

EESTI VABARIIGI
ALALINE ESINDUS ÜRO JUURES
PERMANENT MISSION OF THE REPUBLIC OF ESTONIA
TO THE UNITED NATIONS

17 December 2007, No 1-8/1602

Sir,

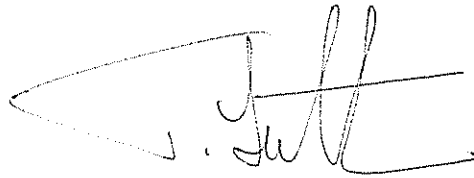
Dear Peter,

I have the honour to refer to your letter S/AC.44/2007/ODA/OC.102 dated 26 October 2007 regarding the implementation matrix of UNSCR 1540.

Estonia fully supports and implements all the provisions of the United Nations Security Council Resolution 1540 (2004) and considers the resolution as an important part of the overall effort to achieve the non-proliferation of weapons of mass destruction, as well as their means of delivery.

Please find enclosed the Estonian matrix and feel free to post it on the Committee's website as a public document.

Please accept the assurances of my highest consideration.



Tiina Intelmann
Permanent Representative of Estonia
to the United Nations

H.E. Peter Burian
Chairman of the 1540 Committee
United Nations
New York

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:
Date of Report:

Estonia
December 2007

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?	YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (Information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD		Estonia does not possess any WMD	
2 General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against Proliferation of WMD Supports all actions taken by EU to promote the universal adoption and full implementation of multilateral treaties whose aim is to prevent the proliferation of nuclear, biological or chemical weapons	page 4 of the EU report page 5 of the report
3 General statement on non-provision of WMD and related materials to non-State actors	X	Does not provide any form of support to non-State actors. Any such support is prohibited by Estonian law	page 2 of the report
4 Biological Weapons Convention (BWC)	X	Entry into force 21 July 1993	http://disarmament2.un.org/TreatyStatus.nsf
5 Chemical Weapons Convention (CWC)	X	Deposit 26 May 1999, entry into force 25 July 1999	page 2 of the report http://disarmament2.un.org/TreatyStatus.nsf
6 Nuclear Non-Proliferation Treaty (NPT)	X	Entry into force 7 January 1992	
7 Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Deposit 13 August 1999, not yet in force	
8 Convention on Physical Protection of Nuclear Material (CPPNM)	X	Entry into force 8 June 1994	http://www.aussenministerium.at/UP-media/1679_list_of_hcoc_subscribing_states.doc
9 Hague Code of Conduct (HCCOC)	X	Subscribed	http://disarmament.un.org:8080/TreatyStatus.nsf
10 Geneva Protocol of 1925	X	Deposit 28 August 1931	http://www.iaea.org/About/Policy/MemberStates
11 International Atomic Energy Agency (IAEA)	X	Entry into force 31 January 1992	
12 Nuclear Weapons Free Zone/ Protocol(s)			

13 Other Conventions/Treaties	X	EURATOM Treaty, Convention on Nuclear Safety (entry into force 4 May 2006)	page 8 of the report of the European Union (EU) page 3 of the report
14 Other Arrangements	X	<ol style="list-style-type: none"> 1. Nuclear Suppliers Group (NSG) 2. Australia Group (AG) 3. Wassenaar Agreement 4. Supports the Proliferation Security Initiative (PSI) 	page 2 of the report
15 Other	X	<ol style="list-style-type: none"> 1. EU Council Decision of 17 November 2003 to include WMD provisions in Agreements with third countries 2. State Party of 12 UN Conventions against Terrorism: 1. Convention on Offences and Certain Other Acts Committed On Board Aircraft ("Tokyo Convention", 1963--safety of aviation) 2. Convention for the Suppression of Unlawful Seizure of Aircraft ("Hague Convention", 1970--aircraft hijackings) 3. Convention for the Suppression of Unlawful Acts Against the Safety of Civil Aviation 4. Convention on the Prevention and Punishment of Crimes Against Internationally Protected Persons (1973- outlaws attacks on senior government officials and diplomats) 5. International Convention Against the Taking of Hostages 6. Convention on the Physical Protection of Nuclear Material ("Nuclear Materials Convention", 1980--combats unlawful taking and use of nuclear material) 7. Protocol for the Suppression of Unlawful Acts of Violence at Airports Serving International Civil Aviation, supplementary to the Convention for the Suppression of Unlawful Acts against the Safety of Civil Aviation (Extends and supplements the Montreal Convention on Air Safety), (1988) 8. Convention for the Suppression of Unlawful Acts Against the Safety of Maritime Navigation, (1988--) 9. Protocol for the Suppression of Unlawful Acts Against the Safety of Fixed Platforms Located on the 10. Convention on the Marking of Plastic Explosives for the Purpose of Detection (1991--provides for c 11. International Convention for the Suppression of Terrorist Bombing (1997) 12. International Convention for the Suppression of the Financing of Terrorism (1999) 	page 6 of the EU report http://www.un.org/sc/ctc/doc/uments/treatytable.pdf

