

# APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

<b>State:</b>	<b>Republic of Estonia</b>
<b>Date of Report:</b>	<b>29 October 2004</b>
<b>Dates of Additional Reports:</b>	<b>17 December 2007</b> <b>1 June 2020</b>
<b>Date of Approval:</b>	<b>9 December 2020</b>

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)	
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 7 January 1992	
2	Nuclear Weapons Free Zone/ Protocol(s)		
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Signed 14 September 2005	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 8 June 1994	
5	2005 Amendment to the CPPNM	Deposit 16 May 2008	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 13 August 1999	
7	Chemical Weapons Convention (CWC)	Deposit 26 May 1999	
8	Biological Weapons Convention (BWC)	Deposit 1 July 1993	
9	Geneva Protocol of 1925	Deposit 28 August 1931	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 10 April 2002	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 22 May 2002	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 16 May 2008	
13	2005 Protocol to the Protocol to the Convention for the suppression of acts against the safety of fixed platforms located on the continental shelf	Deposit 16 May 2008	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	EURATOM Treaty	
16	International Atomic Energy Agency (IAEA)	Member since 31 January 1992	
17	Directly relevant Arrangements	Nuclear Suppliers Group (NSG) Australia Group (AG) Wassenaar Arrangement (WA) PSI participating State GICNT participating State The Hague Code of Conduct (HCOC)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports that it does not provide any form of support to non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery and that any such support is prohibited under its law.	
19	Membership in relevant international, regional or sub-regional organisations	Council of Europe European Union International Maritime Organization (IMO) International Monetary Fund (IMF) Inter-Parliamentary Union (IPU) INTERPOL North Atlantic Treaty Organization (NATO) Organisation for Economic Co-operation and Development (OECD) Organization for Security and Cooperation in Europe (OSCE) World Bank Group	

		World Customs Organization (WCO) World Health Organization (WHO) World Organisation for Animal Health (OIE) World Trade Organization (WTO)	
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## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X/?			Source document of national implementation law	X/?			Source document	
		N W	C W	B W		N W	C W	B W		
1	Manufacture	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93 and 237	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93 and 237 Art. 237: (the manufacture, distribution or use of prohibited weapons) Art. 93: (A person who designs, manufactures, stores, acquires, hands over, sells or provides or offers for use in any other manner a chemical, biological or bacteriological weapon or any other internationally prohibited weapon of mass destruction or other weapon, or essential components thereof, shall be punished by 3 to 12 years' imprisonment)	
2	Acquire	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	
3	Possess	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	
4	Develop	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	
5	Transport	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93 and 421 <sup>2</sup> Estonian Strategic Goods Act, as amended, Art. 3	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93 and 421 <sup>2</sup>	
6	Transfer	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93 and 237 Estonian Strategic Goods Act, as amended, Art. 5	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93 and 237 (distribution)	

7	use	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended Arts. 93, 103 and 237	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 93, 103 and 237
8	attempt to engage in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, Art. 22 No 1	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, Art. 22 No 1
9	participate as an accomplice in abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended Arts. 21, 22 and 237 No 1	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 21, 22 and 237 No 1
10	assist in abovementioned activities	X	X	X	<b>NW/CW/BW</b> Estonian Penal Code, as amended, Arts. 21, 22 and 237 No 2	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 21,22 and 237 No 2
11	finance abovementioned activities	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended Arts. 237 No 3 and 394 Money Laundering and Terrorist Financing Prevention Act, 2004	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, Arts. 237 No 3 and 394
12	abovementioned activities related to means of delivery <sup>1</sup>	?	?	?	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93	?	?	?	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 93

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

**III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>**

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W *		N W	C W	B W				
1	Measures to account for production	X	?		<p><b>NW:</b> INFCIRC/193/Add. 8 (1 Dec 2005) EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards Radiation Act, June 2016, as amended, Arts. 32, 33, 37 and 68 Regulation of the Minister of the Environment on detailed requirements for applications for radiation practice licenses, lists of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016, Arts. 1, 4 and 8 General Part of the Environmental Code Act, Art 40<sup>1</sup> The regulation of the Minister of the Environment on the environmental decisions information system and its statutes, 2017, Arts. 3, 7, 8 and 12</p> <p><b>CW:</b> Chemicals Act, as amended, Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2015</p>	X	?		<p><b>NW:</b> EURATOM Treaty, Art. 83 Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412 (Unlawful radiation practice) Strategic Goods Act, Arts. 93, 94 and 95</p> <p><b>CW:</b> Chemicals Act, as amended Strategic Goods Act, Arts. 93, 94 and 95</p>			
2	Measures to account for use	X	?	X	<p><b>NW:</b> INFCIRC/193/Add.8 (1 Dec 2005) EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards Radiation Act, June 2016, as amended, Arts. 32, 33 and 68</p>	X	?		<p><b>NW:</b> EURATOM Treaty, Art. 83 Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412 Strategic Goods Act, Arts. 93, 94 and 95</p>			

