DRAFT 1540 COMMITTEE MATRIX OF NEW ZEALAND

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to intergovernmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 (2004), 1673 (2006), 1810 (2008) and 1977 (2011). They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations. Information on voluntary commitments is for reporting purpose only and does not constitute in any way a legal obligation arising from resolution 1540 or its successive resolutions.

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State: NEW ZEALAND

Date of First Report: 28 October 2004

Date of First Addendum: 11 January 2006

Date of Second Addendum: 4 February 2008

Date of Committee Approval:

Legally binding instruments, organizations, codes of conduct, arrangements, statements and other issues.	YES	if YES, relevant information (i.e. signing, accession, ratification, etc)	Remarks (information refers to the page of the English version of the national report or an official web site)
Nuclear Non- 1 Proliferation Treaty (NPT)	X	Ratified 17 July 1969	
Nuclear Weapons Free Zone/ Protocol(s)	Х	South Pacific Nuclear Free Zone Treaty (Treaty of Rarotonga), ratified 11 December 1986 The New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 establishes in NZ a Nuclear Free Zone and promotes and encourages an active and effective contribution by New Zealand to the process of disarmament and international arms control	
Convention for the 3 Suppression of Acts of Nuclear Terrorism	?	Signed 14 September 2005	

	Convention on Physical Protection of Nuclear Material (CPPNM)	Х	In force 18 January 2004	
5	2005 Amendment to the CPPNM			
	Comprehensive Nuclear- Test-Ban Treaty (CTBT) (not in force)	Х	Ratified 19 March 1999	
,	Chemical Weapons Convention (CWC)	Х	In force 29 April 1997	
	Biological Weapons Convention (BWC)	Х	Ratified 13 December 1972	
9	Geneva Protocol of 1925	Х	Deposit 24 May 1930	
10	Other Conventions/Treaties	х	State Party to 12 of 19 universal legal instrument against terrorism, including the following relevant to resolution 1540 (2004): 1997 International Convention for the Suppression of Terrorist Bombings 1999 International Convention for the Suppression of the Financing of Terrorism Waigani Convention	
11	International Atomic Energy Agency (IAEA)	Х	Since 13 September 1957	
12	Hague Code of Conduct (HCOC)	Х	Subscribed 25 November 2002	
13	Other Arrangements	х	Missile Technology Control Regime (MTCR) Nuclear Suppliers Group (NSG) Wassenaar Arrangement (WA) Australia Group (AG) Proliferation Security Initiative (PSI) Global Initiative to Counter Nuclear Terrorism (GICNT) WCO SAFE Framework of Standards to secure and facilitate global trade	
14	General statement on non-possession of WMD	Х	State reports that it does not possess any WMD, and that it has a strong and consistent policy regarding the elimination of all weapons of mass destruction (WMD), and that their elimination should be verified and enforced through robust legally binding multilateral disarmament instruments.	
15	General statement on commitment to disarmament and non-proliferation	Х	State reports that it has a long-standing commitment to disarmament and non-proliferation of WMD. New Zealand plays a leading role in the international community on such issues.	

	General statement on non-provision of WMD and related materials to non-State actors	Х	State reports that it does not provide any support whatsoever to any entity - whether State or non-State actor - attempting to develop, acquire, manufacture, possess, transport or use WMD and their means of delivery	
17	Other ¹	Х	WCO WHO IMO OIE INTERPOL Global Partnership Against the Spread of Weapons and Materials of Mass Destruction	

^{1.} Including, as appropriate, information with regard to membership in relevant international, regional or sub-regional organizations.

OP 2 - Nuclear Weapons (NW), Chemical Weapons (CW) and Biological Weapons (BW)

	Does national legislation exist which prohibits persons				<u>N</u> ational legal framework		<u>E</u> nf	force	ement: civil/criminal penalties and others	
or	entities to engage in one the following activities?		YES		if YES, source document of national	YES			if YES, source document	Remarks
	n violators be penalized?	N W	C W	B implementation law W		N W	C W		ii 123, source document	
	1 manufacture/produce	X	X	x	NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 5 Terrorism Suppression Act 2002, as amended, Section 13 (may not require terrorist intent) CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 6 and 9 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8	X	X	x	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 14 Crimes Act 1961:as amended, Part 4: Parties to the Commission of Offences, also Part 11, Section 311 (attempt to commit or procure offence) NW: Terrorism Suppression Act 2002, as amended, Section 13 (may not require terrorist intent) CW: Chemical Weapons (Prohibition) Act 1996, Sections 6-7, 9 and 15	
2	2 acquire	х	x	x		x	x	x	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 14 Crimes Act 1961: Part 4: Parties to the Commission of Offences, also Part 11, Section 311 (attempt to commit or procure offence)	

			NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 5 Terrorism Suppression Act 2002, as amended, section 13 (may not require terrorist intent) CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6 and 9 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8				NW: Terrorism Suppression Act 2002, as amended, Section 13 (may not require terrorist intent) CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15	
3 possess	x	x	NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5 Terrorism Suppression Act 2002, as amended, Section 13 (may not require terrorist intent) CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6 ("retains") BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8	x	X	Х	Nw/bw: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences, also Part 11, section 311 (attempt to commit or procure offence) Nw: Terrorism Suppression Act 2002, as amended ,Section 13 (may not require terrorist intent) Cw: Chemical Weapons (Prohibition) Act 1996, as amended ,Sections 6-7, 9 and 15	
4 stockpile/store	х	х	x	Х	х		NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as	

									amended, Section 14	
					NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 6 CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6 and 9 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8				CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15 BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences	
5	develop	X	X	X	NW: Terrorism Suppression Act 2002, as amended , Section 13 (may not require terrorist intent): alter	X	X	X	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 NW: Crimes Act 1961, as amended, Part 4: Parties to the Commission of Offences, also Part 11, section 311 (attempt to commit or procure offence) Terrorism Suppression Act 2002, as amended, Section 13 (may not require terrorist intent)	
					CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6 and 9 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Schedule 5				CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15 BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences	
6	transport	Х	?	?	NW:	Х	?	?	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 NW:	

					New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Sections 6 and 9 CW: Chemical Weapons (Prohibition) Act 1996, as amended, The "transfer" (and thus transport) of chemical weapons are prohibited in NZ under the Chemical Weapons (Prohibition) Act 1996; as amended, Section 6 (1) (b): accordingly their transport within NZ (or by a NZ citizen or on a NZ ship or aircraft) is prohibited. BW:				Crimes Act 1961: as amended, attempt to carry out prohibited activities CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15	
					New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 8					
					NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5				NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14	
					NW: Terrorism Suppression Act 2002, as amended, Section 13 (may not require terrorist intent): alter				NW: Terrorism Suppression Act 2002, as amended, Section 13	
7	transfer	X	X	X	CW: Chemical Weapons (Prohibition) Act 1996,as amended, Section 6 (1) (b)	X	X	X	CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15 Terrorism Suppression Act 2002, as amended, Sections 6-7	
									BW: Crimes Act 1961: Part 4: Parties to the Commission of Offences	
8	use	x	х	х	NW/CW/BW: Terrorism Suppression Act 2002, as amended , section 13 (and 4-7 if terrorist intent)	х	х	x	NW/CW/BW: Terrorism Suppression Act 2002, as amended, section 13 (and sections 6-7 if terrorist intent)	
					NW: New Zealand Nuclear Free Zone,				NW: Crimes Act 1961	

					Disarmament and Arms Control Act 1987, as amended, Sections 6-7 CW: Chemical Weapons (Prohibition) Act 1996, as amended, section 6 and 9				New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 CW: Chemical Weapons (Prohibition) Act 1996, sections 6-7, 9 and 15	
					NW/CW/BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences provisions				NW/CW/BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14	
9	participate as an accomplice in abovementioned activities	X	X	x	NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5 CW: Chemical Weapons (Prohibition) Act 1996, Section 6 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended	X	x	X	CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6: Imprisonment for life or a fine not exceeding \$1,000,000	
10	assist in abovementioned activities	Х	X	х	NW/CW/BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences' provisions NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 5	х	х	х	NW/CW/BW: Crimes Act 1961: Part 4: Parties to the Commission of Offences NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14	

					CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended				CW: Chemical Weapons (Prohibition) Act 1996, as amended Section 6: Imprisonment for life or a fine not exceeding \$1,000,000	
					NW/CW/BW: Terrorism Suppression Act 2002, as amended, sections 8-10, with terrorist intent Anti-Money Laundering and Countering Financing of Terrorism Act 2009, as amended sections 37-39, 91-99, 101-104, and 106-111, with terrorist intent				NW/CW/BW: Terrorism Suppression Act 2002, as amended, Sections 6 and 8-10 New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 14 Anti-Money Laundering and Countering Financing of Terrorism Act 2009, as amended, Sections 72-90, 100, 105, and 112, with terrorist intent	
11	finance abovementioned activities	X	x	x	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987	x	x	x	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 CW/BW: Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences	
					CW: Chemical Weapons (Prohibition) Act 1996, section 6 (1) (e); (assists, encourages, or induces, in any way, any person to engage in any activity prohibited to a State Party under the Convention) General parties to offences provisions contained in Part 4 of NZ's Crimes Act 1961, as amended.				CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, 9 and 15	
12	abovementioned activities related to means of delivery ²		Х	х		?	х	х	NW: Crimes Act 1961, as amended	

					CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 6: engages in any military preparations to use chemical weapons BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, section 2			CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 Crimes Act 1961: as amended, Part 4: Parties to the Commission of Offences	
13	involvement of non- State actors in abovementioned activities	X	X	x	NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended NW: Terrorism Suppression Act 2002, as amended CW: Chemical Weapons (Prohibition) Act 1996, as	X	х	NW/CW/BW: Crimes Act 1961: as amended, Part 4:Parties to the Commission of Offences NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended, Section 14 CW: Chemical Weapons (Prohibition) Act 1996, as	
14	Other	х	х		Chemical Weapons (Prohibition) Act 1996, as amended, Section 6 NW/CW/BW: International Crimes and International Criminal Court Act 2000: as amended, as amended, Section 11 (1) & (2):			NW/CW/BW: International Crimes and International Criminal Court Act 2000, as amended, Section 11 (3)	

^{2.} Means of delivery: missiles, rockets and other unmanned systems capable of delivering nuclear, chemical, or biological weapons that are specially designed for such use.

OP 3 (a) and (b) - Account for/Secure/Physically protect NW, CW and BW, including Related Materials ³

m	Are any of the following measures, procedures or				<u>N</u> ational legal framework		<u>E</u> n	forc	ement: civil/criminal penalties and others	
	legislation in place to account for, secure or nerwise protect NW, CW,		YES		if YES, source document		YES		YVEC	Remarks
BV	BW and Related Materials? Can violators be penalized?		N C E				C W	B W	if YES, source document	
1	Measures to account for production	x	x	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 26-29 and 34-38 NW: INFCIRC/185 Radiation Protection Act 1965, as amended, Sections 12-13 Radiation Protection Regulations 1982, as amended, Sections 12-16 CW: Chemical Weapons (Prohibition) Act 1996, sections 12-14 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended	X	x	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 97-124 NW: Radiation Protection Act 1965, as amended, Sections 24 and 26-29 CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13 and 16-26 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended	
2	Measures to account for use	×	×	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 26-29 and 34- 38 NW: INFCIRC/185 Radiation Protection Act 1965, as amended, Sections 12-13 Radiation Protection Regulations 1982, as amended, Sections 12-16	x	×	×	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 97-124 NW: Radiation Protection Act 1965, as amended, Sections 24 and 26-29	

					CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 12-14 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended				CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13 and 16-26 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended	
3	Measures to account for storage	x	x	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 26-29 and 34- 38 NW: INFCIRC/185 Radiation Protection Act 1965, as amended Sections 12-13 Radiation Protection Regulations 1982, as amended, Sections 12-16 CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 12-14 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended	х	x	×	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 97-124 NW: Radiation Protection Act 1965, as amended Sections 24 and 26-29 CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13 and 16-26 BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended	
4	Measures to account for transport	Х	Х	X	NW: Radiation Protection Act 1965, as amended Section 12 Radiation Protection Regulations 1982, as amended, Section 3 CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Section 33 & 97 Land Transport Rule: Dangerous Goods 2005, as amended The Maritime Transport Act 1994, as amended, (Maritime Rule, Part 24A) The Civil Aviation Act 1990 (Rule Part 92)	Х	X	х	NW: Radiation Protection Act 1965, as amended, Sections 24 and 26-29 CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Section 114 & 124A-124I	