State:
Date of Report:

Estonia
29 October 2004

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	YES	National legal framework if YES, indicate source document of national implementation law	Enforcement: civil/criminal penalties and others		Remarks
			YES	if YES, indicate source document	
1 manufacture/produce	X		X	Penal Code, Arts. 93 and 237	
2 acquire	X		X		
3 possess		1. Estonian Constitution of 28 June 1992, Art. 3 2. BWC ratification			<u>Estonian Constitution</u>
4 stockpile/store	X		X	Penal Code, Art. 93	
5 develop	X		X	Penal Code, Art. 93	
6 transport					
7 transfer	X	1. Estonian Constitution of 28 June 1992, Art. 3 2. BWC ratification	X	Penal Code, Art. 93	
8 use		[1. Estonian Constitution of 28 June 1992, Art. 3]	X	Penal Code, Arts. 103 and 237	<u>Penal Code</u>
9 participate as an accomplice in a.m. activities	X	1. Estonian Constitution of 28 June 1992, Art. 3 2. BWC ratification	X	Penal Code, Art. 93, Penal Code, Art. 22	
10 assist in a.m. activities	X	[1. Estonian Constitution of 28 June 1992, Art. 3] 2. BWC ratification	X	Penal Code, Art. 93, Penal Code, Arts. 22	page 3 of the report
11 finance a.m. activities	X	Money Laundering and Terrorist Financing Prevention Act, as	X	Penal Code, Art. 237.3	<u>Money Laundering Act</u>
12 a.m. activities related to means of delivery	X	1. Estonian Constitution of 28 June 1992, Art. 3 2. BWC ratification	X	Penal Code, Art. 93	<u>Penal Code</u>
13 involvement of non-State actors in a.m. activities	X		X	Penal Code, Art. 93, Penal Code, Art. 14	

14 Other	<input checked="" type="checkbox"/>				
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OP 2 - Chemical Weapons (CW)

State: Estonia
Date of Report: 29 October 2004

Estonia
29 October 2004

	Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1	manufacture/produce	X		X	Penal Code, Arts. 93 and 237	
2	acquire	X		X		
3	possess		1. Estonian Constitution of 28 June 1992, Art. 3 2. CWC ratification			Estonian Constitution
4	stockpile/store	X		X	Penal Code, Art. 93	
5	develop	X		X	Penal Code, Art. 93	
6	transport					
7	transfer	X	1. Estonian Constitution of 28 June 1992, Art. 3 2. CWC ratification	X	Penal Code, Art. 93	
8	use	X		X	Penal Code, Arts. 103 and 237	Penal Code
9	participate as an accomplice in a.m. activities	X		X	Penal Code, Art. 93, Penal Code, Art. 22	
10	assist in a.m. activities	X	1. Estonian Constitution of 28 June 1992, Art. 3 2. CWC ratification	X	Penal Code, Art. 93, Penal Code, Art. 22	page 3 of the report
11	finance a.m. activities	X	Money Laundering and Terrorist Financing Prevention Act, as	X	Penal Code, Art. 237.3	Money Laundering Act
12	a.m. activities related to means of delivery			X	Penal Code, Art. 93	Penal Code
13	involvement of non-State actors in a.m. activities	X		X	Penal Code, Art. 93, Penal Code, Art. 14	
14	Other	X				

