

PERMANENT MISSION OF THE
REPUBLIC OF LATVIA
TO THE UNITED NATIONS

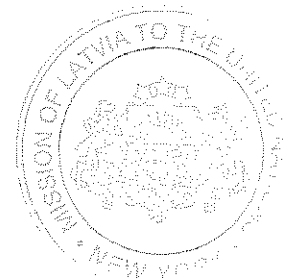
No. 2.3.5-1044

The Permanent Mission of the Republic of Latvia to the United Nations presents its compliments to H.E. Mr. Peter Burian, Chairman of the 1540 Committee of the Security Council and has the honour to forward, enclosed herewith, the report from the Ministry of Foreign Affairs of the Republic of Latvia on the implementation of Security Council Resolution 1540.

The Permanent Mission of the Republic of Latvia to the United Nations avails itself of this opportunity to renew to H.E. Mr. Peter Burian, Chairman of the 1540 Committee the assurances of its highest consideration.

New York, 14 December 2007

H.E. Peter Burian
Chairman of the 1540 Committee of the Security Council
United Nations
NEW YORK



OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

State:
Date of report:

Latvia
December 2007

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements?	YES	If YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc.)	Remarks (information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD			
2 General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against proliferation of WMD	Page 2 of the report
3 General statement on non-provision of WMD and related material to non-state actors	X	Does not provide any form of support to non-State actors	Page 3 of the report
4 Biological Weapons Convention (BWC)	X	State party since 1997	Page 2 of the report
5 Chemical Weapons Convention (CWC)	X	State party since 1996	Page 2 of the report
6 Nuclear Non-Proliferation Treaty (NPT)	X	State party since 1992	Page 2 of the report
7 Comprehensive Nuclear Test Ban Treaty (CTBT)	X	State party since 2001	Page 2 of the report
8 Convention on the Physical Protection of Nuclear material (CPPNM)	X	State party since 2002	Page 5 of the report
9 Hague Code of Conduct (HCOC)	X	<i>Subscribed (04.11.2002.)</i>	http://www.aussenministerium.at/up-media/1679_list_of_hcoc_subscribing_states.doc
10 Geneva protocol of 1925	X	Deposit 03 June 1931	http://disarmament.un.org:8080/TreatyStatus.nsf
11 International Atomic Energy Agency (IAEA)	X	State party since 1997	Pages 8 and 9 of the report
12 Nuclear Weapons Free Zone/Protocol(s)		-	
13 Other Conventions/Treaties	X	<ol style="list-style-type: none"> 1. EURATOM Treaty 2. <u>Supplementary Protocol of Convention on Inhumane Weapons</u> 3. Convention on Nuclear Safety, state party since 1997 4. Convention on Assistance in the case of a Nuclear Accident or Radiological Emergency, state party since 1993 5. Vienna Convention on Civil Liability for Nuclear Damage and Joint Protocol Relating to the Application of the Vienna Convention and the Paris Convention, state party since 1995 	Page 8 of the report of the European Union (EU) page 2 of the report

	<p>6. Protocol to Amend the Vienna Convention on Civil Liability for Nuclear Damage, state party since 2001</p> <p>7. Convention on Early Notification of a Nuclear Accident, state party since 1993</p> <p>8. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, state party since 2001</p> <p>9. Treaty on the Prohibition of the Empalcement of Nuclear Weapons and other Weapons of Mass Destruction on the Seabed and the Ocean Floor and on the Subsoil Thereof, state party since 1992</p> <p>10. On 7 August 2007 Latvia informed the <i>IAEA</i> that it has completed the procedures in accordance with Article 23 (a) (i) of the Agreement between the Kingdom of Belgium, the Kingdom of Denmark, the Federal Republic of Germany, Ireland, the Italian Republic, the Grand Duchy of Luxembourg, the Kingdom of the Netherlands, the European Atomic Energy Agency and the International Atomic Energy Agency in the implementation of Article III (I) and (4) of the NPT, which was signed on 5 April 1973 and entered into force on 21 February 1973, regarding its entry into force with respect to the Republic of Latvia.</p>		Page 3 of the report
14 Other Arrangements	<p>X</p> <p>1. Nuclear Suppliers Group (NSG) since 1997</p> <p>2. Australia Group (AG) since 2004</p> <p>3. Wassenaar Arrangement (WA) since 2005</p>		Page 9 of the report
15 Other	<p>X</p> <p>Inclusion of WMD non-proliferation clause in EU-third country arrangements</p>		

