

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State:	New Zealand
Date of Report:	28 October 2004
Dates of Additional Reports:	11 January 2006 11 February 2008 8 May 2020
Date of Approval:	9 December 2020

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 10 September 1969	
2	Nuclear Weapons Free Zone/ Protocol(s)	South Pacific Nuclear Free Zone Treaty (Treaty of Rarotonga): Deposit 13 November 1986 The New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 establishes a Nuclear Free Zone in New Zealand	
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 18 March 2016	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 19 December 2003	
5	2005 Amendment to the CPPNM	Deposit 18 March 2016	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 19 March 1999	
7	Chemical Weapons Convention (CWC)	Deposit 15 July 1996	
8	Biological Weapons Convention (BWC)	Deposit 13 December 1972	
9	Geneva Protocol of 1925	Deposit 24 May 1930	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 4 November 2002	
11	1999 International Convention for the Suppression of the	Deposit 4 November 2002	

	Financing of Terrorism		
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 24 January 2007	
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 24 January 2007	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	Waigaini Convention 1995-ratified by New Zealand on 30 November 2000 Treaty on the Prohibition of Nuclear Weapons (deposit 31 July 2018, not yet in force, nor a regional instrument)	
16	International Atomic Energy Agency (IAEA)	Member Since 13 September 1957	
17	Directly relevant Arrangements	1. Missile Technology Control Regime (MTCR) 2. Nuclear Suppliers Group (NSG) 3. Wassenaar Arrangement (WA) 4. Australia Group (AG) 5. Proliferation Security Initiative (PSI) 6. Global Initiative to Counter Nuclear Terrorism (GICNT) 7. WCO SAFE Framework of Standards to secure and facilitate global trade 8. Global Partnership Against the Spread of Weapons and Materials of Mass Destruction	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports that it does not provide any support whatsoever to any entity - whether State or non-State actor - attempting to develop, acquire, manufacture, possess, transport or use WMD and their means of delivery, and related materials.	
19	Membership in relevant international, regional or sub-regional organisations	World Customs Organization (WCO) World Health Organization (WHO) International Maritime Organisation (IMO) World Organisation for Animal Health (OIE) INTERPOL International Parliamentary Union (IPU) FATF and APG	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 5 Terrorism Suppression Act 2002, as amended, Section 13C and E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 6 and 9</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8</p>	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 14 Terrorism Suppression Act 2002, as amended, Section 13 C and E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, Sections 6-7, 9 and 15</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>	
2	acquire	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 5 Terrorism Suppression Act 2002, as amended, section 13C-E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8</p>	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 14 Terrorism Suppression Act 2002, as amended, Section 13C-E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>	

3	possess	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5 Terrorism Suppression Act 2002, as amended, Section 13 C-E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6 ("retains")</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8</p>	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 Terrorism Suppression Act 2002, as amended, Section 13</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>
4	develop	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 7 on prohibition on testing Terrorism Suppression Act 2002, as amended, Section 13C-E Nuclear-Test-Ban Act 1999 Section 5 nuclear explosions prohibited</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Schedule 5</p>	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 Terrorism Suppression Act 2002, as amended, Section 13C-E Nuclear-Test-Ban Act 1999 Section 5 nuclear explosions prohibited</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section Schedule 5</p>
5	transport	X	X	X	<p>NW/CW/BW: Maritime Crimes Act, Section 4B, 5A</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Sections 6 (State interprets that NZNFZA prohibits control, hence transport of NW is also prohibited)</p>	X	X	X	<p>NW/CW/BW: Maritime Crimes Act, Section 4B, 8</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>