				<p>Regulation of the Minister of the Environment on detailed requirements for applications for radiation practice licenses, lists of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016, Arts 1, 4 and 8</p> <p>General Part of the Environmental Code Act, Art 40<sup>1</sup></p> <p>The regulation of the Minister of the Environment on the environmental decisions information system and its statutes, 2017, Arts 3, 7, 8 and 12</p> <p><b>CW:</b> Chemicals Act, as amended Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2015</p> <p><b>BW:</b> Directive 2000/54/EC (Prior notification must be given to the competent authority at least 30 days before the commencement of work with group 2, 3 or 4 biological agents)</p>				<p><b>CW:</b> Chemicals Act, as amended Strategic Goods Act, Arts. 93, 94 and 95</p>	
3	Measures to account for storage	X	?	<p><b>NW:</b> INFCIRC/193 (1 Dec 2005) EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards Radiation Act, June 2016, as amended, Arts. 32, 33, 37 and 68 Regulation of the Minister of the Environment on detailed requirements for applications for radiation practice licenses, lists of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016, Arts. 1 and 4 Regulation of Minister of Environment on the Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2016, Art. 11</p>	X	?	<p><b>NW:</b> EURATOM Treaty, Art. 83 Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412 Strategic Goods Act, Arts. 93, 94 and 95</p>		



				<p>General Part of the Environmental Code Act, Art 40<sup>1</sup></p> <p>The regulation of the Minister of the Environment on the environmental decisions information system and its statutes, 2017, Arts 3, 7, 8 and 12</p> <p><b>CW:</b> Chemicals Act, as amended Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2015</p>				<p><b>CW:</b> Chemicals Act, as amended Strategic Goods Act, Arts. 93, 94 and 95</p>	
4	Measures to account for transport	X	X	X	<p><b>NW/CW/BW:</b> EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (adapting ADR, RID and ADN and for intra-EU transfers), as amended Party to ADR and RID agreements Road Transport Act, 2017, Art 35 The regulation of the Government on the list of those hazardous substances which are not allowed to be transported in the inland maritime waters through transit, 2003, Art. 1 Strategic Goods Act, Art. 77</p> <p><b>NW:</b> EURATOM Regulation No 302/2005 of 8 February 2005 on the application of Euratom safeguards, Art. 26 Radiation Act, June 2016, as amended, Art. 32, 33, 41, 68, 82, 83 and 88 State Borders Act, Arts 14 and 14<sup>1</sup> Regulation of the Minister of the Environment on detailed requirements for applications for radiation practice licenses, lists of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016, Arts. 1, 4 and 8 General Part of the Environmental Code Act, Art 40<sup>1</sup> The regulation of the Minister of the Environment on the environmental decisions</p>	X	?	<p><b>NW:</b> Radiation Act, June 2016, as amended, Art. 120 Estonian Penal Code, as amended, Arts. 411 and 412 Strategic Goods Act, Arts. 93, 94 and 95</p>	

				information system and its statutes, 2017, Arts. 3, 7, 8 and 12			<b>CW:</b> Strategic Goods Act, Arts. 93, 94 and 95	
5	Measures to secure production	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 32 and 68 Regulation of the Minister of the Environment on detailed requirements for applications for radiation practice licenses, lists of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016</p> <p>The regulation of the Minister of Environment on requirements for the premises where radiation sources are located, for marking the premises and radiation sources, categories of radioactive sources and radionuclide activity levels, 2016</p>	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412</p>	
6	Measures to secure use	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 32, 40 and 68 The regulation of the Minister of Environment on detailed requirements for applications for radiation practice licenses, lists of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016</p> <p>The regulation of the Minister of Environment on requirements for the premises where radiation sources are located, for marking the premises and radiation sources, categories of radioactive sources and radionuclide activity levels, 2016</p>	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412</p>	
7	Measures to secure storage	X		<p><b>NW:</b> Radiation Act, as amended, Arts. 32, 40 and 68 The regulation of the Minister of Environment on detailed requirements for applications for radiation practice licenses, lists</p>	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412</p>	