OP 2 – Biological Weapons (BW)

State: **Latvia**
 Date of report: December 2007

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be personalized?	YES	National legal framework If YES, indicate source document of national implementation document	YES	Enforcement: civil/criminal penalties and others If YES, indicate source document	Remarks
1 Manufacture/produce	X	Law on Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997	X	Criminal Law of 01 April 1999, Article 73; Administrative Violations Code of 07 December 1984, Article 179 ¹ : manufacture	Page 3 of the report
2 acquire	X	Law on Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997			
3 possess					
4 Stockpile/store	X	Law on Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997	X	Criminal Law of 01 April 1999, Article 73: amassment; Administrative Violations Code of 07 December 1984, Article 179 ¹ : storage	Page 3 of the report
5 develop	X	Law on Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and an Their Destruction, of 03 January 1997			

6	transport	X	Law on Circulation of Goods of Strategic Significance of 19 July 2007				
7	transfer	X	Law on Circulation of Goods of Strategic Significance of 19 July 2007	X	Criminal Law of 01 April 1999, Article 73: distribution	page 3 of the report	
8	use			X	Criminal Law of 01 April 1999, Article 73: deployment; Administrative Violations Code of 07 December 1984, Article 179 ¹ : utilisation	Page 3 of the report	
9	Participate as an accomplice in a.m. activities						
10	Assist in a.m. activities						
11	Finance a.m. activities	X	1. Law on Prevention of the Laundering of the Proceeds of Crime, as amended 2. Cabinet of Ministers regulation on "Lists of Persons Suspected for Commitment of Terrorism or persons Involved in Commitment of Terror Attacks Issued by States or International Organizations"	X	Criminal Law of 01 April 1999, Article 88 ¹ : finance	Page 4 of the report	
12	a.m. activities related to means of delivery						
13	Involvement of non-State actors in a. m. activities			X	Criminal Law of 01 April 1999, Article 89: suppression of terrorism	Page 4 of the report	

OP 2 – Chemical Weapons (CW)

State: **Latvia**
Date of report: December 2007

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be personalized?	YES	National legal framework If YES, indicate source document of national implementation document	YES	Enforcement: civil/criminal penalties and others If YES, indicate source document	Remarks
1 Manufacture/produce	X	Law on the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996; Chemical Substances and Chemical Products Law of 01 April 1998	X	Criminal Law of 01 April 1999, Article 73; Article 98: production; Administrative Violations Code of 07 December 1982, Article 88; Article 179 ¹ : manufacture	Page 3 of the report
2 acquire	X	Law on the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996	X	Criminal Law of 01 April 1999, Article 98: acquisition	
3 possess	X	Law on the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996; Chemical Substances and Chemical Products Law of 01 April 1998			
4 stockpile/store	X	Law on the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996; Chemical Substances and Chemical Products Law of 01 April 1998	X	Criminal Law of 01 April 1999, Article 73: amassment; Article 98: storage; Administrative Violations Code of 07 December 1984, Article 179 ¹ : storage	Page 3 of the report
5 develop	X	Chemical Substances and Chemical Products Law of 01 April 1998			

6	transport	X	Law on Circulation of Goods of Strategic Significance of 19 July 2007; Regulations of Cabinet of Ministers on Prohibitions, limitations or restrictions on the handling, use and marketing of certain dangerous chemicals of 25 April 2000; Party to ADR and RID agreements	X	Criminal Law of 01 April 1999, Article 73: distribution; Administrative Violations Code of 07 December 1984, Article 88: distribution	page 3 of the report
7	transfer	X	Chemical Substances and Chemical Products Law of 01 April 1998 Council Regulation No.304/2003; Law on Circulation of Goods of Strategic Significance of 19 July 2007	X	Criminal Law of 01 April 1999, Article 73: deployment; Article 98: usage; Administrative Violations Code of 07 December 1984, Article 88: utilization; Article 179': utilization	Page 3 of the report
8	use	X	Chemical Substances and Chemical Products Law of 01 April 1998; Regulations of Cabinet of Ministers on Prohibitions, limitations or restrictions on the handling, use and marketing of certain dangerous chemicals of 25 April 2000	X		
9	Participate as an accomplice in a.m. activities					
10	Assist in a.m. activities					
11	Finance a.m. activities	X	1. Law on Prevention of the Laundering of the Proceeds of Crime, as amended 2. Cabinet of Ministers regulation on "Lists of Persons Suspected for Commitment of Terrorism or persons Involved in Commitment of Terror Attacks Issued by States or International Organizations"	X	Criminal Law of 01 April 1999, Article 88': finance	Page 4 of the report
12	a.m. activities related to means of delivery					
13	involvement of non-State actors in a. m. activities			X	Criminal Law of 01 April 1999, Article 89:suppression of terrorism	Page 4 of the report
14	Other			X	Criminal Law of 01 April 1999, Article 89: threats to commit an act	Page 3 of the report

