Nuclear Energy. National Commission of Energy. Law 100/2013. Art. 7, Law 125/2001 and Bylaw for application Law 125/2001	
2	Licensing of nuclear installations/entities/ use of materials	Ministry of Energy and Mines National Commission of Energy Bylaw 3432 of 1958 as amended. Art. 11	
3	IAEA Safeguards Agreements	Safeguards Agreement in force 10 March 1975 Additional Protocol signed 20 Sept 2007 Small Quantities Protocol (amended October 2006)	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database	Participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		

8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	<p>Convention on Early Notification of a Nuclear Accident Co-operation Agreement for Research, Development and Training Related to Nuclear Science and Technology (RCA) Convention on the Physical Protection of Nuclear Material Amendment to the Convention on the Physical Protection of Nuclear Material</p>	
10	National legislation and regulations related to nuclear material including CPPNM	<p>Decree No. 1680/1964 Bylaw No. 3432/1958 as amended</p>	

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Ministry of Defense	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials		
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
<p>1</p> <p>Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials</p>	<p>General Health Law No. 42/2001. Art. 105</p>	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X /?			Source document	X /?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Act No.3489. Customs Regime Law No. 226/2006 on Customs. Art. 3 MIDE, Decree 325/2006 (CESFRONT) on control of land borders	X	X	X	NW/CW/BW: Joint Military Staff Intelligence Directorate of the Ministry of Defence Specialized Port Security Unit Specialized Airport Security Unit Specialized Land Border Security Unit			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Law No. 13/2013 on Dominican Republic's Armed Forces. Art. 8 10) and Section XI Decree No. 746/2000 on creation of Specialized Port Security Unit Law No. 188/2011 on Airport security and civil aviation. Chapter III Art. 5 and 6 Law No. 188/2011 on Airport security and civil aviation Decree No. 28/1997 on creation of (CESESA) in airport security, Specialized Airport Security Unit Decree No. 325/2006 on creation of Specialized Land Border Security Unit	X	X	X	NW/CW/BW: Act No. 188/2011 on Airport security and civil aviation. Chapter II on crime pursuit CW: Ministry of Defense			
3	Border control detection measures	X	X	X	NW/CW/BW: Decree No. 144/2005. Specialized Port security (CESEP) Decree No. 325-06 (CESFRONT) on land border security	X	X	X	NW/CW/BW: Specialized Port Security Unit Specialized Airport Security Unit Specialized Land Border Security Unit Joint Military Staff Intelligence Directorate of the Ministry of Defence			

				Decree No. 28/1997 on creation of (CESESA) in airport security, Specialized Airport Security Unit				NW: Megaport Initiative	
4	Control of brokering								
5	Export control legislation in place	X			NW: Decree No. 244/1995 (Rules for radiological protection). Art 2				
6	Licensing provisions and Authority	X			NW: Decree No. 244/1995 (Rules for radiological protection). Art. 4	X		NW: National Commission of Nuclear Affairs and the National Council for Radiological Protection Decree No. 244/1995 (Rules for radiological protection). Title VII on violations	
7	Control lists of materials, equipment and technology	X	X	X	NW/CW/BW: National Operational List, General Customs Directorate				
8	Intangible technology transfers								
9	Inclusion of means of delivery								
10	End-user controls								
11	Catch all clause								
12	Transit control	X	X	X	NW/CW/BW: Law No. 3489/1953. Arts. 135 to 139				
13	Trans-shipment control								
14	Re-export control	X	X	X	NW/CW/BW: General Norm 02/2019 on the export of goods. Customs General Directorate. Arts. 2 and 3	X	X	X NW/CW/BW: General Norm 02/2019 on the export of goods. Customs General Directorate. Art. 13	

15	Control over financing of exports/transshipments that would contribute to proliferation									
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation									

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	While the Dominican Republic participates in an ongoing process to ensure that it complies with international requirements, it must continue to receive advice and technical support in some related areas from international organizations, including the United Nations Office on Drugs and Crime and the Group of Experts from the Security Council Committee established pursuant to resolution 1540 (2004).
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	Mr. Francisco Ovalle Pichardo (Brigadier General, Army of the Dominican Republic) Tel: +809-309-9280 Email: faop24@gmail.com
7	Voluntary National Implementation Action Plan (NAP)	With a note dated 5 November 2015, the Permanent Mission of the Dominican Republic to the United Nations submitted its 1540 National Implementation Action
8	1540 Committee visits to States	Peer review process between the Governments of Dominican Republic and Panama. 26-29 March 2019