				<p>of data of applications and radiation practice licenses, and lists of data characterizing radiation sources used to keep lists of nuclear materials, 2016</p> <p>The regulation of the Minister of Environment on requirements for the premises where radiation sources are located, for marking the premises and radiation sources, categories of radioactive sources and radionuclide activity levels, 2016</p>				
8	Measures to secure transport	X	X	X	<p><b>NW/CW/BW:</b> EU Directive 2008/68/EC of 24 September 2008 on the inland transport of dangerous goods (adapting ADR, RID and for intra EU transfers), as amended Party to ADR and RID agreements</p> <p><b>NW:</b> Radiation Act, as amended, Arts. 32, 41 and 68</p>	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 117 and 118 Estonian Penal Code, as amended, Arts. 411 and 412</p>
9	Physical protection measures	X		X	<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 32 and 34 The regulation of the Minister of Environment on requirements for the premises where radiation sources are located, for marking the premises and radiation sources, categories of radioactive sources and radionuclide activity levels, 2016</p> <p><b>BW:</b> Directive 2000/54/EC, Annex</p>	X		<p><b>NW:</b> Radiation Act, June 2016, as amended, Arts. 117 and 118</p>
10	Personnel Reliability							

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

**IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Ministry of Environment (policy and strategy on radiation protection and nuclear safety) The Environmental Board (authorisation of radiation practices and facilities) The Environmental Inspectorate (inspection and enforcement of radiation practices and facilities) Estonian Internal Security Service – (gathers intelligence to prevent illegal transit of CBRN materials or dual use and strategic goods)	
2	Licensing of nuclear installations/entities/ use of materials	Radiation Act of 2016 (Art 79 of the Radiation Act lays down that a radiation practice license for the operation of a new nuclear facility can be applied for after the Estonian Parliament Riigikogu has adopted a decision on commissioning of a nuclear facility, the relevant nuclear legislation is required, in case Estonia decides to start using nuclear energy)	
3	IAEA Safeguards Agreements	INFCIRC/193/Add.8 (1 December 2005)	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database	Participates (National contact point is the Environmental Board)	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		

8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	There are no nuclear power plants or facilities operating with nuclear fuel cycle in Estonia, neither any activities related to nuclear fuel cycle.	
9	Other Agreements related to IAEA	Revised Supplementary Agreement Concerning the Provision of Technical Assistance, In force 2001 Vienna Convention on Civil Liability for Nuclear Damage, 1994 Convention on Early Notification of a Nuclear Accident, deposit 9 May 1994 Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, deposit 9 May 1994 Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention, deposit 9 May 1994 Convention on Nuclear Safety, deposit 3 February 2006 Joint Convention on the Safety of Spent Fuel Management and the Safety of Radioactive Waste Management, deposit 3 February 2006	
10	National legislation and regulations related to nuclear material including CPPNM	Radiation Act Penal Code, Art. 412	

**V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Health Board Ratification Act of the CWC	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials		
3	Old or abandoned chemical weapons		

**VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
1 Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Directive 2000/54/EC Environmental Impact Assessment and Environmental Management System Act Ministry of Environment	

**VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials**

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<b>NW/CW/BW:</b> Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended; especially Arts. 264 and 267 Regulation 2015/2447 (implementing provisions to the Community Customs Code Regulation (EC) No 1889/2005 of the European Parliament and of the Council of 26 October 2005 on controls of cash entering or leaving the Community Customs Act, 2004 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Customs Act, 2004  <b>NW:</b> Radiation Act, as amended Estonian Penal Code, as amended, Arts. 411 (Unlawful radiation practice), 421-421 <sup>2</sup>			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	<b>NW/CW/BW:</b> Customs Act, 2004 Strategic Goods Act, as amended Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended, especially Art. 198	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended, Arts. 1 and 84 (Ministry of Defence) Strategic Goods Commission Estonian Internal Security Service Tax and Customs Board Police and Border Guard Board			
3	Border control detection measures	X	X	X	<b>NW/CW/BW:</b> Union Customs Code, as amended, Arts. 13 and 15, title IX Scanners and X-ray	X	X	X	<b>NW/CW/BW:</b> Union Customs Code, as amended, Art. 22 Scanners and X-ray			



