APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

State: Sultanate of Oman

Date of Report: 17 December 2004

Dates of Additional Reports: 22 March 2006

Date of Approval: 9 December 2020

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

inst org	nerence to legally binding truments, membership of transations, participation in arrangements and statements made.	Relevant information (i.e., signing, deposit of instrument of accession, ratification, etc)							
1	Nuclear Non- Proliferation Treaty (NPT)	Deposit 23 January 1997							
2	Nuclear Weapons Free Zone/ Protocol(s)								
3	International Convention for the Suppression of Acts of Nuclear Terrorism								
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 11 June 2003							
5	2005 Amendment to the CPPNM								
6	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Deposit 13 June 2003							
7	Chemical Weapons Convention (CWC)	Deposit 8 February 1995							
8	Biological Weapons Convention (BWC)	Deposit 31 March 1992							
9	Geneva Protocol of 1925								
10	1997 International Convention for the Suppression of Terrorist Bombings								
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 10 November 2011							

	T		
	2005 Protocol to the		
	Convention for the		
12	suppression of unlawful		
	acts against the safety of		
	maritime navigation		
	2005 Protocol to the		
	Protocol for the		
13	suppression of unlawful		
13	acts against the safety of		
	fixed platforms located		
	on the continental shelf		
	2010 Convention on the		
	Suppression of Unlawful		
14	Acts Relating to		
	International Civil		
	Aviation		
	Other relevant regional		
15	legally binding		
	instruments		
	International Atomic		
16	Energy Agency (IAEA)	Member since 2009	
17	Directly relevant		
	Arrangements		
	Statement on non-		
	provision of WMD and	State reports that it has no experience in the area of weapons of mass destruction, and consequently does not provide any	
18	related materials to	support in this area to either States or non-State actors.	
	non-State actors	support in this area to cities states of hon state actors.	
	Horr State detors	Gulf Cooperation Council (GCC)	
		International Maritime Organization (IMO)	
	Membership in relevant	League of Arab States	
	international, regional	Middle East and North Africa Financial Action Task Force (MENAFATF)	
19	or sub-regional	World Health Organization (WHO)	
	_	World Organization (WHO) World Organization for Animal Health (OIE)	
	organisations	· ·	
		World Customs Organization (WCO SAFE Framework)	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	lational legislation which ohibits persons or entities				<u>N</u> ational legal framework			<u>E</u> n	forcement and civil/criminal penalties	
	to engage in one of the following activities and its enforcement		x /? c w		Source document of national implementation law	N W	x /? c w	B W	Source document	Remarks
1	manufacture	х	х	х	NW/CW/BW: Royal Decree No. 101/97 (treaties acceded to have force of domestic law) NW: Royal Decree No. 91/96 of 22 October 1996 (NPT) CW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997) BW: Royal Decree No. 17/92 (Act ratifying BWC) Royal Decree		х		CW: Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997)	
2	acquire	x	x	х	NW/CW/BW: Royal Decree No. 101/97 (treaties acceded to have force of domestic law) NW: Royal Decree No. 91/96 of 22 October 1996 (NPT) CW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997)					

				BW: Royal Decree No. 17/92 (Act ratifying BWC)		
3	possess	Х	х	NW/CW/BW: Royal Decree No. 101/97 (treaties acceded to have force of domestic law) CW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) BW: Royal Decree No. 17/92 (Act ratifying BWC)	x	CW: Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997)
4	develop	х	х	NW/CW/BW: Royal Decree No. 101/97 (treaties acceded to have force of domestic law) CW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) BW: Royal Decree No. 17/92 (Act ratifying BWC)	x	CW: Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997)
5	transport					
6	transfer	X	x	NW/CW/BW: Royal Decree No. 101/97 (treaties acceded to have force of domestic law) CW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997)	х	CW: Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997)

