

APPROVED 1540 COMMITTEE MATRIX

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008), 1977 (2011), 2055 (2012) and 2325 (2016). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

Matrix entries are only indicators of fact and not indicators of the degree of compliance under resolution 1540 (2004) and its successor resolutions. Thus:

An “X” in any data field signifies only that the 1540 Committee considers that a State has taken the steps required, and/or has provided specific references to the applicable legal basis or executive behaviour as evidence of such steps. An “X” against any data field does not necessarily signify that a State has met in full its 1540 obligations for that data field.

A “?” in any data field signifies that the references to legislative or other measures may not be directly relevant or are incomplete.

A “NA” (Not Applicable) in any data field signifies that the data field is not applicable to that State where through legally binding instruments States specified that they do not possess related materials or facilities.

A blank in any data field signifies that there is insufficient information available to enter an “X” or “?” against a particular data field.

State: Democratic Republic of Sao Tome and Principe

Date of Report: 9 July 2015

Dates of Additional Reports:

Date of Approval: 9 December 2020

I. OP 1 and related matters from OP 5, OP 8 (a), (b), (c) and OP10

Adherence to legally binding instruments, membership of organisations, participation in arrangements and statements made.	Relevant information (i.e. signing, deposit of instrument of accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
1 Nuclear Non-Proliferation Treaty (NPT)	Deposit 20 July 1983	
2 Nuclear Weapons Free Zone/ Protocol(s)	Treaty of Pelindaba: Signed 9 July 1996	
3 International Convention for the Suppression of Acts of Nuclear Terrorism	Signed, 19 December 2005	
4 Convention on Physical Protection of Nuclear Material (CPPNM)		
5 2005 Amendment to the CPPNM		
6 Comprehensive Nuclear-Test-Ban Treaty (CTBT) (not in force)	Signed 26 September 1996	
7 Chemical Weapons Convention (CWC)	Deposit 9 September 2003	
8 Biological Weapons Convention (BWC)	Deposit 24 August 1979	
9 Geneva Protocol of 1925		
10 1997 International Convention for the Suppression of Terrorist Bombings	Deposit 12 April 2006	
11 1999 International Convention for the	Deposit 12 April 2006	

	Suppression of the Financing of Terrorism		
12	2005 Protocol to the Convention for the suppression of unlawful acts against the safety of maritime navigation		
13	2005 Protocol to the Protocol for the suppression of unlawful acts against the safety of fixed platforms located on the continental shelf		
14	2010 Convention on the Suppression of Unlawful Acts Relating to International Civil Aviation		
15	Other relevant regional legally binding instruments	OAU Convention on the Prevention and Combating of Terrorism: Signed 1 February 2010 Protocol to the OAU Convention on the Prevention and Combating of Terrorism: Signed 1 February 2010	
16	International Atomic Energy Agency (IAEA)		
17	Directly relevant Arrangements		
18	Statement on non-provision of WMD and related materials to non-State actors	State reported that it does not provide any support to non-State actors attempting to develop, acquire, manufacture, possess, transport, transfer or use nuclear, chemical or biological weapons and their means of delivery	
19	Membership in relevant international, regional or sub-regional organisations	African Union (AU) Economic Community of Central African States (ECCAS) Inter-Governmental Action Group against Money Laundering in West Africa (GIABA) International Maritime Organization (IMO) World Health Organization (WHO) World Customs Organization (WCO), Signed Declaration on Implementation of the World Customs Organization (WCO) 2005 Framework of Standards to Secure and Facilitate Global Trade (SAFE Framework of Standards) World Organisation for Animal Health (OIE) International Criminal Police Organization (INTERPOL) State party to the 2005 Revised Cotonou Agreement, as revised (ACP member) International Monetary Fund World Bank	

II. OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

National legislation which prohibits persons or entities to engage in one of the following activities and its enforcement		National legal framework				Enforcement and civil/criminal penalties			Remarks	
		X / ?			Source document of national implementation law	X / ?				Source document
		N W	C W	B W		N W	C W	B W		
1	manufacture	X	X		NW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397 CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397 (toxic and asphyxiating gases)	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	
2	acquire	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	
3	possess	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	
4	develop									
5	transport									
6	transfer	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	X	X		NW/CW: Penal Code, Law No. 6/2012, Sections 324, 375 and 397	
7	use	X	X	X	NW: Penal Code, Law No. 6/2012, Sections 320, 321, 322, 359, 360, 375 and 397	X	X	X	NW: Penal Code, Law No. 6/2012, Sections 320, 321, 322, 359, 360, 375 and 397	