OP 2 – Nuclear Weapons (NW)

State: **Latvia**
Date of report: December 2007

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be personalized?	YES	National legal framework If YES, indicate source document of national implementation document	YES	Enforcement: civil/criminal penalties and others If YES, indicate source document	Remarks
1 Manufacture/produce			X	Criminal Law of 01 April 1999, Article 73: manufacture; Article 98: production; Administrative Violations Code of 07 December 1982, Article 179 ¹ : manufacture	Page 3 of the report
2 acquire			X	Criminal Law of 01 April 1999, Article 98: acquisition	
3 possess					
4 stockpile/store			X	Criminal Law of 01 April 1999, Article 73: amassment; Article 98: storage; Administrative Violations Code of 07 December 1984, Article 179 ¹ : storage	Page 3 of the report
5 develop					
6 transport	X	Law on Circulation of Goods of Strategic Significance of 19 July 2007			
7 transfer	X	Law on Circulation of Goods of Strategic Significance of 19 July 2007	X	Criminal Law of 01 April 1999, Article 73: distribution	page 3 of the report
8 use			X	Criminal Law of 01 April 1999, Article 73: utilisation; Administrative Violations Code of 07 December 1984, Article 179 ¹ : utilisation	Page 3 of the report
9 Participate as an accomplice in a.m. activities					
10 Assist in a.m. activities					

11	Finance a.m. activities	X	1. Law on Prevention of the Laundering of the Proceeds of Crime, as amended 2. Cabinet of Ministers regulation on "Lists of Persons Suspected for Commitment of Terrorism or persons Involved in Commitment of Terror Attacks Issued by States or International Organizations"	X	Criminal Law of 01 April 1999, Article 88': finance	Page 4 of the report
12	a.m. activities related to means of delivery					
13	involvement of non-State actors in a. m. activities			X	Criminal Law of 01 April 1999, Article 89: suppression of terrorism	Page 4 of the report
14	Other			X	Criminal Law of 01 April 1999, Article 89: threats to commit an act	Page 3 of the report

OP 3 (a) and (b) – Account for/Secure/Physically protect BW including Related Materials

State:

Latvia

Date of report:

December 2007

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and related Materials? Can violators be penalized?		YES	National legal framework If YES, indicate source document	YES	Enforcement: civil/criminal penalties and others If YES, indicate source document	Remarks
1	Measures to account for production					
2	Measures to account for use					
3	Measures to account for storage					
4	Measures to account for transport					
5	Other measures for accounting					
6	Measures to secure production			X	Criminal Law of 01 April 1999: manufacture without permit	Page 10 of the EU report
7	Measures to secure use	X	Directive 2000/54/EC	X	Criminal Law of 01 April 1999, Article 233: use without permit	page 7 of the report
8	Measures to secure storage					Page 10 of the EU report

9	Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC	X	1. Party to ADR and RID agreements 2. Criminal Law of 01 April 1999, Article 233: transport without permit	Page 10 and 11 of the EU report Page 7 of the report
10	Other measures for securing					
11	Regulations for physical protection of facilities/materials/transports					
12	Licensing/registration of facilities/persons handling biological materials	X	Directive 2000/54/EC			Page 10 of the EU report
13	Reliability check of personnel					
14	Measures to account for/secure/physically protect means of delivery					
15	Regulations for genetic engineering work					
16	Other legislation/ regulations related to safety and security of biological materials	X	Directives 89/391/EC			Page 10 of the EU report
17	Other					

OP 3 (a) and (b) – Account for/Secure/Physically protect CW including Related Materials

State: **Latvia**
Date of report: December 2007

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and related Materials? Can violators be penalized?		National legal framework	Enforcement: civil/criminal penalties and others	Remarks
		If YES, indicate source document	If YES, indicate source document	
1	Measures to account for production	YES Law on the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction of 17 May 1996	YES	
2	Measures to account for use	X State Environmental Service is responsible for the control of CWC Schedule chemicals		Page 3 of the report
3	Measures to account for storage			

