



LAO PEOPLE'S DEMOCRATIC REPUBLIC
PEACE INDEPENDENCE DEMOCRACY UNITY PROSPERITY

PERMANENT MISSION OF THE LAO
PEOPLE'S DEMOCRATIC REPUBLIC
TO THE UNITED NATIONS

No. 218 /Laos-UN/2020

The Permanent Mission of the Lao People's Democratic Republic to the United Nations presents its compliments to the Chair of the 1540 Committee and, with reference to the latter's notes No. SCA/5/20(30), dated 2 March 2020 and No. SCA/5/20(05), dated 30 April 2020, has the honour to transmit herewith the Lao National Implementation Matrix on the Implementation of the United Nations Security Council Resolution 1540 (2004).

The Permanent Mission of the Lao People's Democratic Republic to the United Nations avails itself of this opportunity to renew to the Chair of the of the 1540 Committee of the United Nations Security Council the assurances of its highest consideration.

New York, May 20, 2020



Security Council Committee established to Resolution 1540 (2004)
United Nations
New York

DRAFT 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State: Lao People’s Democratic Republic

Date of Report: 3 May 2005

Dates of Additional Reports: 11 May 2020

Last Revised: [Date of publication of final approved Matrix on the Committee website]

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 20 February 1970	
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty on the Southeast Asia Nuclear Weapon Free Zone (Bangkok Treaty): Deposit 16 July 1996	
3	International Convention for the Suppression of Acts of Nuclear Terrorism		
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 29 September 2010	
5	2005 Amendment to the CPPNM		
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 5 October 2000	
7	Chemical Weapons Convention (CWC)	Deposit 25 February 1997	
8	Biological Weapons Convention (BWC)	Deposit 20 March 1973	
9	Geneva Protocol of 1925	Deposit 20 May 1989	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 22 August 2002	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 29 September 2008	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	ASEAN Counter Terrorism Convention	
16	International Atomic Energy Agency (IAEA)	Since 4 November 2011	
17	Directly relevant Arrangements		
18	Statement on non-provision of WMD and related materials to non-State actors	State reports it has no capability nor intention to produce, use or possess, transport or deliver nuclear, chemical or biological weapons to any State or non-State actor	
19	Membership in relevant international, regional or sub-regional organisations	World Customs Organization (WCO) and its Framework of Standards to Secure and Facilitate Global Trade (SAFE) Programme INTERPOL World Health Organization (WHO) Organisation for Animal Health (OIE) The ASEAN Regional Forum (ARF) Asia/Pacific Group on Money Laundering (APG)	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework						Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document		
		N W	C W	B W		N W	C W	B W			
1	manufacture	X	X	X	NW/CW/BW Criminal Law 2005, Article 76, 80	X	X	X	NW/CW/BW Penal Code 2017, Article 132		
2	acquire	X	X	X	NW/CW/BW Criminal Law 2005, Article 76, 80. Notification on Prohibited Goods on Import and Export, No 0973/MoIC.DIMEX, dated 25 May 2011	X	X	X	NW/CW/BW Penal Code 2017, Article 132		
3	possess	X	X	X	NW/CW/BW Criminal Law 2005, Article 76, 80. Notification on Prohibited Goods on Import and Export, No 0973/MoIC.DIMEX, dated 25 May 2011	X	X	X	NW/CW/BW Penal Code 2017, Article 132		
4	develop	X	X	X	NW/CW/BW Criminal Law 2005, Article 76, 80. Notification on Prohibited Goods on Import and Export, No 0973/MoIC.DIMEX, dated 25 May 2011	X	X	X	NW/CW/BW Penal Code 2017, Article 132		
5	transport	X	X	X	NW/CW/BW Criminal Law 2005, Article 76. Notification on Prohibited Goods on Import and Export, No 0973/MoIC.DIMEX, dated 25 May 2011	X	X	X	NW/CW/BW Penal Code 2017, Article 132		
6	transfer	X	X	X	NW/CW/BW Criminal Law 2005, Article 76. Notification on Prohibited Goods on Import and Export, No 0973/MoIC.DIMEX, dated 25 May 2011	X	X	X	NW/CW/BW Penal Code 2017, Article 132		
7	use	X	X	X	NW/CW/BW Criminal Law 2005, Article 76. Notification on Prohibited Goods on Import and Export, No 0973/MoIC.DIMEX, dated 25 May 2011	X	X	X	NW/CW/BW Penal Code 2017, Article 132		

8	attempt to engage in abovementioned activities	X	X	X	NW/CW/BW Criminal Law 2005	X	X	X	NW/CW/BW Criminal Law 2005
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW Criminal Law 2005	X	X	X	NW/CW/BW Criminal Law 2005
10	assist in abovementioned activities	X	X	X	NW/CW/BW Criminal Law 2005	X	X	X	NW/CW/BW Criminal Law 2005
11	finance abovementioned activities	X	X	X	NW/CW/BW Law on Anti-Money Laundering and Counter-Financing of Terrorism 2014 , Criminal Law 2005 Article 64	X	X	X	NW/CW/BW Penal Code 2017, Article 130
12	abovementioned activities related to means of delivery ¹								