					BW: Royal Decree No. 17/92 (Act ratifying BWC)					
					NW/CW/BW: Royal Decree No. 101/97 (treaties acceded to have force of domestic law) Penal Code 2018 Article 113				NW/CW/BW: Penal Code 2018 Article 113	
7	use	х	х	X	CW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) BW:	х	X	X	CW: Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997)	
					Royal Decree No. 17/92 (Act ratifying BWC)) :					
8	attempt to engage in abovementioned activities	х	х	x	NW/CW/BW: Penal Code 2018 Article Articles 29-30 on attempts	х	х	Х	NW/CW/BW: Penal Code 2018 Article Articles 29-30 on attempts	
9	participate as an accomplice in abovementioned activities	х	х	х	NW/CW/BW: Penal Code 2018 Articles 38-39 on accomplice	х	х	х	NW/CW/BW: Penal Code Articles 38-39 on accomplice	
10	assist in abovementioned activities	x	х	х	NW/CW/BW: Penal Code 2018 Articles 38-39 on accomplice (willingly assist) CW: Constitution, Article 76 in conjunction with CWC Royal Decree	x	х	х	NW/CW/BW: Penal Code 2018 Articles 38-39 on accomplice (willingly assist)	
11	finance abovementioned activities	?	?	?	NW/CW/BW: Law on Money Laundering (issued by Royal Decree No. 34/2002), Art 2, 11 (suspicious transaction)	?	?	?	NW/CW/BW: Law on Money Laundering, Art 6, 7, 11, 15-18 The competent authority is the Directorate General of Criminal Inquiries and Investigations of the Royal Oman Police.	

12	abovementioned activities related to means of delivery ¹		х	Х	CW/BW: Royal Decree No. 122/94 of 10 December 1994 (Ratifying the CWC) Royal Decree No. 17/92 (Act ratifying BWC)		х	CW: Royal Decree 21/97 on the Regime for the Implementation of the Convention (12 April 1997)	
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^{1.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

do	Measures to establish mestic controls to prevent			<u>N</u> at	ional legal and/or regulatory framework			<u>E</u>	nforcement and civil/criminal penalties	
th	the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		x /? c w	B W *	Source document		N C B W W		Source document	Remarks
1	Measures to account for production	х	x		NW: INFCIRC/691 and SQP CW: Royal Decree No. 122/94 of 10 December 1994 (CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals		x		CW: Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals. Ministry of Regional Municipalities, Environment and Water Resources	
2	Measures to account for use	x	х		NW: INFCIRC/691 and SQP Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials, Article (2) CW: Royal Decree No. 122/94 of 10 December 1994 (CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals	X	х		NW: Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials, Article (2) CW: Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals. Ministry of Regional Municipalities, Environment and Water Resources	
3	Measures to account for storage	х	х		NW: INFCIRC/691 and SQP Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials	х	х		NW: Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials	

				CW: Royal Decree No. 122/94 of 10 December 1994 (CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals			CW: Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997 Royal Decree No. 46/95 Issuing the Law on Handling and Use of Chemicals. Ministry of Regional Municipalities, Environment and Water Resources
4	Measures to account for transport	х	х	NW: Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials CW: Royal Decree No. 122/94 of 10 December 1994 (CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals	х	х	NW: Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials CW: Royal Decree No. 122/94 of 10 December 1994 (CWC) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals
5	Measures to secure production	N A		NW: INFCIRC/691 and SQP	N A		NW: INFCIRC/691 and SQP
6	Measures to secure use	N A	x	NW: INFCIRC/691 and SQP CW: Royal decree 46/95, Issuing the Law on Handling and Use of Chemicals. Ministry of Regional Municipalities, Environment and Water Resources	N A	?	NW: INFCIRC/691 and SQP CW: Ministerial Decision Number 317/2001, Issuing the Regulations for the Packing, Packaging, and Labelling of Hazardous Chemicals
7	Measures to secure storage	Х		NW: INFCIRC/691 and SQP Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials	х		NW: INFCIRC/691 and SQP Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials
8	Measures to secure transport	Х		NW: INFCIRC/691 and SQP	х		NW: INFCIRC/691 and SQP

				Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials			Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials	
9	Physical protection measures	х		NW: INFCIRC/691 and SQP Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials	х		NW: INFCIRC/691 and SQP Ministerial Decision No. 281/2003 Regulations for the control and management of radioactive materials	
10	Personnel Reliability							

^{2.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/http://www.unog.ch/80256EE600585943/http://www.unog.ch/80256EE600585943/