				<p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, The "transfer" (and thus transport) of chemical weapons are prohibited in NZ under the Chemical Weapons (Prohibition) Act 1996; as amended, Section 6 (1) (b): accordingly their transport within NZ (or by a NZ citizen or on a NZ ship or aircraft) is prohibited.</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8 (State interprets that NZNFZA prohibits control, hence transport of BW is also prohibited)</p>			<p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>			
6	transfer	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5</p> <p>Terrorism Suppression Act 2002, as amended, Section 13C-D</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6 (1) (b)</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8</p>	X	X	X	<p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p> <p>Terrorism Suppression Act 2002, as amended, Section 13C-D</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15 Terrorism Suppression Act 2002, as amended, Sections 6-7</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>	
7	use	X	X	X	<p>NW: Terrorism Suppression Act 2002, as amended, Sections 4-7 New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 7</p>	X	X	X	<p>NW: Terrorism Suppression Act 2002, as amended, Sections 13C and E New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>	

				<p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6 Terrorism Suppression Act 2002, as amended, Sections 4-7</p> <p>BW: Terrorism Suppression Act 2002, as amended, Section 4-7</p>			<p>CW: Chemical Weapons (Prohibition) Act 1996, Sections 6-7, 9 and 15 Terrorism Suppression Act 2002, as amended, Sections 6-7 and 13C-E</p> <p>BW: Terrorism Suppression Act 2002, as amended, Sections 13C-E</p>		
8	attempt to engage in abovementioned activities	X	X	X	<p>NW/CW/BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences provisions Section 72 on attempts</p>	X	X	X	<p>NW/CW/BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences provisions Section 72 on attempts</p>
9	participate as an accomplice in abovementioned activities	X	X	X	<p>NW/CW/BW: Crimes Act 1961: as amended Section 66</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, Section 6</p>	X	X	X	<p>NW/CW/BW: Crimes Act 1961: as amended Section 66</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6</p>
10	assist in abovementioned activities	X	X	X	<p>NW/CW/BW: Crimes Act 1961: as amended, Section 66</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6</p>	X	X	X	<p>NW/CW/BW: Crimes Act 1961 as amended, Section 66</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6</p>
11	finance abovementioned activities	X	X	X	<p>NW/CW/BW: Terrorism Suppression Act 2002, as amended, Sections 8-10 Anti-Money Laundering and Countering Financing of Terrorism Act 2009, as amended</p>	X	X	X	<p>NW/CW/BW: Terrorism Suppression Act 2002, as amended, Sections 8-10 Anti-Money Laundering and Countering Financing of Terrorism Act 2009, as amended</p>

				<p>Crimes Act 1961, As amended: Part 4</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, Section 6 (1) (e)</p>			<p>Crimes Act 1961 as amended: Part 4</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15</p>		
12	abovementioned activities related to means of delivery ¹	X	X	X	X	X	X	<p>NW/CW/BW: Customs and Excise Act, Section (97) (State interprets that customs legislations imposes prohibitions related to means of delivery)</p> <p>CW/BW: Maritime Crimes Act Sections 4B and 5A</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5 Terrorism Suppression Act 2002, as amended, Sections 13C-E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, Section 8</p>	<p>NW/CW/BW: Customs and Excise Act Sections 388-389</p> <p>CW/BW: Maritime Crimes Act, Section 4B, 8</p> <p>NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 Terrorism Suppression Act 2002, as amended, Sections 13C-E</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14</p>

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework			Enforcement and civil/criminal penalties			Remarks	
		X /?			X /?				
		N W	C W	B W *	N W	C W	B W		
1	Measures to account for production	X	X	X				<p>NW: IAEA Safeguards Agreement (INFCIRC/185) , Additional Protocol and modified SQP Radiation Safety Act 2016, as amended, Sections 35</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Section 28 Chemical Weapons (Prohibition) Act 1996, Sections 12-14</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Section 34</p>	<p>NW: Radiation Safety Act 2016, as amended, Section 67</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13-15</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>
2	Measures to account for use	X	X	X	X	X	X	<p>NW: IAEA Safeguards Agreement (INFCIRC/185), Additional Protocol and modified SQP Radiation Safety Act 2016, as amended, Sections 21-23,35</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 28-33 Chemical Weapons (Prohibition) Act 1996, as amended, Sections 12-14 Health and Safety at Work (Hazardous Substances) Regulation 2017 Part 13</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 26-29, 34-38 and</p>	<p>NW: Radiation Safety Act 2016, Sub part 3</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13-15 Health and Safety at Work (Infringement Offences and Fees) Regulations 2016</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>