OP 2 - Nuclear Weapons (NW)

State:
Date of Report:

Estonia
29 October 2004

Does national legislation exist which prohibits persons or entities to engage in one of the following activities ? Can violators be penalized ?	YES	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
		if YES, indicate source document of national implementation law	YES	if YES, indicate source document		
1 manufacture/produce	X	1. Estonian Constitution of 28 June 1992, Art. 3	X	Penal Code, Arts. 93 and 237	Estonian Constitution	
2 acquire	X	2. NPT ratification	X			
3 possess	X	1. Estonian Constitution of 28 June 1992, Art. 3 2. NPT ratification				
4 stockpile/store			X	Penal Code, Art. 93		
5 develop	X		X	Penal Code, Art. 93		
6 transport						
7 transfer			X	Penal Code, Art. 93		
8 use	X		X	Penal Code, Arts. 103 and 237	Penal Code	
9 participate as an accomplice in a.m. activities	X		X	Penal Code, Art. 93, Penal Code, Arts. 22		
10 assist in a.m. activities	X		X	Penal Code, Art. 93, Penal Code, Arts. 22	page 3 of the report	
11 finance a.m. activities	X	Money Laundering and Terrorist Financing Prevention Act, as	X	Penal Code, Art. 237.3	Money Landerling Act	
12 a.m. activities related to means of delivery			X	Penal Code, Art. 93	Penal Code	
13 involvement of non-State actors in a.m. activities	X		X	Penal Code, Art. 93, Penal Code, Art. 14		
14 Other	X					

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials

State:
Date of Report:

Estonia
29 October 2004

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production					
2 Measures to account for use					
3 Measures to account for storage					
4 Measures to account for transport					
5 Other measures for accounting					
6 Measures to secure production	X	1. Directive 2000/54/EC 2. Environmental Impact Assessment and environmental management System Act, as amended in 2007, Arts 4, 6, 7 and 48	X	Penal Code, arts 104, 364, 365, 367, 368	page 10 of the EU report <u>Environmental Impact Assessment Act</u>
7 Measures to secure use	X	3. Environmental Monitoring Act, as amended in 2005 4. Environmental Supervision Act, as amended in 2007	X		
8 Measures to secure storage	X		X		
9 Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC	X	Party to ADR and RID agreements	pages 10 and 11 of the EU report
10 Other measures for securing					
11 Regulations for physical protection of facilities/materials/ transports	X	1. Environmental Monitoring Act, as amended in 2005 2. Environmental Supervision Act, as amended in 2007			<u>Environmental Monitoring Act</u>

12	Licensing/registration of facilities/persons handling biological materials	X	1. Directive 2000/54/EC 2. Environmental Impact Assessment and environmental management System Act: Ministry of Environment			page 10 of the EU report
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					
15	Regulations for genetic engineering work					
16	Other legislation/ regulations related to safety and security of biological materials	X	Directive 89/391/EC			page 10 of the report
17	Other	X	Public Health Act, as amended in 2007			<u>Public Health Act</u>