4	Measures to account for transport							
5	Other measures for accounting	X						Page 10 of the ES report
6	Measures to secure production	X						Page 7 of the report
7	Measures to secure use	X	Council Directive 98/24/EC					Page 10 of the EU report
8	Measures to secure storage	X						Page 10 and 11 of the EU report
9	Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC					Page 7 of the report
10	Other measures for securing							
11	Regulations for physical protection of facilities/materials/transports							
12	Licensing of chemical installations/entities/use of materials	X	EU Integrated Pollution Prevention and Control Directive (96/61/EC) (IPPC Directive)					
13	Reliability check of personnel							
14	Measures to account for/secure/physically protect means of delivery							
15	National CWC authority	X	State Environmental Service (amendments to the Law on the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and their Destruction, since 28 June 2007)					
16	Reporting Schedule I, II and III chemicals to OPCW	X	Annual reports to OPCW					Page 5 of the report
17	Account for, secure or physically protect old chemical weapons							

18	Other legislation/ regulations controlling chemical materials		<ol style="list-style-type: none"> 1. Regulations of Cabinet of Ministers on Classification of chemical substances and chemical products; Regulations of Cabinet of Ministers on the packaging and labelling of concerning the chemical substances and chemical products; 2. Regulations of Cabinet of Ministers on Notification of new substances ; 3. Regulations of Cabinet of Ministers on Safety data sheets; 4. Regulations of Cabinet of Ministers on Prohibitions, limitations or restrictions on the handling, use and marketing of certain dangerous chemicals ; 5. Regulation of Cabinet of Ministers on the Register of chemical substances and chemical products; 6. Regulations of Cabinet of Ministers on the Hazards and risk assessment and reduction of industrial (major) accidents ; 7. Lists of existing and notified substances. 	X		
19	Other			X	Administrative Violations Code, Articles 181 and 183	Page 7 of the report

OP 3 (a) and (b) – Account for/Secure/Physically protect NW including Related Materials

State: **Latvia**
 Date of report: **December 2007**

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and related Materials? Can violators be penalized?	YES	National legal framework If YES, indicate source document	YES	Enforcement: civil/criminal penalties and others If YES, indicate source document	Remarks
1 Measures to account for production	X	1. Law on Radiation Safety and Nuclear Safety Cabinet of Ministers Regulation No. 398 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment of 24 April 2004 3. Cabinet of Ministers Regulations No.301 on the Procedure of issuing of a Special Permit (Licence) or Permit for Activities involving Ionising Radiation Sources and Procedure for Public Dispute on the Establishment of Ionising Radiation Facilities of State Significance or Essential Modifications thereto of 3 July 2001	X	Criminal Law of 01 April 1999, manufacture; Article 98	
2 Measures to account for use	X	1. <i>INFCIRC/193</i> 2. Cabinet of Ministers Regulations No.301 on the Procedure of issuing of a Special Permit (Licence) or Permit for Activities involving Ionising Radiation Sources and Procedure for Public Dispute on the Establishment of Ionising Radiation Facilities of State Significance or Essential Modifications thereto of 3 July 2001	X	Criminal Law of 01 April 1999, Article 346: account	http://www.iaea.org/OurWork/SV/Safeguards/sir_tab1e.pdf

3	Measures to account for storage	X	Cabinet of Ministers Regulations No.301 on the Procedure of issuing of a Special Permit (Licence) or Permit for Activities involving Ionising Radiation Sources and Procedure for Public Dispute on the Establishment of Ionising Radiation Facilities of State Significance or on Essential Modifications thereto of 3 July 2001	X	Criminal Law of 01 April 1999, Article 346: account	
4	Measures to account for transport		Cabinet of Ministers Regulations No.307 on Protection against Ionising Radiation transporting Radioactive Materials of 3 July 2001	X	Administrative Violations Code of 07 December 1984, Article 87 ¹ : transport	
5	Other measures for accounting	X	1. Council Regulation (EC) No 1334/2000 of 22 June 2000 setting up a Community regime for the control of exports of dual-use items and technology 2. Commission Regulation (Euratom) No 302/2005 of 8 February 2005 on the application of Euratom safeguards	X	Administrative Violations Code of 07 December 1984, Article 87 ¹ : accounting	Page 8 of the EU report
6	Measures to secure production	X	1. Cabinet of Ministers Regulation No. 398 on the Procedure Governing Activities Involving Nuclear Materials, Related Materials and Equipment of 24 April 2004	X	Criminal Law, article 233: manufacture without permit	
7	Measures to secure use	X	2. Cabinet of Ministers Regulations No.301 on the Procedure of issuing of a Special Permit (Licence) or Permit for Activities involving Ionising Radiation Sources and Procedure for Public Dispute on the Establishment of Ionising Radiation Facilities of State Significance or Essential Modifications thereto of 3 July 2001	X	Criminal Law, article 233: use without permit	Page 5 and 7 of the report
8	Measures to secure storage	X	3. The Cabinet Regulations on Physical protection of ionising radiation sources, No.508 of 4 November 2002	X	Administrative Violations Code of 07 December 1984, Article 87 ¹ : storage	Page 5 of the report