4	Control of brokering	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended, Art. 5 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> State Register of Brokers (Government Regulation no. 61 of 9 March 2004) Estonian Penal Code, as amended, Arts. 22, 391, 392 and 393
5	Export control legislation in place	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, as amended Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Arts. 391, 392 and 393 Customs Act, Chapter 9, Art. 65 point 4 Strategic Goods Act, as amended, Art. 59 Council regulation (EC) n. 428/2009 of 5 May 2009, Art. 24
6	Licensing provisions and Authority	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items, Art. 3, 4, 5, Chap. III; Art. 9; (intra-Community transfers do not require an authorisation, except for items listed in Annex IV, Art. 22); Annexes IIa to IIc. Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, Art. 24 Strategic Goods Act, as amended, Art. 59 Estonian Penal Code, as amended, Arts. 391, 392 and 393 Strategic Goods Commission within the Ministry of Foreign Affairs
7	Control lists of materials, equipment and technology	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 as amended, Annex I and Art. 2: "dual-use items shall mean items, including software and technology..." Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Annex I Strategic Goods Act, as amended Customs Act, as amended, Arts. 73-93 Estonian Penal Code, as amended, Arts. 391-393
8	Intangible technology transfers	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 2 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 24 Customs Act, as amended, Arts. 73-93 Estonian Penal Code, as amended, Arts. 391-393
9	Inclusion of means of delivery	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Annex I	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Annex I

					Strategic Goods Act, as amended				Strategic Goods Act, as amended Customs Act, as amended, Arts. 73-93. Estonian Penal Code, as amended, Arts. 391–393
10	End-user controls	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended EU Regulation no. 648 of 13 April 2005 (Security Amendment), Art. 1.14 Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 24 End-use certificates International Import Certificates Customs Act, as amended, Arts. 73-93 Estonian Penal Code, as amended, Arts. 391–393
11	Catch all clause	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art 4 Strategic Goods Act, as amended, Art. 2 (11)	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art 24 Strategic Goods Act, as amended
12	Transit control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 6 Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended; especially Art. 5, 141, 158, 210, chapter on transit, Arts. 226 and 233 Strategic Goods Act, as amended, Art. 3	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009 of 5 May 2009, as amended Art. 24 Transit permission Customs Act, as amended, Arts. 73-93 Estonian Penal Code, as amended, Arts. 391–393
13	Trans-shipment control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, (transshipment would be handled either as an “export” or a “transit” as defined by the Regulation) Council Regulation (EU) No. 952/2013 (Union Customs Code), as amended Customs Act, as amended Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 24 Customs Act, as amended, Art. 73-93 Estonian Penal Code, as amended, Art. 391–393
14	Re-export control	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 2 (defines “re-export” as an “export” for the purposes of the Regulation) Union Customs Code, as amended, Art. 270	X	X	X	<b>NW/CW/BW:</b> Council regulation (EC) n. 428/2009, as amended, Art. 24 Customs Act, as amended, Art. 73-93 Estonian Penal Code, as amended, Art. 391-393

				Customs Act, as amended Strategic Goods Act, as amended, Arts. 5, 19 and 65					
15	Control over financing of exports/transshipments that would contribute to proliferation	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 237.3 Estonian Penal Code, as amended, Arts 394- 396 Money Laundering and Terrorist Financing Prevention Act, 2017	X	X	X	<b>NW/CW/BW:</b> Estonian Penal Code, as amended, Art. 237.3 Estonian Penal Code, as amended, Art. 394-396 Money Laundering and Terrorist Financing Prevention Act, 2017
16	Control over services related to exports/ transshipments that would contribute to proliferation including transportation	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended	X	X	X	<b>NW/CW/BW:</b> Strategic Goods Act, as amended

## VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	State will do its best to assist States which may require assistance.
2	Assistance Point of Contact (for assistance providers only)	Ministry of Foreign Affairs Email: armscontrol@mfa.ee
3	Assistance requested	
4	Action taken to work with and inform industry	The European Commission organises regular meetings with the EU member states industry. Estonian Commission of Strategic Goods participates and organizes Industry-Government Relations seminars on Strategic Goods. Ministry of Foreign Affairs maintains correspondent website where legislative acts, guidelines and application forms are posted: <a href="https://vm.ee/en/strategic-export-control">https://vm.ee/en/strategic-export-control</a> .
5	Action taken to work with and inform the public	General awareness raising, the MFA maintains and updates on the website information on strategic goods control. <a href="https://vm.ee/en/strategic-export-control">https://vm.ee/en/strategic-export-control</a> .
6	Point of Contact	Ministry of Foreign Affairs Email: armscontrol@mfa.ee
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	