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X / ?			Source document	X / ?			Source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	X	X	NW/CW/BW: Law Environmental Protection 2013, Article 39 NW: Law on Radiation Safety 2019, Article 14, 15 CW: Law on Chemicals 2016, Article 16, 40, 41, 44 BW: Law on Bio-technology Safety 2014	X	X	X	NW/CW/BW: Law Environmental Protection 2013 NW: Law on Radiation Safety 2019 CW: Law on Chemicals 2016 BW: Law on Bio-technology Safety 2014	
2	Measures to account for use	X	X	X	NW/CW/BW: Law Environmental Protection 2013, Article 39	X	X	X	NW/CW/BW: Law Environmental Protection 2013	

					<p>NW: Law on Radiation Safety 2019, Article 14, 15</p> <p>CW: Law on Chemicals 2016, Article 16, 40, 41, 44</p> <p>BW: Law on Bio-technology Safety 2014</p>				<p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
3	Measures to account for storage	X	X	X	<p>NW/CW/BW: Law Environmental Protection 2013, Article 39</p> <p>NW: Law on Radiation Safety 2019, Article 16-20</p> <p>CW: Law on Chemicals 2016, Article 20, 25, 26</p> <p>BW: Law on Bio-technology Safety 2014</p>	X	X	X	<p>NW/CW/BW: Law Environmental Protection 2013</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
4	Measures to account for transport	X	X	X	<p>NW/CW/BW: Land Transportation Law 2012, Article 38</p> <p>NW: Law on Radiation Safety 2019, Article 27-33</p> <p>CW: Law on Chemicals 2016, Article 16, 40, 41, 44</p> <p>BW: Law on Bio-technology Safety 2014</p>	X	X	X	<p>NW/CW/BW: Law Environmental Protection 2013</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
5	Measures to secure production	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013, Article 39</p> <p>NW: Law on Radiation Safety 2019, Article 14, 15</p> <p>CW: Law on Chemicals 2016, Article 16, 40, 41, 44</p> <p>BW: Law on Bio-technology Safety 2014</p>	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
6	Measures to secure use	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013, Article 39</p>	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013</p>	

					<p>NW: Law on Radiation Safety 2019, Article 14, 15</p> <p>CW: Law on Chemicals 2016, Article 16, 40, 41, 44</p> <p>BW: Law on Bio-technology Safety 2014</p>				<p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
7	Measures to secure storage	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013, Article 39</p> <p>NW: Law on Radiation Safety 2019, Article 16-20</p> <p>CW: Law on Chemicals 2016, Article 20, 25, 26</p> <p>BW: Law on Bio-technology Safety 2014</p>	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
8	Measures to secure transport	?	?	?	<p>NW/CW/BW: Land Transportation Law 2012, Article 38</p> <p>NW: Law on Radiation Safety 2019, Article 27-33</p> <p>CW: Law on Chemicals 2016, Article 16, 40, 41, 44</p> <p>BW: Law on Bio-technology Safety 2014</p>	?	?	?	<p>NW/CW/BW: Land Transportation Law 2012</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
9	Physical protection measures	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	?	?	?	<p>NW/CW/BW: Law Environmental Protection 2013</p> <p>NW: Law on Radiation Safety 2019</p> <p>CW: Law on Chemicals 2016</p> <p>BW: Law on Bio-technology Safety 2014</p>	
10	Personnel Reliability									

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at:

[http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority		
2	Licensing of nuclear installations/entities/ use of materials	Law on Radiation Safety 2019	
3	IAEA Safeguards Agreements	Safeguards Agreement (INFCIRC/599) in force 5 April 2001 SQP in force Additional Protocol, signed 5 November 2014 (not in force)	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources		
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources		
6	IAEA Incident and Trafficking Database		
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	Ongoing process (expect to adapt the INSSP late 2020)	

8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA		
10	National legislation and regulations related to nuclear material including CPPNM	Law on Radiation Safety 2019	

