

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State: Republic of Nicaragua

Date of Report: 26 January 2007

Dates of Additional Reports:

Date of Approval: 9 December 2020

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 6 March 1973	
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty of Tlatelolco: Deposit 24 October 1968	
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 25 February 2009	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 10 December 2004	
5	2005 Amendment to the CPPNM	Deposit 8 April 2016	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 5 December 2000	
7	Chemical Weapons Convention (CWC)	Deposit 5 November 1999	
8	Biological Weapons Convention (BWC)	Deposit 7 August 1975	
9	Geneva Protocol of 1925	Deposit 5 October 1990	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 17 January 2003	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 14 November 2002	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	Inter-American Convention against Terrorism: deposit 6 October 2003 Code of Conduct of Central American States on the Transfer of Arms, Ammunition, Explosives and other Related Materials Framework Treaty on Democratic Security in Central America, Art. 34 (1995)	
16	International Atomic Energy Agency (IAEA)	Since 25 March 1977	
17	Directly relevant Arrangements	Hague Code of Conduct (HCoC)	
18	Statement on non-provision of WMD and related materials to non-State actors	State reports that it does not provide any form of support to State or non-State actors that attempt to develop, acquire, manufacture, possess, transport, transfer or use WMD or their means of delivery.	
19	Membership in relevant international, regional or sub-regional organisations	World Health Organization (WHO) World Organisation for Animal Health (OIE) INTERPOL World Customs Organization (WCO) and signed its 2005 Framework of Standards to Secure and Facilitate Global Trade (SAFE Framework of Standards) International Maritime Organization (IMO) Organization of American States (OAS) Central American Regional Integration System (SICA) World Bank International Monetary Fund (IMF) Member of the Financial Action Task Force of Latin America (GAFILAT) Inter-Parliamentary Union (IPU)	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X	X	NW/CW/BW: Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials, Law No. 510 of 25 February 2005. Arts. 10/l, 16/i, and 147 Penal Code. Art. 404 bis amended by Law No. 977 of 16 July 2018	X	X	X	NW/CW/BW: Penal Code. Art. 404 bis amended by Law No. 977 of 16 July 2018 BW: Penal Code. Arts. 147, 162 on the production of BW based on genetically modified microorganisms	
2	acquire	X	X	X	NW/CW/BW: Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials, Law No. 510 of 25 February 2005. Arts. 10/l, 16/i and 147 (trade)	X	X	X	NW/CW/BW: Penal Code. Arts. 405 and 404 bis amended by Law No. 977 of 16 July 2018	
3	possess	X	X	X	NW/CW/BW: Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials, Law No. 510 of 25 February 2005. Arts. 10/l, 16/i and 147	X	X	X	NW/CW/BW: Penal Code. Arts. 405 and 404 bis amended by Law No. 977 of 16 July 2018	
4	develop	X	X	X	NW/CW/BW: Penal Code. Art. 404 bis amended by Law No. 977 of 16 July 2018	X	X	X	NW/CW/BW: Penal Code. Art. 404 bis amended by Law No. 977 of 16 July 2018	
5	transport	X	X	X	NW/CW/BW: Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other	X	X	X	NW/CW/BW: Penal Code. Arts. 405 and 404 bis amended by Law No. 977 of 16 July 2018	

				Related Materials, Law No. 510 of 25 February 2005, Arts. 10/l, 16/i and 147				
6	transfer	X	X	X NW/CW/BW: Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials, Law No. 510 of 25 February 2005. Arts. 10/l, 16/i and 147	X	X	X	NW/CW/BW: Penal Code. Arts. 405, 404 bis amended by Law No. 977 of 16 July 2018
7	use	X	X	X NW/CW/BW: Penal Code. Art. 521 Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials, Law No. 510 of 25 February 2005. Arts. 10/l, 16/i and 147	X	X	X	NW/CW/BW: Penal Code. Arts. 394 (terrorism), 521 and 404 bis amended by Law No. 977 of 16 July 2018 NW/CW: Environmental Law No. 559 of 26 October 2005. Art. 11 BW: Penal Code. Art. 390
8	attempt to engage in abovementioned activities	X	X	X NW/CW/BW: Penal Code. Arts. 27 to 30	X	X	X	NW/CW/BW: Penal Code. Arts. 72, 74
9	participate as an accomplice in abovementioned activities	X	X	X NW/CW/BW: Special Law for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials, Law No. 510 of 25 February 2005. Arts. 10/l, 16/i and 132 Penal Code. Arts. 41, 44	X	X	X	NW/CW/BW: Penal Code. Arts. 75, 121
10	assist in abovementioned activities							
11	finance abovementioned activities	X	X	X NW/CW/BW: Penal Code. Arts. 394 (terrorism definition), 395 (terrorism financing) and 404 bis amended by Law No. 977 of 20 July 2018 Law No. 976 on the mandate of Unit of Financial Analysis Law No. 977 on money laundering, terrorism	X	X	X	NW/CW/BW: Penal Code. Arts. 395 (terrorism financing) and 404 bis amended by Law No. 977 of 20 July 2018 Unit of Financial Analysis

					financing and financing of WMDs proliferation of 20 July 2018. Art. 43					
12	abovementioned activities related to means of delivery ¹	X	X	X	NW/CW/BW: Penal Code. Art. 404 bis amended by Law No. 977 of 16 July 2018	X	X	X	NW/CW/BW: Penal Code. Art. 404 bis amended by Law No. 977 of 16 July 2018	