				50					
3	Measures to account for storage	X	X	?	<p>NW: IAEA Safeguards Agreement (INFCIRC/185), Additional Protocol and modified SQP Radiation Safety Act 2016, as amended Section 35</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 28-33, and 109 Health and Safety at Work (Hazardous Substances) Regulation 2017 Part 13</p> <p>Chemical Weapons (Prohibition) Act 1996, as amended, Sections 12-14</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 26-29, 34-38, 50 Sch2</p>	X	X	?	<p>NW: Radiation Safety Act 2016, Sub-part 3</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p> <p>Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13-15 Health and Safety at Work (Infringement Offences and Fees) Regulations 2016</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>
4	Measures to account for transport	X	X	X	<p>NW/CW/BW: Land Transport Rule: Dangerous Goods 2005 as amended</p> <p>NW: Terrorism Suppression Act 2002, as amended, Section 13C IAEA Safeguards Agreement (INFCIRC/185), Additional Protocol and modified SQP Radiation Safety Act 2016, as amended Section 35</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, SCh3 Pt3,33 & 97 The Civil Aviation Act 1990 (Rule Part 29</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 34-49, 51, 124</p>	X	X	?	<p>NW: Radiation Safety Act 2016, as amended, Sub-part 3 Terrorism Suppression Act 2002, as amended, Section 13C</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13-15</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>

5	Measures to secure production	X	?	?	<p>NW: Radiation Safety Act 2016, as amended, Section 11</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 77-79</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 39-45A, 50</p>	X	?	?	<p>NW: Radiation Safety Act 2016, as amended, Sub-part 3</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7 A Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A Health and Safety at Work (Hazardous Substances) Regulation 2017 Health and Safety at Work (Infringement Offences and Fees) Regulations 2016</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>
6	Measures to secure use	X	?	?	<p>NW: Radiation Safety Act 2016, as amended Sections 11, and 30-32</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 77-79, Sch1AA Health and Safety at Work (Hazardous Substances) Regulation 2017, Section 13.9</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 39-45A, 50, Sch 2</p>	X	?	?	<p>NW: Radiation Safety Act 2016, as amended Sub-part 3</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A Health and Safety at Work (Hazardous Substances) Regulation 2017 Health and Safety at Work (Infringement Offences and Fees) Regulations 2016</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>
7	Measures to secure storage	X	X	?	<p>NW: Radiation Safety Act 2016, as amended Sections 12 and 20</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended Sections 77-79 Health and Safety at Work (Hazardous Substances) Regulation 2017 Hazardous Substances (Hazardous Property</p>	X	X	?	<p>NW: Radiation Safety Act 1965, as amended Sub-part 3</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A Health and Safety at Work (Hazardous Substances) Regulation 2017 Health and Safety at Work (Infringement</p>

				<p>Controls)Notice 2017 Hazardous Substances (Storage and Disposal of Persistent Organic Pollutants) Notice 2004</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 39-45A Bio-security Act 1993, as amended, Section 39</p>				<p>Offences and Fees) Regulations 2016</p> <p>BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended Bio-security Act 1993, as amended, Section 157 Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>		
8	Measures to secure transport	X	X	X	<p>NW/CW/BW: The Maritime Transport Act 1994 (Maritime Rule Part 24A) as amended The Civil Aviation Act 1990 (Rule Part 29) as amended</p> <p>NW: Radiation Safety Act 2016, as amended, Sections 12</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended, Sch3 Part 3</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections Sch3 parts 1 and 2</p>	X	X	X	<p>NW: Radiation Safety Act 2016, sub-part 3</p> <p>CW/BW: Hazardous Substances and New Organisms Act 1996, as amended Part 7 and 7A</p>	
9	Physical protection measures	X	X	X	<p>NW: Terrorism Suppression Act 2002, as amended, Section 13C Radiation Safety Act 2016, as amended, Sections 11 and 20</p> <p>CW: Health and Safety at Work (Hazardous Substances) Regulation 2017 Hazardous Substances and New Organisms Act Schedule 3</p> <p>BW: Hazardous Substances and New Organisms Act 1996 as amended, Schedule 3</p>	X	X	X	<p>NW: Terrorism Suppression Act 2002, as amended, Section 13C Radiation Safety Act 2016, as amended sub-part 3</p> <p>CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Part 7 and 7A</p>	