5	Other measures for accounting	х	х		NW: Nuclear Test ban Act 1999, as amended CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 17		х		CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 13 and 16-26 Undergoes international inspections	
6	Measures to secure production	X	X	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 39-45 (containment for new organisms) and 77-79 Hazardous Substances (Classes 6, 8 and 9) Regulations 2001, as amended, (especially class 6 for BW) NW: Radiation Protection Act 1965, as amended, Sections 16-22 Radiation Protection Regulations 1982, as amended, Sections 12-16 CW: Hazardous Substances (Classes 1 to 5 Controls) Regulations 2001, as amended BW:	Х	X	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 97-124 NW: Radiation Protection Act 1965, as amended Sections 24 and 26-29 BW:	
					New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended NW:				New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Bio-security Act 1993, as amended, Section 157 NW:	
7	Measures to secure use	x	X	x	Radiation Protection Act 1965, as amended Sections 16-22 Radiation Protection Regulations 1982, as amended, Sections 12-16 CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 39-45 (containment for new organisms) and 77-79 Hazardous Substances (Classes 6, 8 and 9)	x	x	x	Radiation Protection Act 1965, as amended Sections 24 and 26-29 CW/BW: Hazardous Substances and New Organisms Act 1996, as amended, Sections 97-124	

					Regulations 2001, as amended (especially class 6 for BW) CW: Hazardous Substances (Classes 1 to 5 Controls) Regulations 2001 as amended					
					BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended				BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Bio security Act 1993, as amended, Section 157	
					NW: Radiation Protection Act 1965, as amended Sections 16-22 Radiation Protection Regulations 1982, as amended Sections 12-16				NW: Radiation Protection Act 1965, as amended Sections 24 and 26-29	
8	Measures to secure storage	x	x	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 39-45 (containment for new organisms) and 77-79 Hazardous Substances (Classes 6, 8 and 9) Regulations 2001 as amended (especially class 6 for BW)	x	x	x	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124	
					CW: Hazardous Substances (Classes 1 to 5 Controls) Regulations 2001 as amended					
					BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended				BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended Bio-security Act 1993, as amended, Section 157	
g	Measures to secure transport	Х	X	Х	NW: Radiation Protection Act 1965, as amended Sections 16-22 Radiation Protection Regulations 1982, as amended, Section 3	X	x	X	NW: Radiation Protection Act 1965, as amended Sections 24 and 26-29	
					CW/BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 77-79				CW/BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124	

					CW: Land Transport Rule: Dangerous Goods 2005 as amended The Maritime Transport Act 1994 (Maritime Rule Part 24A) as amended The Civil Aviation Act 1990 (Rule Part 92) as amended BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Hazardous Substances (Classes 6, 8 and 9) Regulations 2001, as amended (especially Class 6)			BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended Bio-security Act 1993, as amended, as amended Section 157	
10	Other measures for securing								
11	Regulations for physical protection of facilities/materials/transports	?	х	х	NW: Radiation Protection Act 1965, as amended CW: Hazardous Substances (Classes 1 to 5 Controls) Regulations 2001 as amended Hazardous Substances (Classes 6, 8 and 9 Controls) Regulations 2001 as amended Hazardous Substances (Packaging) Regulations 2001 as amended Land Transport Rule: Dangerous Goods 2005 (Rule 45001/1) as amended Maritime Transport Act 1994 (Maritime Rule Part 24A) as amended The Civil Aviation Act 1990 (Rule Part 92) as amended	×	×	CW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124	
					BW: Hazardous Substances and New Organisms Act 1996 as amended Hazardous Substances Regulations 2001, as amended Sections 87-95 Health Act 1956, as amended			BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124 Bio-security Act 1993, as amended, Section 157	

12	Licensing/registration of installations/facilities/persons/entities/use/handling of materials	х	x	x	NW: Radiation Protection Act 1965, as amended, Sections 16-22 CW: Hazardous Substances (Classes 1 to 5 Controls) Regulations 2001 as amended Hazardous Substances (Classes 6,8 and 9 Controls) Regulations 2001 as amended BW: Hazardous Substances and New Organisms Act 1996 as amended Hazardous Substances Regulations 2001, as amended Sections 87-95 Health Act 1956 as amended.	X	x	x	NW: Radiation Protection Act 1965, as amended Sections 24 and 26-29 Ministry of Health implement CW: Hazardous Substances and New Organisms Act 1996, as amended Sections 14-24 (establishes the Environmental Risk Authority) and 97-124 BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124 Bio-security Act 1993, as amended, Section 157	
13	Reliability check of personnel		X	x	CW: Hazardous Substances and New Organisms (Personnel Qualifications) Regulations 2001, as amended, Regulation 6B Hazardous Substances and New Organisms Act, as amended, Section 95B (Controlled Substances Licenses provisions) BW: Hazardous Substances and New Organisms Act 1996 Hazardous Substances and New Organisms (Personnel Qualifications) Regulations 2001, as amended, Regulation 6B Health Act 1956 as amended		X	x	CW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124 BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124 Bio-security Act 1993, as amended, Section 157	
14	Measures to account for/secure/ physically protect means of delivery		?	?	CW/BW: Hazardous substances (Tracking) Amendment Regulations 2004		?	?	CW/BW: Hazardous Substances and New Organisms Act 1996, as amended Sections 97-124	