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials

State: Estonia
Date of Report: 29 October 2004

Estonia
29 October 2004

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production		Chemicals Act, as amended in 2007 Art 13, 15, 24; Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004			http://www.legaltext.ee/et/andm_ebaas/tekst.asp?loc=text&dok=X2062K5&keel=en&pg=1&ptyvyp=RT&tvyv=X&query=kemikaal iseadus
2 Measures to account for use		Chemicals Act, Art 13, 15, 24; Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004			http://www.legaltext.ee/et/andm_ebaas/tekst.asp?loc=text&dok=X2062K5&keel=en&pg=1&ptyvyp=RT&tvyv=X&query=kemikaal iseadus
3 Measures to account for storage		Chemicals Act, Art 13, 15, 24; Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004			http://www.legaltext.ee/et/andm_ebaas/tekst.asp?loc=text&dok=X2062K5&keel=en&pg=1&ptyvyp=RT&tvyv=X&query=kemikaal iseadus
4 Measures to account for transport		Chemicals Act, Art 13, 15, 24; Decree of Minister of Social Affairs, Accounting of hazardous chemicals, 2004			http://www.legaltext.ee/et/andm_ebaas/tekst.asp?loc=text&dok=X2062K5&keel=en&pg=1&ptyvyp=RT&tvyv=X&query=kemikaal iseadus
5 Other measures for accounting		1. Directive 2000/54/EC			page 10 of the EU report
6 Measures to secure production	X	2. Environmental Impact Assessment and environmental management	X		http://www.legaltext.ee/et/andm_ebaas/tekst.asp?loc=text&dok=X50041K1&keel=en&pg=1&ptyvyp=RT&tvyv=X&query=n%F5uded+kemikaali
7 Measures to secure use	X	3. Environmental Monitoring Act	X	Penal Code, art 104, 364, 365, 367, 368	
8 Measures to secure storage	X	4. Environmental Supervision Act	X		

9	Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC.	X	Party to ADR and RID agreements	pages 10 and 11 of the EU report
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/ transports	X	1. Environmental Monitoring Act 2. Environmental Supervision Act			<u>Environmental Supervision Act</u>
12	Licensing of chemical installations/entities/use of materials	X	1. Directive 2000/54/EC 2. Environmental Impact Assessment and environmental management System Act: Ministry of Environment			page 10 of the EU report
13	Reliability check of personnel					
14	Measures to account for/secure/ physically protect means of delivery					
15	National CWC authority		Chemicals Notification Centre			http://www.ktk.ee/index.php?tid=RusLXXL0FLdTTROziXXHXqI
16	Reporting Schedule I, II and III chemicals to OPCW		Implementation of reporting requirements for CWC schedule chemicals			page 3 of the report
17	Account for, secure or physically protect old chemical weapons					
18	Other legislation/ regulations controlling chemical materials					
19	Other	X	Public Health Act			<u>Public Health Act</u>

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials

State: Estonia
Date of Report: 29 October 2004

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	<input checked="" type="checkbox"/>	INFCIRC/547; Decree of Minister of Environment, Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Art 14; Radiation Law as amended in 2007	<input checked="" type="checkbox"/>		page 3 of the report http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf
2 Measures to account for use	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>	National register on record keeping of nuclear material	
3 Measures to account for storage	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		
4 Measures to account for transport					
5 Other measures for accounting	<input checked="" type="checkbox"/>	1. Council Directive 2003/122/ EURATOM 2. Directive 96/29/EURATOM 1. Directive 2000/54/EC 2. Environmental Impact Assessment and environmental management System Act, Arts 4, 6, 7 and 48 3. Environmental Monitoring Act 4. Environmental Supervision Act 5. Decree of Minister of Environment, Classification of radioactive waste, specifications for registering, managing and delivery, and conformity indicators of radioactive waste, 2005, Arts 4, 6, 7, 8	<input checked="" type="checkbox"/>	Penal Code, art 104, 364, 365, 367, 368	page 10 of the EU report <u>Environmental Impact Assessment Act</u>
6 Measures to secure production	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		
7 Measures to secure use	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		
8 Measures to secure storage	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		
9 Measures to secure transport	<input checked="" type="checkbox"/>	Council Directives 94/55/EEC and 96/49/EEC	<input checked="" type="checkbox"/>	Directive 92/3 EURATOM: prior notification of shipments	pages 10 and 11 of the EU report
10 Other measures for securing					