10	Personnel Reliability	?	?	?	<p>NW: Radiation Safety Act 2016, as amended, Sections 19(1)(a)(i), 22 (1)(c) and (d)</p> <p>CW: Health and Safety at Work (Hazardous Substances) Regulation 2017 r.4.1-4.6</p> <p>BW: Hazardous Substances and New Organisms (Personnel Qualifications) Regulations 2001 r.9 and 10</p>	?	?	?	<p>NW: Radiation Safety Act 2016, as amended, sub-part 3 and licensing procedures</p> <p>CW: Hazardous Substances and New Organisms Act 1996, as amended Part 7 and 7A Health and Safety at Work (Hazardous Substances) Regulation 2017 Health and Safety at Work (Infringement Offences and Fees) Regulations 2016</p> <p>BW: Hazardous Substances and New Organisms Act 1996, as amended Part 7 and 7A</p>
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2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at:

[http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	Office of Radiation Safety, Ministry of Health in accordance with the Radiation Safety Act	
2	Licensing of nuclear installations/entities/ use of materials	Radiation Safety Act 2016, as amended, Sections 13-23	
3	IAEA Safeguards Agreements	Safeguards Agreement (Small Quantities Protocol)(INFCIRC/185) 29 February 1972 Additional Protocol(INFCIRC/185/Add.1) 24 September 1998 Modified Small Quantities Protocol (INFCIRC/185/Modified.1)24 February 2014	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support to IAEA Code of Conduct on Safety and Security of Radioactive Sources	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support to IAEA Supplementary Guidance on the Import and Export of Radioactive Sources	
6	IAEA Incident and Trafficking Database	participates	
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	INSSP approved New Zealand received a visit by the IPPAS in 2015 and has implemented many of its recommendations.	
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5	Implemented and regulated by the Radiation Safety Act	

9	Other Agreements related to IAEA		
10	National legislation and regulations related to nuclear material including CPPNM	Radiation Safety Act 2016 Codes of Safe Practice, in particular ORS-C6 (transport)	

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Ministry of Foreign Affairs and Trade as the administering authority of the Chemical Weapons (Prohibition) Act	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Hazardous Substances and New Organisms Act 1996 Health and Safety at Work (Major Hazard Facilities) Regulations 2016 Health and Safety at Work (Hazardous Substances) Regulation 2017	
3	Old or abandoned chemical weapons	State does not possess old or abandoned chemical weapons	

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
<p>1 Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials</p>	<p>Hazardous Substances and New Organisms Act 1996 and subsidiary regulations Bio-security Act 1993, as amended, part 2 on Functions, powers and duties</p>	

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X /?			Source document	X /?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended Part 4 NW: Radiation Protection Act 1965, as amended Subpart 3 Offences CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10, 13 and 15 BW: Biosecurity Act 1993 as amended, Section 157			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended: Part 4			
3	Border control detection measures	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Part 4			
4	Control of brokering	X	X	X	NW/BW/CW: Brokering (Weapons and related items) Controls Act 2018 NW/BW: The New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended, prohibits aiding and abetting any	X	X	X	NW/BW/CW: Brokering (Weapons and related items) Controls Act 2018, Section 12			