					CW: Penal Code, Law No. 6/2012, Sections 323, 333, 359, 360, 375 and 397 BW: Penal Code, Law No. 6/2012, Sections 337, 338, 359 and 360				CW: Penal Code, Law No. 6/2012, Sections 323, 333, 359, 360, 375 and 397 BW: Penal Code, Law No. 6/2012, Sections 337, 338, 359 and 360	
8	attempt to engage in abovementioned activities	X	X	X	NW/CW/BW: Penal Code Law 6/2012, Articles 260 (2) and 397 (3)	X	X	X	NW/CW/BW: Penal Code Law 6/2012, Articles 260 (2) and 397 (3)	
9	participate as an accomplice in abovementioned activities	X	X	X	NW/CW/BW: Penal Code Law 6/2012, Article 27	X	X	X	NW/CW/BW: Penal Code Law 6/2012, Article 27	
10	assist in abovementioned activities									
11	finance abovementioned activities	X	X	X	NW/CW/BW: Law No. 8 of 2013 (Preventing and Combating Money Laundering and Terrorist Financing) (Article 4 (definition of Terrorist Act) and Article 6) Law No. 60 of 2009 (Financial Intelligence Unit)	X	X	X	NW/CW/BW: Law No. 8 of 2013 (Preventing and Combating Money Laundering and Terrorist Financing) (Article 4 (definition of Terrorist Act) and Article 6) Law No. 60 of 2009 (Financial Intelligence Unit)	
12	abovementioned activities related to means of delivery ¹									

1. Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

III. OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ²

Measures to establish domestic controls to prevent the proliferation of NW, CW, BW, and their means of delivery; controls over related materials		National legal and/or regulatory framework				Enforcement and civil/criminal penalties				Remarks
		X / ?			Source document	X / ?			Source document	
		N W	C W	B W *		N W	C W	B W		
1	Measures to account for production									
2	Measures to account for use	?	?	?	NW/CW/BW: Environmental Law No. 10/1999 (Articles 24, 40 and 62 (f)) BW: Animal Health Law No. 13/2005 (Articles 76-78 and 86)	?	?	?	NW/CW/BW: Environmental Law No. 10/1999 (Articles 24, 40 and 62 (f)) BW: Animal Health Law No. 13/2005 (Articles 162 and 163)	
3	Measures to account for storage									
4	Measures to account for transport			?	BW: Animal Health Law No. 13/2005 (Article 22)			?	BW: Animal Health Law No. 13/2005 (Articles 162 and 163)	
5	Measures to secure production									
6	Measures to secure use									
7	Measures to secure storage	?	?	?	NW/CW/BW: Decree 4/2010 (Implementation of the International Ship and Port Facility Security Code (ISPS Code))	?	?	?	NW/CW/BW: Decree 4/2010 (Implementation of the International Ship and Port Facility Security Code (ISPS Code))	
8	Measures to secure transport	?	?	?	NW/CW/BW: Decree 4/2010 (Implementation of the International Ship and Port Facility Security Code (ISPS Code))	?	?	?	NW/CW/BW: Decree 4/2010 (Implementation of the International Ship and Port Facility Security Code (ISPS Code))	

9	Physical protection measures								
10	Personnel Reliability								

2. Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

* Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: [http://www.unog.ch/80256EE600585943/\(httpPages\)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument](http://www.unog.ch/80256EE600585943/(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument))

IV. OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Measures to establish domestic controls to prevent the proliferation of NW, and their means of delivery; controls over related materials		Source document	Remarks
1	National regulatory authority		
2	Licensing of nuclear installations/entities/ use of materials		
3	IAEA Safeguards Agreements		
4	IAEA Code of Conduct on Safety and Security of Radioactive Sources		
5	Supplementary Guidance on the Import and Export of Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources		
6	IAEA Incident and Trafficking Database		
7	Integrated Nuclear Security Support Plan (INSSP) / International Physical Protection Advisory Service (IPPAS)		
8	Applying the physical protection recommendations in INFCIRC/225/Rev.5		
9	Other Agreements related to IAEA		

