

# APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An "X" in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An "X" against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A "?" in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A "NA" (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an "X" or "?" against a particular data field.

<b>State:</b>	<b>Saint Lucia</b>
<b>Date of Report:</b>	<b>3 December 2009</b>
<b>Dates of Additional Reports:</b>	
<b>Date of Approval:</b>	<b>9 December 2020</b>

## I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.		Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1	Nuclear Non-Proliferation Treaty (NPT)	Deposit 28 December 1979	
2	Nuclear Weapons Free Zone/ Protocol(s)	Treaty of Tlatelolco: Deposit 2 June 1995	
3	International Convention for the Suppression of Acts of Nuclear Terrorism	Deposit 12 November 2012	
4	Convention on Physical Protection of Nuclear Material (CPPNM)	Deposit 14 September 2012	
5	2005 Amendment to the CPPNM	Deposit 8 November 2012	
6	Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Deposit 5 April 2001	
7	Chemical Weapons Convention (CWC)	Deposit 9 April 1997	
8	Biological Weapons Convention (BWC)	Deposit 26 November 1986	
9	Geneva Protocol of 1925	Deposit 21 December 1988	
10	1997 International Convention for the Suppression of Terrorist Bombings	Deposit 17 October 2012	
11	1999 International Convention for the Suppression of the Financing of Terrorism	Deposit 18 November 2011	

12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation	Deposit 8 November 2012	
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf	Deposit 8 November 2012	
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation	Deposit 12 September 2012	
15	Other relevant regional legally binding instruments	Inter-American Convention Against Terrorism: Deposit 3 June 2002	
16	International Atomic Energy Agency (IAEA)	Deposit 5 February 2019	
17	Directly relevant Arrangements	Proliferation Security Initiative (PSI)	
18	Statement on non-provision of WMD and related materials to non-State actors		
19	Membership in relevant international, regional or sub-regional organisations	Caribbean Community (CARICOM) International Maritime Organization (IMO) Organisation of Eastern Caribbean States (OECS) Organization of American States (OAS) World Customs Organization (SAFE Framework) World Health Organization (WHO) World Organisation for Animal Health (OIE) INTERPOL Inter-Parliamentary Union (IPU) International Monetary Fund (IMF) World Bank	

## II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework					Enforcement and civil/criminal penalties			Remarks
		X / ?			Source document of national implementation law	X / ?			Source document	
		N W	C W	B W		N W	C W	B W		
1	manufacture		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (prohibition on producing a chemical weapon)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64 (penalty)	
2	acquire		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (acquire a chemical weapon)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64 (penalty)	
3	possess		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (retain a chemical weapon)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64	
4	develop		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (develop a chemical weapon)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64	
5	transport									
6	transfer		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (transfer directly or indirectly a chemical weapon)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64	
7	use	X	X	X	<b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 7 (use of property to commit a terrorist act, which is defined to include an act or threat of action involving releasing into the environment or distributing or exposing the public to any radioactive substance, any toxic	X	X	X	<b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 7 (criminal penalty), Part 3 (investigation of offences)	

				<p>chemical or any microbial or other biological agent or toxin to intimidate the public, compel a government or an international organisation to do or refrain from doing any act or to advance a political, ideological or religious cause)</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (use a chemical weapon)</p>				<p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64</p>		
8	attempt to engage in abovementioned activities	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 20 (attempts)</p>	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 20 (criminal penalty), Part 3 (investigation of offences)</p>	
9	participate as an accomplice in abovementioned activities	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 18 (membership of terrorist group)</p>	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 18, Part 3</p>	
10	assist in abovementioned activities	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Sections 5 (provision or collection of property to commit terrorist acts), 10 (soliciting and giving of support for the commission of a terrorist act), 14 (provision of training and instruction to terrorist groups and persons committing terrorist acts), 16 (provide facilities in support of terrorist acts), 20 (aid and abet)</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 26 (assist)</p>	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Sections 5, 10, 14, 16, 20, Part 3</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 64</p>	
11	finance abovementioned activities	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Section 6 (provision of financial services intending that they be used for the purpose of committing or facilitating the commission of a terrorist act)</p>	X	X	X	<p><b>NW/CW/BW:</b> Anti-Terrorism Act 36 of 2003, as amended, Sections 6 (criminal penalty), 32 (duty to disclose information to the Financial Intelligence Authority)</p> <p>Anti-terrorism (Guidance Notes) Regulations, Schedule (Anti-terrorism financing guidance notes for financial institutions)</p>	

