



UZ 203/07

December 28, 2007

Excellency,

Referring to your letter #S/AC.44/2007/ODA/OC.132 dated 26 October 2007, I am honored to convey the updated matrix originated from the first report of the Republic of Uzbekistan regarding the implementation of the UNSC Resolution 1540.

Please be aware that the matrix has been updated by the State Inspection of Uzbekistan "Sanoatkontekhnazorat" (introduced changes are marked). It also contains the detailed information on legal measures taken by the Republic of Uzbekistan on implementation of the chemical, biological and nuclear control.

I avail myself of this opportunity to renew to you, Excellency, the assurances of my highest consideration.

A handwritten signature in black ink, appearing to read "A. Vohidov".

Alisher Vohidov
Ambassador,
Permanent Representative
of the Republic of Uzbekistan
to the United Nations

H.E. Mr. Peter Burian,
Chairman, Security Council Committee
established pursuant to resolution 1540 (2004)
New York

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: **Uzbekistan**
Date of Report: 15 November 2004

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements?		YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc.)	Remarks (information refers to the page of the English version of the report or an official web site)
1.	General statement on non-possession of WMD			
2.	General statement on commitment to disarmament and non-proliferation			
3.	General statement on non-provision of WMD and related materials to non – State actors			
4.	Biological Weapons Convention (BWC)	X	Deposit 12 January 1996	Page 3 of the report http://disarmament.un.org:8080/Treaty Status.nsf
5.	Chemical Weapons Convention (CWC)	X	in force 29 April 1997	page 3 of the report
6.	Nuclear Non – Proliferation Treaty (NPT)	X	Deposit 07 May 1992	Page 2 of the report http://disarmament.un.org:8080/Treaty Status.nsf

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non – proliferation obligations but for facilitating the implementation of Security Council Resolution 1540 and 1673. They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non – proliferation or any other obligations

7.	Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Deposit 29 My 1997	http://disarmament.un.org:8080 O/Treaty Status.nsf
8.	Convention on Physical Protection of Nuclear Material (CPPNM)	X	In force 11 March 1998	page 3 of the report http://www.iaea.org/Publications/Documents/Conventions/cppnstatus.pdf
9.	Hague Code of Conduct (HCOC)	X	Subscribed	http://www.aussenministerium.at/up-media/1679 list of hcoc subscribing states. Doc
10.	Geneva Protocol of 1925			
11.	International Atomic Energy Agency (IAEA)	X	Since 1994	page 2 of the report http://disarmament.un.org:8080 O/Treaty Status.nsf
12.	Nuclear Weapons Free Zone/Protocol(s)			
13.	Other Conventions/Treaties	X	Convention on the Marking of Plastic Explosives for the Purpose of Detection	page 3 of the report
14.	Other Arrangements			
15.	Other			

OP 2 – Biological Weapons (BW)

State: **Uzbekistan**
Date of Report: 15 November 2004

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1.	manufacture/produce			X	Criminal Code, Article 255-1	page 4 of the report
2.	acquire			X	Criminal Code, Article 255-1 and 247	
3.	possess			X	Criminal Code, Article 255-1	
4.	stockpile/store			X		
5.	develop			X		
6.	transport			X	Criminal Code, Article 255-1	page 4 of the report
7.	transfer			X	Criminal Code, Article 255-1	
8.	use					
9.	participate as an accomplice in a.m. activities					
10.	assist in a.m. activities					
11.	finance a.m. activities			X	Criminal Code, Article 255-1	page 4 of the report
12.	a.m. activities related to means of delivery	X	National law on Transit of special goods and Military contingents № 213-II 11.05.2001			
13.	involvement of non – State actors in a.m. activities					
14.	Other					page 4 of the report

OP 2 – Chemical Weapons (CW)