^{3.} Related materials: materials, equipment and technology covered by relevant multilateral treaties and arrangements, or included on national control lists, which could be used for the design, development, production or use of nuclear, chemical and biological weapons and their means of delivery.

^{*} Information required in this section may also be available in the State's Confidence Building Measures report, if submitted to the BWC Implementation Support Unit (online at: http://www.unog.ch/80256EE600585943/http://www.unog.ch/80256EE600585943//(httpPages)/4FA4DA37A55C7966C12575780055D9E8?OpenDocument)

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials (NW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforc	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
1 National regulatory authority	Х	Radiation Protection Act 1965	Х	Minister of Health	
2 IAEA Safeguards Agreements	х	Safeguards Agreement in force 29 February 1972 Additional Protocol in force 24 September 1998	Х	Radiation Protection Act 1965, sections 24 and 26-29 Ministry of Health implement	
IAEA Code of Conduct on 3 Safety and Security of Radioactive Sources	Х	Expressed support to IAEA Code of Conduct on Safety and Security of Radioactive Sources	Х	Expressed support to IAEA Code of Conduct on Safety and Security of Radioactive Sources	
Supplementary Guidance on the Import and Export of 4 Radioactive Sources of the Code of Conduct on the Safety and Security of Radioactive Sources	Х	Expressed support to IAEA Supplementary Guidance on the Import and Export of Radioactive Sources	X	Expressed support to IAEA Supplementary Guidance on the Import and Export of Radioactive Sources	
IAEA Incident and Trafficking Database	Х	Officially agreed to report incidents in 1997	Х	Continues to participates in the programme	
6 Other Agreements related to IAEA					
Additional national legislation/regulations 7 related to nuclear materials including CPPNM	Х	Terrorism Suppression Act 2002, as amended , Section 13	х	Terrorism Suppression Act 2002, as amended Crimes Act 1961	
8 Other	Х	Maritime Security Act 2004 for meeting the ISPS Code	Х	As member of the Vienna Group of Ten, New Zealand contributed to a position paper on physical protection and illicit trafficking presented to the 2010 NPT Review Conference	

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials (CW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others	
legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks
1 National CWC authority	Х	Chemical Weapons (Prohibition) Act 1996, as amended, Section 2	х	Disarmament Division, Ministry of Foreign Affairs and Trade	
Reporting Schedule I, II 2 and III chemicals to OPCW	Х	Chemical Weapons (Prohibition) Act 1996, as amended, Section 14	х	Submitted initial and subsequent declarations	
Account for, secure or 3 physically protect "old chemical weapons"					
Other legislation/ 4 regulations controlling chemical materials	Х	Hazardous Substances Regulations 2001 amendments			
5 Other	Х	Maritime Security Act 2004 as amended for meeting the ISPS Code Implementation of the Hazardous Substances and New Organisms Act 1996 should take into account the principles of the Waitangi Treaty	Х	Hazardous Substances and New Organisms Act 1996, sections 14-24 (establishes the Environmental Risk Authority)	

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials (BW specific)

Are any of the following measures, procedures or		<u>N</u> ational legal framework	<u>E</u> nforce	ement: civil/criminal penalties and others		
legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized?	YES	if YES, source document	YES	if YES, source document	Remarks	
1 Regulations for genetic engineering work	Х	Hazardous Substances and New Organisms Act 1996, sections 39-55 Hazardous Substances Regulations 2001	х	Hazardous Substances and New Organisms Act 1996, sections 97-124		
Other legislation/ regulations related to safety and security of biological materials	Х	Biosecurity Act 1993 Biosecurity (Notifiable Organisms) Order 2006: includes some BW-related organisms	х	Biosecurity Act 1993, section 157		
3 Other	Х	Maritime Security Act 2004 for meeting the ISPS Code Implementation of the Hazardous Substances and New Organisms Act 1993 should take into account the principles of the Waitangi Treaty	X	Hazardous Substances and New Organisms Act 1996, sections 14-24 (establishes the Environmental Risk Authority)		