								<p>Money Laundering Prevention Act No. 8 of 2010, as amended, Sections 4 (Financial Intelligence Authority (FIA)), 41 (duties of Central Bank in relation to terrorist financing)</p> <p>Money Laundering (Prevention) (Guidance Notes) Regulations, S.I., 2010, No. 55, 2010 made by Attorney General pursuant to Section 43 of MLPA No. 8 of 2010, as amended, incorporating guidelines issued by FIA</p>	
12	abovementioned activities related to means of delivery <sup>1</sup>		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Article 26		X	<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Article 64	

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

**III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials <sup>2</sup>**

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework			Enforcement and civil/criminal penalties			Remarks		
		X /?			Source document	X /?			Source document	
		N W	C W	B W *		N W	C W			B W
1	Measures to account for production	X	X		<p><b>NW:</b> INFCIRC/379 (2 February 1990) SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 35 (authorisation of facilities that produce Schedule 1 chemicals), 37 (authorisation for facilities that produce Schedule 2 chemicals), 39 (authorisation of facilities that produce Schedule 3 chemicals), 45 (record-keeping)</p>		X		<p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7 (offences)</p>	
2	Measures to account for use	X	X		<p><b>NW:</b> INFCIRC/379 (2 February 1990) SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 35 (authorisation of facilities that consume or use Schedule 1 chemicals), 37 (authorisation for facilities that process or consume Schedule 2 chemicals), 45 (record-keeping)</p>		X		<p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7 (offences)</p>	
3	Measures to account for storage	X	X		<p><b>NW:</b> INFCIRC/379 (2 February 1990) SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 35 (authorisation of facilities that retain Schedule 1 chemicals; compliance</p>		X		<p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7 (offences)</p>	

				with storage requirements stipulated in the license), 37 (compliance with storage requirements for Schedule 2 chemicals stipulated in the license), 39 (compliance with storage requirements for Schedule 3 chemicals stipulated in the license), 45 (record-keeping)				
4	Measures to account for transport							
5	Measures to secure production	N A	X	<p><b>NW:</b> SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 35 (compliance with prescribed standards for the construction and operation of facilities that produce Schedule 1 chemicals), 37 (compliance with prescribed standards for the construction and operation of facilities that produce Schedule 2 chemicals), 39 (compliance with prescribed standards for the construction and operation of facilities that produce Schedule 3 chemicals)</p>	N A	X	<p><b>NW:</b> SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7 (offences)</p>	
6	Measures to secure use	N A	X	<p><b>NW:</b> SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 35 (compliance with prescribed standards for the construction and operation of facilities that consume or use Schedule 1 chemicals), 37 (compliance with prescribed standards for the construction and operation of facilities that process or consume Schedule 2 chemicals)</p>	N A	X	<p><b>NW:</b> SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7 (offences)</p>	
7	Measures to secure storage	N A	X	<p><b>NW:</b> SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 35 (compliance with</p>	N A	X	<p><b>NW:</b> SQP</p> <p><b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7</p>	



				prescribed standards for the construction and operation of facilities that retain Schedule 1 chemicals; compliance with storage requirements stipulated in the license), 37 (compliance with storage requirements for Schedule 2 chemicals stipulated in the license), 39 (compliance with storage requirements for Schedule 3 chemicals stipulated in the license)				(offences)	
8	Measures to secure transport								
9	Physical protection measures	N A		<b>NW:</b> SQP	N A			<b>NW:</b> SQP	
10	Personnel Reliability								

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

\* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

**IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)**

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority		
2	Licensing of nuclear installations/entities/ use of materials		
3	IAEA Safeguards Agreements	INFCIRC/379 (2 February 1990) SQP	
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources		
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources		
6	IAEA Incident and Trafficking Database		
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA		

10	National legislation and regulations related to nuclear material including CPPNM		
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**V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)**

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Pesticides and Toxic Chemicals Control Act No. 15 of 2001, Section 15 (Pesticides and Toxic Chemicals Control Board also serves as CWC National Authority)	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials	Pesticides and Toxic Chemicals Control Act No. 15 of 2001, Part 4 (licenses and permits)	
3	Old or abandoned chemical weapons		

**VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)**

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/ persons/entities/use/ handling of materials		

**VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials**

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials	National legal framework						Enforcement and civil/criminal penalties						Remarks
	X /?			Source document	X /?			Source document					
	N W	C W	B W		N W	C W	B W						
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	<p><b>NW/CW/BW:</b> Customs (Control and Management) Act No. 23 of 1990, as amended, Sections 32 (prohibited or restricted imports), 41 (prohibited or restricted exports), 84, Schedule 3 (prohibited and restricted imports and exports)</p> <p>External Trade Act No. 5 of 1968, as amended, Sections 3 (restrictions on imports), 4 (license to import), 5 (restrictions on exports), 6 (license to export)</p> <p><b>BW:</b> Plant Protection Act, No. 21 of 1988, as amended, Sections 3 (power of Minister responsible for agriculture to prohibit import of, inter alia, plant pests which is defined to include, inter alia, bacteria and viruses), 5-6 (authorisation to import, inter alia, a plant pest)</p> <p>Plant Protection Regulations, Statutory Instrument 66/1995, Section 16 (import permit for, inter alia, plant pests)</p> <p>Animals (Diseases and Importation) Act 20 of 1955, as amended, Sections 3 (issuance of export certificate for, inter alia, veterinary</p>	X	X	X	<p><b>NW/CW/BW:</b> Customs (Control and Management) Act, as amended, Sections 4 (Comptroller of Customs, St Lucia Customs and Excise Department), 32 (forfeiture of prohibited or restricted imports), 41 (criminal penalty related to prohibited or restricted exports)</p> <p>External Trade Act No. 5 of 1968, as amended, Section 10 (offences and penalties)</p> <p>Saint Lucia Air and Sea Ports Authority Act 10 of 1983, as amended, Section 74 (St Lucia Ports Authority Police)</p> <p><b>BW:</b> Plant Protection Act, No. 21 of 1988, as amended, Sections 3 and 5-6 (Minister responsible for agriculture), 11 (notification by Comptroller of Customs to plant quarantine officer of plant pest import), 16 (enforcement authority), 34 (criminal penalties)</p> <p>Animals (Diseases and Importation) Act 20 of 1955, as amended, Sections 3 and 14 (Veterinary Authority), 15 (penalty for importing veterinary biological products without a permit), 26-28 (offences and penalties)</p>				

				biological product which is defined to include, inter alia, micro-organisms), 14 (import permit for, inter alia, veterinary biological products), 17 (power of Minister responsible for agriculture to prohibit import of, inter alia, veterinary biological products)					
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	<b>NW/CW/BW:</b> Customs (Control and Management) Act No. 23 of 1990, as amended, Section 8 (assistance to be rendered by the police)  Saint Lucia Air and Sea Ports Authority Act 10 of 1983, as amended, Section 74 (St Lucia Ports Authority Police)  <b>BW:</b> Animals (Diseases and Importation) Act 20 of 1955, as amended, Sections 24 and 25 (powers of constables and inspectors)	X	X	X	<b>NW/CW/BW:</b> Customs (Control and Management) Act No. 23 of 1990, as amended, Section 8 (assistance to be rendered by the police)  Saint Lucia Air and Sea Ports Authority Act 10 of 1983, as amended, Section 74 (St Lucia Ports Authority Police)  <b>BW:</b> Animals (Diseases and Importation) Act 20 of 1955, as amended, Sections 24 and 25 (powers of constables and inspectors)
3	Border control detection measures					?	?	?	<b>NW/CW/BW:</b> Uses ASYCUDA++ electronic filing system
4	Control of brokering								
5	Export control legislation in place		X	X	<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 34 (authorisation of exports of Schedule 1 chemicals), 36 (authorisation of exports of Schedule 2 chemicals), 38 (authorisation of exports of Schedule 3 chemicals)  <b>BW:</b> Animals (Diseases and Importation) Act 20 of 1955, as amended, Section 3 (issuance of export certificate for, inter alia, veterinary biological product which is defined to include, inter alia, micro-organisms)	X	X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Part 5 (monitoring compliance), Part 7 (offences)  <b>BW:</b> Animals (Diseases and Importation) Act 20 of 1955, as amended, Sections 26-28 (offences and penalties)

6	Licensing provisions and Authority		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Section 41 (issuance of licenses)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Sections 5 and 41 (Pesticides and Toxic Chemicals Control Board)	
7	Control lists of materials, equipment and technology		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Schedule 1 (Schedule 1 chemicals), Schedule 2 (Schedule 2 chemicals), Schedule 3 (Schedule 3 chemicals)		X		<b>CW:</b> Pesticides and Toxic Chemicals Control Act 15 of 2001, Schedule 1 (Schedule 1 chemicals), Schedule 2 (Schedule 2 chemicals), Schedule 3 (Schedule 3 chemicals)	
8	Intangible technology transfers									
9	Inclusion of means of delivery									
10	End-user controls									
11	Catch all clause									
12	Transit control									
13	Trans-shipment control									
14	Re-export control									
15	Control over financing of exports/transshipments that would contribute to proliferation									
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation									



**VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information**

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	