State: **Uzbekistan**
Date of Report: 15 November 2004

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1.	manufacture/produce			X	Criminal Code, Article 255-1	page 4 of the report
2.	acquire			X	Criminal Code, Article 255-1 and 247	
3.	possess			X	Criminal Code, Article 255-1	
4.	stockpile/store			X		
5.	develop			X		
6.	transport			X	Criminal Code, Article 255-1	
7.	transfer			X	Criminal Code, Article 255-1	page 4 of the report
8.	use					
9.	participate as an accomplice in a.m. activities					
10.	assist in a.m. activities					
11.	finance a.m. activities			X	Criminal Code, Article 255-1	page 4 of the report
12.	a.m. activities related to means of delivery		National law on Transit of special goods and Military contingents № 213-II 11.05.2001			
13.	involvement of non – State actors in a.m. activities					
14.	Other					

OP 2 – Nuclear Weapons (NW)

State: **Uzbekistan**
Date of Report: 15 November 2004

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1.	manufacture/produce			X	Criminal Code, Article 255-1	page 4 of the report
2.	acquire			X	Criminal Code, Article 255-1 and 247	
3.	possess			X	Criminal Code, Article 255-1	
4.	stockpile/store			X		
5.	develop			X		
6.	transport		Criminal Code, Republic of Uzbekistan	X	Criminal Code, Article 253	page 297
7.	transfer			X	Criminal Code, Article 254	page 4 of the report
8.	use		Criminal Code, Republic of Uzbekistan	X	Criminal Code, Article 254	page 298
9.	participate as an accomplice in a.m. activities					
10.	assist in a.m. activities					
11.	finance a.m. activities			X	Criminal Code, Article 255-1	page 4 of the report
12.	a.m. activities related to means of delivery		National law on Transit of special goods and Military contingents № 213-II 11.05.2001			
13.	involvement of non – State actors in a.m. activities					
14.	Other					

OP 3 (a) and (b) – Account for/Secure/Physically protect BW including Related Materials

State:

Uzbekistan

Date of Report:

15 November 2004

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1.	Measures to account for production					
2.	Measures to account for use			X	Appointed persons responsible for receipt, accounting for, storage and issuance of pathogenic materials	page 5 of the report
3.	Measures to account for storage			X		
4.	Measures to account for transport					
5.	Other measures for accounting					
6.	Measures to secure production	X	Work in accordance with instructions on risk groups	X	Facilities' safety commissions, strictly limited access	page 5 of the report
7.	Measures to secure for use	X		X		page 5 of the report
8.	Measures to secure storage	X	Established storage standards	X		page 5 of the report
9.	Measures to secure transport					
10.	Other measures for securing			X	Criminal Code, Article 251: unlawful acquisition of virulent substances	page 4 of the report
11.	Regulations for physical protection of facilities/materials/ transports	X	Ministry of Health has verified the physical protection of pathogenic organisms	X	US DTRA activity to enhance physical protection and biological safety of laboratories	pages 5 and 6 of the report
12.	Licensing/registration of facilities/persons handling biological materials	X	Safety Commission of the Ministry of Health issues permits to work with pathogenic organisms			page 5 of the report
13.	Reliability check of personnel	X	SOP and ROPs for reliability check of personnel			page 5 of the report

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14.	Measures to account for/secure/physically protect means of delivery			X	Specialized services account for and protect weapons and monitor their use and storage	page 3 of the report
15.	Regulations for genetic engineering work					
16.	Other legislation/regulations related to safety and security of biological materials	X	National law on State Sanitary Control №657-XII 03.07.1992			page 5 of the report
17.	Other					page 4 of the report

OP 3 (a) and (b) – Account for/Secure/Physically protect CW including Related Materials

State:

Uzbekistan

Date of Report:

15 November 2004

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1.	Measures to account for production					
2.	Measures to account for use					
3.	Measures to account for storage					
4.	Measures to account for transport					
5.	Other measures for accounting					
6.	Measures to secure production					
7.	Measures to secure for use					
8.	Measures to secure storage					
9.	Measures to secure transport					
10.	Other measures for securing			X	Criminal Code, Article 251: unlawful acquisition of poisonous substances	page 4 of the report
11.	Regulations for physical protection of facilities/materials/ transports					
12.	Licensing on chemical installations/entities/use of materials					
13.	Reliability check of personnel					
14.	Measures to account for /secure/ physically protect means of delivery			X	Specialized services account for and protect weapons and monitor their use and storage	page 3 of the report
15.	National CWC authority	X	Establishment of the Commission of the Cabinet of Ministers on the prohibition of Chemical Weapons – National Authority of			

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			Uzbekistan (Decree №287 of the Cabinet of Ministers of 6 June 1997)			
16.	Reporting Schedule I, II and III chemicals to OPCW	X	Yearly declarations on scheduled chemicals to the OPCW Technical Secretariat			page 5 of the report
17.	Account for, secure or physically protect old chemical weapons					page 4 of the report
18.	Other legislation/regulations controlling chemical materials					
19.	Other			X	Five OPCW inspections on DOCs production facilities	page 5 of the report

OP 3 (a) and (b) – Account for/Secure/Physically protect NW including Related Materials

State: **Uzbekistan**
Date of Report: 15 November 2004

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1.	Measures to account for production	X	INFCIRC/508			http://www.iaea
2.	Measures to account for use	X				
3.	Measures to account for storage	X				
4.	Measures to account for transport					
5.	Other measures for accounting					
6.	Measures to secure production					
7.	Measures to secure for use	X	Act on radiation safety	X	1. Criminal Code, Article 252 to 254	page 2 and 4 of the report
8.	Measures to secure storage	X		X	2. Sanoatkontekhnazorat monitors	
9.	Measures to secure transport	X		X	safety	
10.	Other measures for securing					
11.	Regulations for physical protection of facilities/materials/ transports			X	1. US sponsored program to establish the physical protection of the WWR-SM reactor and to remove spent nuclear fuel 2. Physical protection of medical facilities is now being completed	page 3 of the report
12.	Licensing on chemical installations/entities/use of materials		1. Act on the licensing of specific types of activities 2. Decree № 111 of the Cabinet of Ministers of 06 March 2004	X	Criminal Code, Article 255	page 2 and 4 of the report
13.	Reliability check of personnel					
14.	Measures to account for /secure/ physically protect means of delivery			X	Specialized services account for and protect weapons and monitor their use and storage	page 3 of the report

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15.	National regulatory authority	X			Sanoatkontekhnazorat	page 3 of the report
16.	IAEA Safeguards Agreements	X	1. Safeguards agreement in force 08 October 1994 2. Additional Protocol in force 21 December 1998			page 3 of the report http://www.iaea.org
17.	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Follows Code of Conduct			page 2 of the report
18.	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources		IAEA Illicit trafficking Database			trafficking@iaea.org
19.	Other Agreement related to IAEA					
20.	Additional national legislation/regulations related to nuclear material including CPPNM					
21.	Other					

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OP 3 (a) and (d) and related matters from OP 6 and OP 10 – Controls of BW including Related Materials

State: **Uzbekistan**
Date of Report: 15 November 2004

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1.	Border control					
2.	Technical support of border control measures					
3.	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Act on external economic activities President Decree №136 26.07.2005			page 2 of the report
4.	Enforcement agencies/authorities					
5.	Export control legislation in place	X	National law on Export Control №658-II 26.08.2004	X	Criminal Code, Article 246: smuggling	page 2 and 4 of the report
6.	Licensing provisions					
7.	Individual licensing					
8.	General licensing					
9.	Exceptions from licensing					
10.	Licensing of deemed export/visa					
11.	National licensing authority					
12.	Interagency review for licenses					
13.	Control lists					
14.	Updating of lists					
15.	Inclusion of technologies					