10	National legislation and regulations related to nuclear material including CPPNM		
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V. OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Measures to establish domestic controls to prevent the proliferation of CW, and their means of delivery; controls over related materials		Source document	Remarks
1	National CWC authority	Ministry of Foreign Affairs and Communities	
2	Licensing/registration of installations/facilities/ persons/entities/use/ handling of related materials		
3	Old or abandoned chemical weapons		

VI. OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Measures to establish domestic controls to prevent the proliferation of BW, their means of delivery; controls over related materials		Source document	Remarks
1	Licensing/registration of installations/facilities/persons/entities/use/handling of materials		

VII. OP 3 (c) and (d) and related matters from OP 6 - Controls of NW, CW and BW, including Related Materials

Border controls and export and trans-shipment controls to prevent the proliferation of nuclear, chemical and biological weapons and their means of delivery including related materials		National legal framework					Enforcement and civil/criminal penalties					Remarks
		X/?			Source document	X/?			Source document			
		N W	C W	B W		N W	C W	B W				
1	Border control to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/BW/CW: Customs Code Decree Law No. 39/2009	X	X	X	NW/CW/BW: Customs Code Decree Law No. 39/2009 Customs Authority			
2	Law enforcement to detect, deter, prevent and combat illicit trafficking	X	X	X	NW/CW/BW: Customs Code Decree Law No. 39/2009	X	X	X	NW/CW/BW: Customs Code Decree Law No. 39/2009 Customs Authority			
3	Border control detection measures					?	?	?	NW/CW/BW: ASYCUDA (Automated System for Customs Data)			
4	Control of brokering											
5	Export control legislation in place			?	BW: Decree 40/99 - Agronomic Centre of Technologic Investigation ("Centro do Investigação Agronómica e Tecnológica de S. Tome e Principe") is mandated to issue import/export certificates for plant products. Animal Health Law 13/2005			?	BW: Decree 40/99 - Agronomic Centre of Technologic Investigation ("Centro do Investigação Agronómica e Tecnológica de S. Tome e Principe") is mandated to issue import/export certificates for plant products. Animal Health Law 13/2005			
6	Licensing provisions and Authority			?	BW: Decree 40/99 - Agronomic Centre of Technologic Investigation ("Centro do Investigação Agronómica e Tecnológica de S. Tome e Principe") is mandated to issue import/export certificates for plant products Animal Health Law 13/2005			?	BW: Decree 40/99 - Agronomic Centre of Technologic Investigation ("Centro do Investigação Agronómica e Tecnológica de S. Tome e Principe") is mandated to issue import/export certificates for plant products. Animal Health Law 13/2005			

7	Control lists of materials, equipment and technology								
8	Intangible technology transfers								
9	Inclusion of means of delivery								
10	End-user controls								
11	Catch all clause								
12	Transit control								
13	Trans-shipment control								
14	Re-export control								
15	Control over financing of exports/transshipments that would contribute to proliferation	?	?	?	NW/CW/BW: Law No. 8 of 2013 (Preventing and Combating Money Laundering and Terrorist Financing) Law No. 60 of 2009 (Financial Intelligence Unit)	?	?	?	NW/CW/BW: Law No. 8 of 2013 (Preventing and Combating Money Laundering and Terrorist Financing) Law No. 60 of 2009 (Financial Intelligence Unit)
16	Control over services related to exports/transshipments that would contribute to proliferation including transportation								

VIII. OP 7 and 8 (d) - Assistance, Work with and inform Industry and Public, and other Information

1	Assistance offered	
2	Assistance Point of Contact (for assistance providers only)	
3	Assistance requested	
4	Action taken to work with and inform industry	
5	Action taken to work with and inform the public	
6	Point of Contact	Ministry of Foreign Affairs and Communities Directorate of Foreign Policy E-mail: esterline.genero@diplomats.com Avenida Amilcar Cabral, 101/201, Tel (+239) 2225020
7	Voluntary National Implementation Action Plan (NAP)	
8	1540 Committee visits to States	