11	Regulations for physical protection of facilities/materials/ transports	X	1. Environmental Monitoring Act 2. Environmental Supervision Act 3. Decree of Minister of Environment, Classification of radioactive waste, specifications for registering, managing and delivery, and conformity Indicators of radioactive waste, 2005, Art 10			<u>Environmental Supervision Act</u>
12	Licensing of nuclear installations/sentiles/use of materials	X	Directive 96/29 EURATOM: prior authorization			page 8 of the EU report
13	Reliability check of personnel					
14	Measures to account for/secure/ physically protect means of delivery					
15	National regulatory authority	X	Ministry of Environment			http://www.iaea.org/inis/nkm/nkml/ws/d1/1-186.html
16	IAEA Safeguards Agreements	X	1. Safeguards Agreement, accession 1 December 2005 2. Additional Protocol, accession 1 December 2005			page 3 of the report http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf
17	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Expressed support to IAEA DG			http://www-ns.iaea.org/downloads/rw/meeings/code--conduct-signatories.pdf http://www.iaea.org/About/Policy/GC/GC42/Documents/gc42-17.html
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	X	Contributes to Database Programme			
19	Other Agreements related to IAEA					
20	Additional national legislation/regulations related to nuclear materials including CPPNM					
21	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of BW including Related Materials

State:
Date of Report:

Estonia
29 October 2004

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	1. Council Regulation no. 2975/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Customs Act, as amended in 2007 5. Strategic Goods Act, as amended in 2007 6. Regulation No. 257 of 22 July 2004 (Kalla määrus?)	X	Competent Customs Authorities are entitled to proceed with the necessary controls of the means of transport and the consignments. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 13	page 12 and 13 of the EU report pages 3 and 4 of the report <u>Community Customs Code</u>
2	Technical support of border control measures					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Strategic Goods Act, as amended in 2007, Art. 4	X	State Register of Brokers	page 4 of the report
4	Enforcement agencies/authorities	X	Customs Act	X	Strategic Goods Act, Chapter 7 - Art. 47: Tax and Customs Board	<u>Customs Act</u>
5	Export control legislation in place	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 1505/2004 3. Strategic Goods Act, as amended in 2007	X	1. Penal Code, art 2. Customs Act, Chapter 9	page 14 of the EU report pages 3 and 4 of the report
6	Licensing provisions	X		X		page 14 of the EU report
7	Individual licensing	X		X		page 4 of the report <u>Strategic Goods Act</u>
8	General licensing	X	1. Strategic Goods Act, Art. 5 2. Secondary legislation	X	Strategic Goods Act, Art. 29: Offences relating to strategic goods	

9	Exceptions from licensing	X	1. Within the Community free circulation of all goods, exceptions are listed in Annex IV of Regulation 1504/2004 2. Strategic Goods Act, Art. 14	X	or violations of international sanctions shall be notified to the commission	page 14 of the EU report
10	Licensing of deemed export/visa	X	Strategic Goods Act 2004, Art.7	X		
11	National licensing authority	X	Strategic Goods Commission	X	Statutes of the Commission (Government Regulation No. 26 of 29 January 2004	pages 4 and 5 of the report
12	Interagency review for licenses	X	Strategic Goods Act 2004, Art.5	X	Supervisory Agency	<u>Strategic Goods Act</u>
13	Control lists	X	Council Regulation (EC) No. 1504/2004			page 14 of the EU report
14	Updating of lists	X	Regularly updated 1. Council Regulation (EC) No. 1504/2004, Art. 11 2. Strategic Goods Act, 2004, Art 15	X	The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties. Ministry of Foreign Affairs	page 14 of the EU report <u>EU Regulation 1504/2004</u>
15	Inclusion of technologies	X	1. Council Regulation (EC) No. 1504/2004 2. Strategic Goods Act 2004			page 14 of the EU report page 3 of the report
16	Inclusion of means of delivery	X	Council Regulation (EC) No. 1504/2004			page 14 of the EU report
17	End-user controls	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article Commission Regulation (EC) No. 1334/2000, Article 9	X	1. End-use certificates 2. International Import Certificates	page 4 of the report
18	Catch all clause	X				
19	Intangible transfers	X	1. Commission Regulation (EC) No. 1334/2000, Article 2 (b) (iii) 2. Strategic Goods Act, Art. 7			

						<u>Strategic Goods Act</u>
20	Transit control	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Strategic Goods Act	X	Transit permission	
21	Trans-shipment control					
22	Re-export control					
23	Control of providing funds					
24	Control of providing transport services				Import licenses	
25	Control of importation	X	Strategic Goods Act, Chapter 4, Art. 29 and secondary legislation	X	The Security Police Board, the Police Board and the Tax and Customs Board	page 4 of the report <u>Strategic Goods Act</u>
26	Extraterritorial applicability			X	Penal Code, Arts. 8 and 9	
27	Other					