16.	Inclusion of means of delivery				
17.	End-user controls				
18.	Catch all clause				
19.	Intangible transfers				
20.	Transit control	X	Decree of the Cabinet of Ministers on State Regulation and Control of the Transit of Special Goods №62 21.02.2002		page 2 of the report
21.	Trans-shipment control				
22.	Re-export control				
23.	Control of providing funds				
24.	Control of providing transport services				
25.	Control of importation				
26.	Extraterritorial applicability				
27.	Other				page 4 of the report

OP 3 (c) and (d) and related matters from OP 6 and OP 10 – Controls of CW including Related Materials

State: **Uzbekistan**
Date of Report: 15 November 2004

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1.	Border control					
2.	Technical support of border control measures					
3.	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Act on external economic activities President Decree №136 26.07.2005			page 2 of the report
4.	Enforcement agencies/authorities					
5.	Export control legislation in place	X	National law on Export Control №658-II 26.08.2004	X	Criminal Code, Article 246: smuggling	page 2 and 4 of the report
6.	Licensing provisions					
7.	Individual licensing					
8.	General licensing					
9.	Exceptions from licensing					
10.	Licensing of deemed export/visa					
11.	National licensing authority					
12.	Interagency review for licenses					
13.	Control lists					
14.	Updating of lists					
15.	Inclusion of technologies					

16.	Inclusion of means of delivery				
17.	End-user controls				
18.	Catch all clause				
19.	Intangible transfers				
20.	Transit control	X	Decree of the Cabinet of Ministers on State Regulation and Control of the Transit of Special Goods №62 21.02.2002		page 2 of the report
21.	Trans-shipment control				
22.	Re-export control				
23.	Control of providing funds				
24.	Control of providing transport services				
25.	Control of importation				
26.	Extraterritorial applicability				
27.	Other				

OP 3 (a) and (d) and related matters from OP 6 and OP 10 – Controls of NW including Related Materials

State: **Uzbekistan**
Date of Report: 15 November 2004

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials? Can violators be penalized?		National legal framework		Enforcement: civil/criminal penalties and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1.	Border control					
2.	Technical support of border control measures					
3.	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Act on external economic activities President Decree №136 26.07.2005			page 2 of the report
4.	Enforcement agencies/authorities					
5.	Export control legislation in place	X	National law on Export Control №658-II 26.08.2004	X	Criminal Code, Article 246: smuggling	page 2 and 4 of the report
6.	Licensing provisions					
7.	Individual licensing					
8.	General licensing					
9.	Exceptions from licensing					
10.	Licensing of deemed export/visa					
11.	National licensing authority					
12.	Interagency review for licenses					
13.	Control lists					
14.	Updating of lists					
15.	Inclusion of technologies					

16.	Inclusion of means of delivery				
17.	End-user controls				
18.	Catch all clause				
19.	Intangible transfers				
20.	Transit control		Decree of the Cabinet of Ministers on State Regulation and Control of the Transit of Special Goods №62 21.02.2002		page 2 of the report
21.	Trans-shipment control				
22.	Re-export control				
23.	Control of providing funds				
24.	Control of providing transport services				
25.	Control of importation				
26.	Extraterritorial applicability				
27.	Other				page 4 of the report

OP 6, 7 and 8 (d) – Control lists, Assistance, Information

State: **Uzbekistan**
 Date of Report: 15 November 2004

Can information be provided on the following issues?		YES		Remarks
1.	Control lists – items (goods/equipment/materials/technologies)			
2.	Control lists – other			
3.	Assistance offered			
4.	Assistance requested	X	Wishes to train its national personnel in accordance with international standards (customs agencies, border troops, national guard and monitoring and control bodies)	page 6 of the report
5.	Assistance in place (bilateral/plurilateral/multilateral)	X	US DTRA program: enhancing the level of physical protection and biological safety at biological laboratories	page 6 of the report
6.	Information for industry	X	Workshops and training courses on CWC	pages 4 and 5 of the report
7.	Information for the public			