OP 3 (c) and (d) and related matters from OP 6 and OP 10 - Controls of NW, CW and BW, including Related Materials

Which of the following legislation, procedures, measures, and agencies exist				<u>N</u> ational legal framework	En	forc	eme	ent: civil/criminal penalties, and measures of implementation, etc	
to control border crossings, export/import and other		YES		15120		YES		if YES, source document	Remarks
transfers of NW, CW, BW and Related Materials? Can violators be penalized?	N W	c W	B W	if YES, source document	N W	_		ii res, source document	
				NW/CW/BW: Customs and Excise Act 1996, as amended, sections 5, 10, 20, and 38-57 Customs Export Prohibition Order 2011, sections 6-7				NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 176-238	
				NW: Radiation Protection Act 1965, as amended, Section 12				NW: Radiation Protection Act 1965, as amended Sections 24 and 26-29	
1 Border control	X	x	х	CW: Chemical Weapons (Prohibition) Act 1996, as amended, Section 10 (and section 11 specifically applies the Customs and Excise Act 1996, as amended)	x	х		CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10, 13 and 15	
				BW: Biosecurity Amendment Act 2009 makes a violation of the Biosecurity Act 1993, as amended, a "border infringement offence" Biosecurity (Notifiable Organisms) Order 2010: includes some BW-related organisms Health Quarantine Regulations 1983, as amended				BW: Biosecurity Act 1993 as amended, Section 157	
Technical support of border control measures	х	х	х	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 131-136	х	х		NW/CW/BW: Consultation with technical experts in Government Departments	
				CW/BW: Customs and Excise Amendment Act 2009,					

					Section 22 (New Section 274A to 274D inserted)				
					NW/BW: The New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended, prohibits aiding and abetting any person to manufacture, acquire, possess or have control over any nuclear explosive device within the Nuclear Free Zone.				NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended
trading 3 otherw	ol of brokering, g in, negotiating, wise assisting in f goods and ology	?	?	?	CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 6-7, prohibits a person from assisting any person to transfer any chemical weapon.	?	ş	?	CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 176-238 CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 6-7, 9, 13 and 15
									BW: Crimes Act 1961 as amended
					NW/CW/BW: Customs and Excise Act 1996, as amended, section 5				NW/CW/BW: Customs and Excise Act 1996, as amended: Sections 137-175 (Customs Service powers) National Targeting Centre (NTC) was established in 2006, incorporating staff from Customs, MAF, Maritime New Zealand and Immigration to enhance operational collaboration amongst agencies at the border
4 Enforce agencie	ement ies/authorities	X	×	X	CW: Chemical Weapons (Prohibition) Act 1996, as amended Section 10 (and section 11 specifically applies the Customs and Excise Act 1996 as amended)	X	x		BW: Ministry of Agriculture and Forestry: Imports
5 Export in place	t control legislation ce	Х	Х	Х	NW/CW/BW: Customs and Excise Act 1996, as amended,	Х	х	Y	NW/CW: Customs and Excise Act 1996, as amended,

					Sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule NW: Radiation Protection Act 1965, as amended Sections 12 and 16-22 CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10-11				CW: Chemical Weapons (Prohibition) Act 1996 as amended BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-175
€	Licensing provisions	х	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended, sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule NW: Radiation Protection Act 1965, as amended Sections 12 and 16-22 CW: Chemical Weapons (Prohibition) Act 1996, as amended sections 10-11	х	х	X	NW/CW: Customs and Excise Act 1996, as amended, Sections 128-130 and 176-238 CW: Chemical Weapons (Prohibition) Act 1996 as amended BW: Customs and Excise Act 1996, as amended, sections 128-130 and 137-175
7	Individual licensing	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule	x	x		NW/CW: Customs and Excise Act 1996, as amended, Sections 128-130 and 176-238

					NW: Radiation Protection Act 1965, as amended Sections 12 and 16-22 CW: Chemical Weapons (Prohibition) Act 1996, sections 10-11				CW: Chemical Weapons (Prohibition) Act 1996 as amended BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-175
8	3 General licensing	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended, sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule NW: Radiation Protection Act 1965, as amended Sections 12 and 16-22 CW: Chemical Weapons (Prohibition) Act 1996, as amended, Sections 10-11	x	х	X	NW/CW: Customs and Excise Act 1996, as amended, Sections 128-130 and 176-238 CW: Chemical Weapons (Prohibition) Act 1996 as amended BW: Customs and Excise Act 1996, as amended , Sections 128-130 and 137-175
ç	Exceptions from licensing	x			NW: Radiation Protection Regulations 1982, sections 4-8 (these are only related to radioactive material and/or apparatus used for such material for medical treatment or for	?	?		NW/CW/BW: Licensing exceptions are set out in Customs Policy Guidelines (GM POL 93) - although none for CW/BW/NW related exports.