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

dor the	Measures to establish nestic controls to prevent proliferation of NW, and heir means of delivery; controls over related materials	Source document							
1	National regulatory authority	Ministry of Environment and Climate Affairs Royal Decree No. 114/2001 Law on the Conservation of the Environment and Prevention of Pollution							
2	Licensing of nuclear installations/entities/use of materials	Royal Decree No. 114/2001 gives the authority to the Minister in charge of the Environment to issue regulations for the management and control of radioactive material and nuclear establishments. Within the Ministry of Environment and Climate Affairs, and under the authority of the Minister, the Department of Radiation Protection has been entrusted with tasks for the implementation of the laws and regulations governing radioactive materials.							
3	IAEA Safeguards Agreements	INFCIRC/691, and SQP 5 September 2006							
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources	Notification pursuant to GC(47)/RES/7.B							
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Contact point designated							
6	IAEA Incident and Trafficking Database	Participates							
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)	INSSP adopted							
8	Applying the physical protection								

	recommendations in INFCIRC/225/Rev.5	
	Other Agreements	
9	related to IAEA	
	National legislation and	
10	regulations related to	
10	nuclear material	
	including CPPNM	

V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

	Measures to establish mestic controls to prevent e proliferation of CW, and their means of delivery; controls over related materials	Source document	Remarks
1	National CWC authority	Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) Ministry of Foreign Affairs	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Ministerial Decision Ministerial Decision No. 248/97, Ministry of Regional Municipalities, Environment and Water Resources [issuing the regulations for the registration of hazardous chemical substance and the relevant permits] (6 July 1997) Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997)	
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document					
1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials						

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

and	Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		<u>N</u> ational legal framework					En		
of i			X /? N C B W W W		Source document	N W	x /? c w	В	Source document	Remarks
1	Border control to detect, deter, prevent and combat illicit trafficking	x	x	x	NW/CW/BW: GCC Common Customs Law, and its Rules of Implementation and Explanatory Notes, through Sultani Decree No. 67/2003 The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48, governs the issues of the acquisition of arms and ammunition and traffic in, repair, import and export of the same GCC Laws on Veterinary Quarantine and Plant Quarantine implemented through Sultani Decrees No. 45/2004 and 47/2004. The Regulations of the Plant Quarantine Law were issued through Ministerial Decision No. 32/2006.	х	x	x	NW/CW/BW: The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48, Articles 19-26 Regulation No. 222/98 Directorate General of Police and Customs within the Royal Oman Police Directorate General for Ports and the Directorate General for Maritime Affairs (DGMA), under the MTC	
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	х	x	х	NW/CW/BW: GCC Common Customs Law, and its Rules of Implementation and Explanatory Notes, through Royal Decree No. 67/2003 The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48	x	x	x	NW/CW/BW: Directorate General of Police and Customs within the Royal Oman Police	
3	Border control detection measures	х	Х	х	NW/CW/BW: New customs inspections equipment that contributes to preventing the smuggling and transit of WMD weapons through Oman's territory.	х	х	х	NW/CW/BW: New customs inspections equipment that contributes to preventing the smuggling and transit of WMD weapons through Oman's territory.	

4	Control of brokering		×		CW: The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48	?	x	ý	NW/CW/ BW: The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48, Articles 19-26 Regulation No. 222/98
5	Export control legislation in place	х	х	х	NW/CW/BW: GCC Common Customs Law	х	Х	Х	NW/CW/BW: GCC Common Customs Law Regulation No. 222/98
6	Licensing provisions and Authority	?	?	?	NW/CW/BW: GCC Common Customs Law CW: Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48, Articles 3 and 5 (on gas)	?	х	ş	NW/CW/BW: GCC Common Customs Law Regulation No. 222/98 Directorate General of Police and Customs within the Royal Oman Police CW: Royal Decree 21/97 on the Regime for the Implementation of the CWC (12 April 1997) The Weapons and Ammunition Law, issued pursuant to Royal Decree No. 90/36 and amended pursuant to Royal Decree No. 96/48, Articles 3 and 5 (on gas)
7	Control lists of materials, equipment and technology								
8	Intangible technology transfers								
9	Inclusion of means of delivery								
10	End-user controls								
11	Catch all clause								
12	Transit control								

1	13	Trans-shipment control
1	14	Re-export control
1	15	Control over financing of exports/transhipments hat would contribute to proliferation
1	16	Control over services elated to exports/ ranshipments that vould contribute to proliferation including ransportation

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

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1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	