				<p>person to manufacture, acquire, possess or have control over any nuclear explosive device within the Nuclear Free Zone.</p> <p>CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, prohibits a person from assisting any person to transfer any chemical weapon</p>					
5	Export control legislation in place	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 96-97	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389
6	Licensing provisions and Authority	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 96-97 authorises the Secretary of Foreign Affairs and Trade to issue licenses	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389
7	Control lists of materials, equipment and technology	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Section 96 authorises the publication of a NZ strategic Goods List	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389 The List is available at https://www.mfat.govt.nz/assets/Strategic-goodsforms/2018-NZStrategic-GoodsList-August-2018with-Table-ofContentsreviewed.docx
8	Intangible technology transfers	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Section 96 (11) controls the export of some intangible transfers, e.g. data that is stored on a tangible item, and data sent in electronic form	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389
9	Inclusion of means of delivery	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended Section 96 (authorizing the NZ strategic Goods List), Section 97 (catch-all controls) Customs Export Prohibition Order 2017	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389
10	End-user controls	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended	X	X	X	NW/CW/BW: MFAT Website info: Goods that are

					(which enhanced end-user controls), Part 3 Subpart 4 on prohibited exports End-user certificates may be required as a condition of approval to export strategic goods.				manufactured in New Zealand will in most cases require an end-user certificate signed by the ultimate end-user. CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 10	
11	Catch all clause	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Section 97	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389	
12	Transit control	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended (Import/export provisions of the Customs and Excise Act apply to transit)	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389	
13	Trans-shipment control	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended: Section 87 (Import/export provisions of the Customs and Excise Act apply to trans-shipment)	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389	
14	Re-export control	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended (The export provisions of the Customs and Excise Act apply to re-export)	X	X	X	NW/CW/BW: Customs and Excise Act 2018, as amended, Sections 388-389	
15	Control over financing of exports/transshipments that would contribute to proliferation	X	?	?	NW/CW/BW: Terrorism Suppression Act 2002, as amended, Sections 8-10 Anti-Money Laundering and Counter-Terrorism Financing Act 2009, as amended, Crimes Act 1961, as amended, Part 4	X	?	?	NW/CW/BW: Crimes Act 1961, as amended, Part 4 Terrorism Suppression Act 2002, as amended, Sections 8-10 Anti-Money Laundering and Counter-Terrorism Financing Act 2009, as amended NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 CW: Chemical Weapons (Prohibition) Act 1996, as	

									amended Sections, 6-7, 9 and 15	
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation	X	?	?	<p>NW/CW/BW: Crimes Act 1961, as amended, Part 4 on aiding and abetting</p> <p>NW: Terrorism Suppression Act 2002, as amended, Sections 8-10 Anti-Money Laundering and Counter-Terrorism Financing Act 2009, as amended</p>	X	?	?	<p>NW/CW/BW: Crimes Act 1961, as amended, Part 4 Terrorism Suppression Act 2002, as amended, Sections 8-10 Anti-Money Laundering and Counter-Terrorism Financing Act 2009, as amended,</p>	

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	New Zealand provides assistance to Pacific Island states to implement Resolution 1540, for example through measures to strengthen border measures and Customs procedures and provide legal drafting assistance.
2	Assistance Point of Contact (for assistance providers only)	International Security and Disarmament Division, Ministry of Foreign Affairs and Trade
3	Assistance requested	
4	Action taken to work with and inform industry	New Zealand government agencies have a programme of outreach to companies and research institutions which engage in the export of strategic goods to raise awareness of proliferation risks and ways to mitigate them.
5	Action taken to work with and inform the public	New Zealand's law and regulations to implement Resolution 1540 are available through government publications and websites. New Zealand positions on disarmament and non-proliferation are also publicly available.
6	Point of Contact	International Disarmament and Security Division, Ministry of Foreign Affairs and Trade DM-ISED@mfat.gov.nz
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	