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X / ?			Source document	X / ?			Source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production	X	X		<p>NW: INFCIRC/246 and Additional Protocol (18 February 2005) Amended SQP (12 June 2009) Ionizing Radiation Law No. 156 of 23 March 1993 (only for radioactive materials (covers radioisotopes and ionizing sources)). Art. 4</p> <p>CW: Law No. 274 on the regulation and pesticides, toxic, hazardous and similar materials (toxic substances, organic or inorganic substances, currently or potentially dangerous which might cause intoxication on living beings or put in risk human, animal or plant health or cause environmental damage). Art. 11</p>	X	X		<p>NW: Ionizing Radiation Law No. 156. Chapter IX</p> <p>CW: Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials. Chapter III Decree No. 49 of 30 July 1998 on regulation of Law No. 274. Art. 118 (1)</p>	
2	Measures to account for use	X	X		<p>NW: INFCIRC/246 and Additional Protocol (18 February 2005) Amended SQP (12 June 2009) Ionizing Radiation Law No. 156 (only for radioactive materials). Art. 4</p> <p>CW: Law No. 274 on the regulation and control pesticides, toxic, hazardous and similar materials</p>	X	X		<p>NW: Ionizing Radiation Law No. 156. Chapter IX</p> <p>CW: Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials. Chapter III Decree No. 49 of 30 July 1998 on regulation of Act 274. Art. 118 (1)</p>	

3	Measures to account for storage	X	X	<p>NW: INFCIRC/246 and Additional Protocol (18 February 2005) Amended SQP (12 June 2009)</p> <p>CW: Law No. 274 on the regulation and control of pesticides, toxic, hazardous and similar materials. Art. 21</p>		X	<p>CW: Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials. Chapter III Decree No. 49 of 30 July 1998 on regulation of Act 274. Art. 118 (1)</p>
4	Measures to account for transport	X	X	<p>NW: Ionizing Radiation Law No. 156 (only for radioactive materials). Arts. 2 and 4</p> <p>CW: Law No. 274 on the regulation and control of pesticides, toxic, hazardous and similar materials. Art. 6 Road Transport - Hazardous Goods, Law No. 524 of 2 March 2005. Art. 19</p>	X	X	<p>NW: Ionizing Radiation Law No. 156, Chapter IX</p> <p>CW: Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials Chapter III Decree No. 49 of 30 July 1998 on regulation of Act 274. Art. 118 (1)</p>
5	Measures to secure production	N	A	<p>NW: SQP</p>	N	A	<p>NW: SQP</p>
6	Measures to secure use	N	A	<p>NW: SQP</p>	N	A	<p>NW: SQP</p>
7	Measures to secure storage	X	X	<p>NW/CW: Environmental Law No. 559 of 26 October 2005. Art. 11</p> <p>NW: SQP</p>	X	X	<p>NW/CW: Environmental Law No. 559 of 26 October 2005. Art. 11 and Chapters V, VI and VII</p> <p>NW: SQP</p>
8	Measures to secure transport	X	?	<p>NW/CW: Environmental Law No. 559 of 29 October 2005. Arts. 10 and 11</p>	X	X	<p>NW/CW: Environmental Law No. 559 of 29 October 2005. Art. 10 and Chapters V, VI and VII</p> <p>NW: Ionizing Radiation Law, Law No. 156 of 25 February 2005. Chapter IX</p>

					CW: Regulation and Control of Pesticides, Toxic Substances and Similar Substances. Law No. 274 and Executive Decree No. 49-98 of 05 November 1997 Road Transport - Hazardous Goods. Law No. 524 of 2 March 2005. Art. 19				
9	Physical protection measures	N A			NW: SQP	N A			NW: SQP
1 0	Personnel Reliability								

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority	National Atomic Energy Commission, Decree No. 24-93 of 16 April 1993. Art.1	
2	Licensing of nuclear installations/entities/ use of materials	Ionizing Radiation Act, Law No. 156 of 25 February 2005. Art. 4 (only for radioactive materials)	
3	IAEA Safeguards Agreements	Safeguards Agreement (in force 18 February 2005) and Additional Protocol (18 February 2005) Amended SQP (12 June 2009)	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Expressed support	
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Expressed support	
6	IAEA Incident and Trafficking Database		
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA	Convention on Early Notification of a Nuclear Accident Convention on Assistance in the case of a Nuclear Accident or Radiological Emergency Co-operation Agreement for the Promotion of Nuclear Science and Technology in Latin America and the Caribbean (ARCAL)	