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	National Authority	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Ministry of Industry and Commerce Law on Chemicals 2016	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials	Ministry of Public Health	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X /?			Source document	X /?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs Law 2011, Arts. 5 75 and 79 on duties and rights of the Customs Administration and Authorities	X	X	X	NW/CW/BW: Customs Law 2011, Arts. 75 and 79			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs Law 2011, Arts. 5 75 and 79	X	X	X	NW/CW/BW: Customs Law 2011, Arts 75 and 79 The border control agencies include Customs, Immigration and Health Quarantine Officers			
3	Border control detection measures	X	X	X	NW/CW/BW: X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods	X	X	X	NW/CW/BW: X-ray scanners have been installed at major international airports to ensure public security and prevent illegal trafficking of weapons and prohibited goods			
4	Control of brokering	?	?	?	NW/CW/BW: Ministry of Finance may, from time to time, issue regulations with respect to standards, requirements and the scope of operations of Customs Brokers.	?	?	?	NW/CW/BW: Ministry of Finance may, from time to time, issue regulations with respect to standards, requirements and the scope of operations of Customs Brokers.			
5	Export control legislation in place	X	X	X	NW/CW/BW: Customs Law 2011 Articles 30-31 on controlled and restricted goods Prime Minister's Decree No. 205/PM of 11 October 2001 Decree on Import and Export of Goods No. 114/GoL of 2011.	X	X	X	NW/CW/BW: Customs Law 2011 Articles 30-31 on controlled and restricted goods Prime Minister's Decree No. 205/PM of 11 October 2001 Customs Law 2005, Art 92 Decree on Import and Export of Goods No. 114/GoL of 2011			

					Penal Law 2005, Article 77: "Weapons of war" with "trade", and Article 145 "sale of Prohibited Goods" CW: Law on Chemicals 2016 Articles 10-13 and 45				Penal Law, Article 77: "Weapons of war" with "trade", and Article 145 "sale of Prohibited Goods" CW: Law on Chemicals 2016 Articles 10-13 and 45	
6	Licensing provisions and Authority	X	X	X	NW/CW/BW: Prime Minister's Decree No. 205/PM of 11 October 2001 Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 8 Minister of Commerce (Regulation of the Minister of Commerce No. 106/MOC.FTD of 25 January 2002 CW: Law on Chemicals 2016 Articles 10-13 and 45	?	X	?	NW/CW/BW: Prime Minister's Decree No. 205/PM of 11 October 2001 Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 23 Minister of Commerce (Regulation of the Minister of Commerce No. 106/MOC.FTD of 25 January 2002 CW: Law on Chemicals 2016 Articles 10-13 and 45 Ministry of Industry and Commerce	
7	Control lists of materials, equipment and technology	?	?	?	NW/CW/BW: Notification of the Minister of Commerce No. 0284/MOC.FTD of 17 March 2004: import/export list, chemicals Notification onn Prohibited Goods on Import or Export, Ministry of Industry and Commerce No. 0973/MoIC.DIMEX, 25 May 2011 CW: Law on Chemicals 2016 Articles 10-13 and 45	?	?	?	NW/CW/BW: Notification onn Prohibited Goods on Import or Export, Ministry of Industry and Commerce No. 0973/MoIC.DIMEX, 25 May 2011 CW: Law on Chemicals 2016 Articles 10-13 and 45	
8	Intangible technology transfers									
9	Inclusion of means of delivery									
10	End-user controls									
11	Catch all clause									
12	Transit control	X	X	X	NW/CW/BW: Notification of the Office of the Prime Minister No. 1691/PM of 07 October 2004: prior	X	X	X	NW/CW/BW: Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 23	

					<p>authorization Customs Law 2011, Arts. 30-31 Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 19</p> <p>CW: Law on Chemicals 2016 Article 22 on transit of hazardous chemicals</p>				<p>CW: Law on Chemicals 2016 Article 22 on transit of hazardous chemicals</p>	
13	Trans-shipment control	X	X	X	<p>NW/CW/BW: Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 19</p>	X	X	X	<p>NW/CW/BW: Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 23</p>	
14	Re-export control	X	X	X	<p>NW/CW/BW: Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 18</p>	X	X	X	<p>NW/CW/BW: Decree on Import and Export of Goods No. 114/GoL of 2011 Art. 23</p>	
15	Control over financing of exports/transshipments that would contribute to proliferation	?	?	?	<p>NW/CW/BW: Law on Anti Money Laundering and Counter-Financing of Terrorism 2014 Art. 7 on acts of terrorism and Art. 50 on prohibitions Criminal Law 2005, Art.64</p>	?	?	?	<p>NW/CW/BW: Law on Anti Money Laundering and Counter-Financing of Terrorism 2014 Art. 7 on acts of terrorism and Art. 50 on prohibitions Penal Code 2017</p>	
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation			X	<p>CW: Criminal Law 2005, Art. 80</p>			X	<p>CW: Penal Code 2017</p>	

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	<p>Mr. Maythong THAMMAVONGSA (Director General, Department of International Organizations, Ministry of Foreign Affairs) Tel: +856-21-414024 Fax: +856-21-262711 Division of United Nations Political and Security Affairs Tel/Fax: +856-21-414025. Email: UNPOLSEC.MFALAOS@GMAIL.COM</p> <p>Permanent Mission of the Lao People's Democratic Republic to the United Nations Tel: 212-832-2734 Fax: 212-750-0039 Email: LAO.PR.NY@GMAIL.COM</p>
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	