					the commercial and industrial purpose)				
10	Licensing of deemed export/visa								
11	National licensing authority	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10-11	x	x	x	NW/CW/BW: Ministry of Foreign Affairs and Trade
12	Interagency review for licenses	?	?	?	NW/CW/BW: Interagency Committee	?	?	?	NW/CW/BW: Interagency Committee
13	Control lists	x	x	х	NW/CW/BW: Customs and Excise Act 1996, as amended 2007, sections 56-57 Customs Export Prohibition Order 2008, sections 6-7 and the Schedule: establishes the Strategic Goods List (goods regulated under the AG, NSG, MTCR and WA) CW: Chemicals listed in the Schedules to the Chemical Weapons (Prohibition) Act 1966 Hazardous Substances and New Organisms Act 1996 BW: Biosecurity (Notifiable Organisms) Order 2006: includes some BW-related organisms	х	x	x	NW/CW: Customs and Excise Act 1996, as amended 2007, sections 128-130 and 176-238 BW: Customs and Excise Act 1996, as amended 2007, sections 128-130 and 137-175
14	Updating of lists	х	x	х	NW/CW/BW: Customs and Excise Act 1996, as amended (which enhanced end-user controls), sections 56-57 Customs Export Prohibition Order 2011, sections 6-7 and the Schedule	Х	х	х	NW/CW/BW: SGCL was updated last in March 2013, see http://www.mfat.govt.nz/Trade-and- Economic-Relations/3-Export-controls/1-NZ- Strategic-Goods-List/02-Changes-to-the- Strategic-Goods-List.php

15	Inclusion of technologies	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended (which enhanced end-user controls), sections 56-57 Customs Export Prohibition Order 2011, sections 6-7 and the Schedule	х	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended, sections 128-130 and 137-175 SGCL was updated last in March 2013, see http://www.mfat.govt.nz/Trade-and-Economic-Relations/3-Export-controls/1-NZ-Strategic-Goods-List/02-Changes-to-the-Strategic-Goods-List.php	
16	Inclusion of means of delivery	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended (which enhanced end-user controls), sections 56-57 Customs Export Prohibition Order 2011, sections 6-7 and the Schedule	x	х	x	NW/CW/BW: Customs and Excise Act 1996, as amended, sections 128-130 and 137-175 SGCL was updated last in March 2013, see http://www.mfat.govt.nz/Trade-and-Economic-Relations/3-Export-controls/1-NZ-Strategic-Goods-List/02-Changes-to-the-Strategic-Goods-List.php	
17	End-user controls	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended (which enhanced end-user controls), Sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule	x	x	x	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-175 SGCL was updated last in March 2013, see http://www.mfat.govt.nz/Trade-and- Economic-Relations/3-Export-controls/1-NZ- Strategic-Goods-List/02-Changes-to-the- Strategic-Goods-List.php	
18	Catch all clause	х	х	х	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 56-57, have been amended to allow for catch all controls to be implemented. 2. Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule.	х	х	х	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-175	
19	Intangible transfers	х	х	х	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 56-57 Customs Export Prohibition Order 2011, Sections 6-7 and the Schedule	Х	х	Х	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-175	
20	Transit control	Х	Х	х		Х	Х	Х	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-238	