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of CW including Related Materials**

State:
Date of Report:

Estonia
29 October 2004

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Customs Act 5. Strategic Goods Act 6. Regulation No. 257 of 22 July 2004 (kelle määrus?)	X	Competent Customs Authorities are entitled to proceed with the necessary controls of the means of transport and the consignments. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 13	page 12 and 13 of the EU report pages 3 and 4 of the report <u>Community Customs Code</u>
2	Technical support of border control measures					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Strategic Goods Act, Art. 4	X	State Register of Brokers (Government Regulation no. 61 of 09 March 2004) Strategic Goods Act, Chapter 7 - Art. 47: Tax and Customs Board	page 4 of the report <u>Customs Act</u>
4	Enforcement agencies/authorities	X	Customs Act	X	1. Penal Code 2. Customs Act, Chapter 9	page 14 of the EU report
5	Export control legislation in place	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 1505/2004 3. Strategic Goods Act	X		page 14 of the EU report
6	Licensing provisions	X		X		
7	Individual licensing	X	1. Strategic Goods Act, Art. 5 2. Secondary legislation	X	Strategic Goods Act, Art. 29: Offences relating to strategic goods	page 4 of the report <u>Strategic Goods Act</u>
8	General licensing	X		X		

9	Exceptions from licensing	X	1. Within the Community free circulation of all goods, exceptions are listed in Annex IV of Regulation 1504/2004 2. Strategic Goods Act, Art. 14	X	or violations of international sanctions shall be notified to the commission	page 14 of the EU report
10	Licensing of deemed export/visa	X	Strategic Goods Act, Art. 7	X		
11	National licensing authority	X	Strategic Goods Commission	X	Statutes of the Commission (Government Regulation No. 26 of 29 January 2004)	pages 4 and 5 of the report
12	Interagency review for licenses	X	Strategic Goods Act, Art. 5	X	Supervisory Agency	<u>Strategic Goods Act</u>
13	Control lists	X	Council Regulation (EC) No. 1504/2004			page 14 of the EU report
14	Updating of lists	X	Regularly updated 1. Council Regulation (EC) No. 1504/2004, Art. 11 2. Strategic Goods Act, Art 15	X	The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties. Ministry of Foreign Affairs	page 14 of the EU report <u>EU Regulation 1504/2004</u>
15	Inclusion of technologies	X	1. Council Regulation (EC) No. 1504/2004 2. Strategic Goods Act			page 14 of the EU report page 3 of the report
16	Inclusion of means of delivery	X	Council Regulation (EC) No. 1504/2004			page 14 of the EU report
17	End-user controls	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article Commission Regulation (EC) No. 1334/2000, Article 9	X	1. End-use certificates 2. International Import Certificates	page 4 of the report
18	Catch all clause	X	1. Commission Regulation (EC) No. 1334/2000, Article 2 (b) (iii)			
19	Intangible transfers	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article			<u>Strategic Goods Act</u>
20	Transit control	X	2005 (Security Amendment), Article	X	Transit permission	
21	Trans-shipment control					

22	Re-export control					
23	Control of providing funds					
24	Control of providing transport services					
25	Control of importation	X	Strategic Goods Act, Chapter 4, Art. 29 and secondary legislation	X	Import licenses The Security Police Board, the Police Board and the Tax and Customs Board	page 4 of the report <u>Strategic Goods Act</u>
26	Extraterritorial applicability			X	Penal Code, Arts. 8 and 9	
27	Other					