		(2009)	
10	National legislation and regulations related to nuclear material including CPPNM		

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Ministry of Defence - Inter-Agency Commission for the Implementation of the CWC	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials	Source document	Remarks
1 Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials		

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework						Enforcement and civil/criminal penalties						Remarks
		X / ?			Source document	X / ?			Source document					
		N W	C W	B W		N W	C W	B W						
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p>NW/CW/BW: Central American Uniform Customs Code (CAUCA IV). Art. 63 Regulations to the Central American Uniform Customs Code (RECAUCA IV). Art. 5 (o) Resolution No. 101-2002, 12 December 2002. Art. 4 (k)</p>	X	X	X	<p>NW/CW/BW: General Directorate for Customs Services, Ministry of Finance</p>					
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	<p>NW/CW/BW: Central American Uniform Customs Code (CAUCA IV). Art. 6 Regulations to the Central American Uniform Customs Code (RECAUCA IV). Art. 5 (o) Resolution No. 101-2002, 12 December 2002. Art. 4 (k)</p> <p>NW: Ionizing Radiation Law No. 156 of 23 March 1993 (Only for radioactive materials). Art. 11</p> <p>CW: Law No. 274 on the regulation and control of pesticides, toxic, hazardous and similar materials. Art. 24 (3)</p> <p>BW: General Health Law, 2002. Art. 7 13)</p>	X	X		<p>NW: National Atomic Energy Commission</p> <p>CW: Ministry of Agriculture</p>					
3	Border control detection measures													

4	Control of brokering								
5	Export control legislation in place	X	X	X	<p>NW/CW/BW: Central American Uniform Customs Code (CAUCA IV). Art. 63 Regulations to the Central American Uniform Customs Code (RECAUCA), Resolution No. 101-2002, 12 December 2002</p> <p>CW: Law No. 274 on the regulation and control of pesticides, toxic, hazardous and similar materials. Art. 6</p> <p>BW: General Health Law No. 42 of 14 March 2002. Art. 22</p>	X	X	<p>CW: Law No. 274 on the regulation and control of pesticides, toxic, hazardous and similar materials. Chapter III Decree No. 49 of 30 July 1998 on regulation of Law 274. Art. 118 1)</p> <p>BW: General Health Law No. 42 of 14 March 2002. Title VII. Arts. 82 and 83</p>	
6	Licensing provisions and Authority	X	X	X	<p>CW/BW: Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials. Arts. 6 and 18 (enforcement authority)</p> <p>NW: Ionizing Radiation Law No. 156 of 23 March 1993 – (Only for radioactive materials). Arts. 2 and 4 Decree No. 24 of 16 April 1993. Art. 2 (g)</p> <p>BW: General Health Law No. 42 of 14 March 2002. Art. 22</p>	X	X	X	<p>NW: Ionizing Radiation Law No. 156 of 23 March 1993 - Only for radioactive material- National Atomic Energy Commission</p> <p>CW: Ministry of Agriculture</p> <p>BW: Ministry of Health</p>
7	Control lists of materials, equipment and technology								

8	Intangible technology transfers								
9	Inclusion of means of delivery								
10	End-user controls								
11	Catch all clause								
12	Transit control	X	X	X	<p>NW/CW/BW: Central American Uniform Customs Code (CAUCA IV). Arts. 94 and 95 Regulations to the Central American Uniform Customs Code (RECAUCA). Chapter IV Resolution No. 101-2002, 12 December 2002 Law No. 274 on the regulation and control of plagues, toxic, hazardous and similar materials</p>				
13	Trans-shipment control	X	X	X	<p>NW/CW/BW: Central American Uniform Customs Code (CAUCA IV). Art. 66 Regulations to the Central American Uniform Customs Code (RECAUCA). Chapter V, Section II Resolution No. 101-2002, 12 December 2002</p>				
14	Re-export control	X	X	X	<p>NW/CW/BW: Central American Uniform Customs Code (CAUCA IV). Art. 107 Regulations to the Central American Uniform Customs Code (RECAUCA). Chapter V, Section II Resolution No. 101-2002, 12 December 2002</p>				
15	Control over financing of exports/transshipments that would contribute to proliferation	X	X	X	<p>NW/CW/BW: Special Act for the Control and Regulation of Firearms, Ammunition, Explosives and other Related Materials. Law No. 510 of 25 February 2005. Art. 127 Resolution No. CD-SIBOIF-197-2 of 1 March 2002 as amended on Laundering Money and other Assets</p>				
16	Control over services related to exports/transshipments that								

	would contribute to proliferation including transportation									
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VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	