					NW/BW: Customs and Excise Act 1996, as amended: Section 48A CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10-11 Customs Export Prohibition Order 2011					
21	Trans-shipment control	х	х	х	NW/CW/BW: Customs and Excise Act 1996, as amended: Section 48A CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10-11 BW: Hazardous Substances and New Organisms Act 1996, as amended 2007, section 51 Hazardous Substances Regulations 2001, also section 51	?	х	x	NW/CW/BW: Customs and Excise Act 1996, as amended , sections 128-130 and 137-238 BW: Hazardous Substances and New Organisms Act 1996, as amended 2007, sections 97-124	
22	Re-export control	?	×	?	NW/BW: Customs and Excise Act 1996, as amended CW: Chemical Weapons (Prohibition) Act 1996, sections 10-11	?	x		NW/CW/BW: Customs and Excise Act 1996, as amended 2007, sections 128-130 and 137-238	
23	Control of providing funds	x	x	х	NW/CW/BW: Terrorism Suppression Act 2002, as amended, Sections 8-10, (with terrorist intent) Anti-Money Laundering and Counter- Terrorism Financing Act 2009, as amended Sections 37-39, 91-99, 101-104, and 106-111,	х	x	х	NW/CW/BW: Terrorism Suppression Act 2002, as amended, Sections 6 and 8-10 Crimes Act 1961, as amended, Part 4: Parties to the Commission of Offences Anti-Money Laundering and Counter-Terrorism Financing Act 2009, as amended Sections 72-90, 100, 105, and 112,	

					NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987 as amended CW: The Chemical Weapons (Prohibition) Act 1996, as amended (prohibits a person from assisting any person to manufacture, develop, acquire or transfer any chemical weapon)				NW/BW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987, as amended Section 14 CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 6-7, 9 and 15	
24	Control of providing transport services									
25	Control of importation	x	x	×	NW/CW/BW: Customs and Excise Act 1996, as amended Sections 39-48 NW: Radiation Protection Act 1975 as amended Terrorism Suppression Act 2002, as amended, Section 13D CW: Chemical Weapons (Prohibition) Act 1996, as amended Sections 10-11 BW: Biosecurity Act 1993, as amended Sections 16-41 Hazardous Substances and New Organisms Act 1996, as amended section 25-27A and 34-38	х	х	x	NW/CW/BW: Customs and Excise Act 1996, as amended, Sections 128-130 and 137-238 NW: Terrorism Suppression Act 2002, as amended, section 13D BW: Biosecurity Act 1993, as amended Section 157 Hazardous Substances and New Organisms Act 1996, as amended , Sections 97-124	
26	Extraterritorial applicability	x	×	x	NW/CW/BW: Terrorism Suppression Act 2002, as amended Section 14-19 International Crimes and International Criminal Court Act 2000, as amended Section 8-11 NW: New Zealand Nuclear Free Zone, Disarmament and Arms Control Act 1987as amended		×		NW/CW/BW: Terrorism Suppression Act 2002, as amended (corresponding sections) International Crimes and International Criminal Court Act 2000, as amended Section 9-11	

			CW: Chemical Weapons (Prohibition) Act 1996 as amended		CW: Chemical Weapons (Prohibition) Act 1996 as amended, Section 6-11	
27	Other					

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Can information be provided on the following issues?	YES		Remarks
Control lists - items 1 (goods/ equipment/ materials/ technologies)	Х	New Zealand Strategic Goods List control the export of goods regulated under AG, NSG, MTCR and WA Biosecurity (Notifiable Organisms) Order 2010: includes some BW-related organisms Environmental Protection keeps a register of approvals of importing new organisms	
2 Control lists - other	х	Regulations implementing UN Security Council Sanctions, e.g. implementation of arms embargo required by UNSCR 1267 and successor resolutions Section 25 of the HSNO Act requires that "No hazardous substance shall be imported or manufactured and no new organism shall be imported, developed, field tested, or released, otherwise in accordance with an approval issued under this Act". Section 20 requires all such approvals to be kept on a register. This register is available on the ERMA website: www.ermanz.govt.nz	
3 Assistance offered	Х	New Zealand has provided technical assistance to a number of Pacific Island States on compliance with their UNSCR-1540 reporting obligations.	
4 Assistance requested			
Point of Contact for assistance			
6 Assistance in place (bilateral/multilateral)	Х	State reports that it supports the Global Partnership Against the Spread of WMD and has provided funding towards a range of projects.	
7 Work with and inform industry	Х	Export controls outreach to exporters, including information and visits Ministry of Foreign Affairs' web site provides public information; information is also disseminated through public speeches, conferences, and publications; NGOs play a vital role	
8 Work with and inform the public	Х	Ministry of Foreign Affairs' web site provides public information; information is also disseminated through public speeches, conferences, and publications; NGOs play a vital role	
9 Point of Contact	Х	Points of Contact at New Zealand Ministry of Foreign Affairs and Trade and New Zealand Permanent Mission in New York are identified.	
10 Other 4			

^{4.} Information may include references to voluntary implementation national action plan and visits to States, at their invitation, by the 1540 Committee.