**OP 3 (c) and (d) and related matters from OP 6, and OP 10 -
Controls of NW including Related Materials**

State: Estonia
Date of Report: 29 October 2004

	Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
		YES	if YES, indicate source document	YES	if YES, indicate source document	
1	Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Customs Act 5. Strategic Goods Act	X	Competent Customs Authorities are entitled to proceed with the necessary controls of the means of transport and the consignments. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 13	page 12 and 13 of the EU report pages 3 and 4 of the report <u>Community Customs Code</u>
2	Technical support of border control measures					
3	Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Strategic Goods Act, Art. 4	X	State Register of Brokers (Government Regulation no. 61 of 09 March 2004)	page 4 of the report
4	Enforcement agencies/authorities	X	Customs Act	X	Strategic Goods Act, Chapter 7 - Art. 47: Tax and Customs	<u>Customs Act</u>
5	Export control legislation in place	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 1505/2004 3. Strategic Goods Act	X	1. Penal Code 2. Customs Act, Chapter 9	page 14 of the EU report pages 3 and 4 of the report page 14 of the EU report
6	Licensing provisions	X		X		
7	Individual licensing	X	1. Strategic Goods Act, Art. 5 2. Secondary legislation	X		page 4 of the report <u>Strategic Goods Act</u>
8	General licensing	X		X	Strategic Goods Act, Art. 29: Offences relating to strategic goods	

9	Exceptions from licensing	X	1. Within the Community free circulation of all goods, exceptions are listed in Annex IV of Regulation 1504/2004 2. Strategic Goods Act, Art. 14	X	or violations of international sanctions shall be notified to the commission	page 14 of the EU report
10	Licensing of deemed export/visa	X	Strategic Goods Act, Art. 7	X		
11	National licensing authority	X	Strategic Goods Commission	X	Statutes of the Commission (Government Regulation No. 26 of 29 January 2004	pages 4 and 5 of the report
12	Interagency review for licenses	X	Strategic Goods Act, Art. 5	X		Strategic Goods Act
13	Control lists	X	Council Regulation (EC) No. 1504/2004			page 14 of the EU report
14	Updating of lists	X	Regularly updated 1. Council Regulation (EC) No. 1504/2004, Art. 11 2. Strategic Goods Act, 2004, Art 15	X	The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties. Ministry of Foreign Affairs	page 14 of the EU report <u>EU Regulation 1504/2004</u>
15	Inclusion of technologies	X	1. Council Regulation (EC) No. 1504/2004 2. Strategic Goods Act			page 14 of the EU report page 3 of the report
16	Inclusion of means of delivery	X	Council Regulation (EC) No. 1504/2004			page 14 of the EU report
17	End-user controls	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article Commission Regulation (EC) No. 1334/2000, Article 9	X	1. End-use certificates 2. International Import Certificates	page 4 of the report
18	Catch all clause	X	1. Commission Regulation (EC) No. 1334/2000, Article 2 (b) (iii)			
19	Intangible transfers	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article	X	Transit permission	Strategic Goods Act
20	Transit control	X				
21	Trans-shipment control					
22	Re-export control					
23	Control of providing funds					

24	Control of providing transport services					
25	Control of importation	X	Strategic Goods Act, Chapter 4, Art. 29 and secondary legislation	X	Import licenses The Security Police Board, the Police Board and the Tax and Customs Board	page 4 of the report <u>Strategic Goods Act</u>
26	Extraterritorial applicability			X	Penal Code, Arts. 8 and 9	
27	Other					

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

State:

Estonia

Date of Report:

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Can information be provided on the following issues ?	YES		Remarks
1 Control lists - items (goods/ equipment/ materials/ technologies)	X	EU List of Dual-use Goods	page 4 of the report
2 Control lists - other	X	International Agreements lists (Wassenaar)	
3 Assistance offered	X	Will do its best to assist States which may require assistance	page 5 of the report
4 Assistance requested			
5 Assistance in place (bilateral/plurilateral/multilateral)	X	EU contribution to G 8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment of former scientists, export control and border security	page 6 of the EU report
6 Information for industry	X	EU Commission organizes regular meetings with industry. Estonian Commission of Strategic Goods organizes annually Industry-Government Relations seminars on Strategic Goods. Ministry of Foreign Affairs maintains correspondent internet website where legislative acts, guidelines and application forms are posted.	page 15 of the EU report page 5 of the report
7 Information for the public	X	MFA maintains Internet websites	page 5 of the report