9	Measures to secure transport	X	<p>1. Cabinet of Ministers Regulations No.307 on Protection against Ionising Radiation transporting Radioactive Materials of 3 July 2001</p> <p>2. Council directives 94/55/EEC and 96/49/EEC</p>	X	<p>1. Directive 92/3 EURATOM: prior notification of shipments</p> <p>2. Criminal Law: Article 233: transport without permit</p>	<p>Page 10 and 11 of the EU report</p> <p>Page 7 of the report</p>
10	Other measures for securing	X	Cabinet of Ministers Regulations No.233 on Radiometric Control of cargo and goods on the state border of 5 April 2005	X	Administrative Violations Code of 07 December 1982, Article 87 ¹	
11	Regulations for physical protection of facilities/ materials/transports	X	Cabinet of Ministers Regulation No. 508 on Physical Protection Requirements for Sources of Ionizing Radiation of 04 November 2002	X	Administrative Violations Code of 07 December 1984, Article 87 ¹	Page 5 of the report
12	Licensing of nuclear installations/entities/use of materials	X	<p>1. Act on Radiation Safety and Nuclear Safety (in force since 21 November 2000);</p> <p>2. Cabinet of Ministers Regulations No.301 on the Procedure of issuing of a Special Permit (Licence) or Permit for Activities involving Ionising Radiation Sources and Procedure for Public Dispute on the Establishment of Ionising Radiation Facilities of State Significance or on Essential Modifications thereto of 3 July 2001</p>			<p>Page 5 of the report</p> <p>Page 8 of the EU report</p>
13	Reliability check of personnel	X	Cabinet of Ministers Regulation No. 508 on Physical Protection Requirements for Sources of Ionizing Radiation of 04 November 2002			
14	Measures to account for/ secure/ physically protect means of delivery	X	Law on Movement of Goods of Strategic Significance			
15	National regulatory authority	X	Radiation Safety Center (under the Ministry of Environment)			Page 5 of the report

16	IAEA Safeguards Agreements	X	<ol style="list-style-type: none"> 1. Safeguards Agreement in force 21 December 1993 2. Additional Protocol in force 12 July 2001 3. Parliament of the Republic of Latvia has accepted decision regarding INFCIRC/193. Notifications are already sent. INFCIRC/193 will be in force for Latvia in 2008. 		Page 2 of the report http://www.iaea.org/OurWork/SV/Safeguards/sir_table.pdf
17	IAEA Code of Conduct on Safety and security of radioactive Sources	X	Act on Radiation Safety and Nuclear Safety and relevant Regulations of Cabinet of Ministers		
18	Nuclear Materials and other Radioactive Sources	X	Contributes to Database Programme		http://www.iaea.org/About/Policy/GC/GC-12/Documentation/gc-12-17.htm

19	Other Agreements related to IAEA	X	<ol style="list-style-type: none"> 1. Convention on Early Notification of Nuclear Accident, <i>in force since 28.01.1993.</i>; 2. Convention concerning the Protection of Workers against Ionising Radiations, <i>in force since 08.03.1994.</i>; 3. Vienna Convention on Civil Liability for Nuclear Damage, <i>in force since 15.06.1995.</i>; 4. Protocol To Amend The Vienna Convention On Civil Liability For Nuclear Damage, in force since 28.06.2001.; 5. Joint Protocol relating to the Application on the Vienna Convention and the Paris Convention, in force since 26.11.1994.; 6. Vienna Convention on Assistance in the Case of a Nuclear Accident or Radiological Emergency, in force since 28.01.1993.; 7. Convention on Nuclear Safety, in force since 23.01.1997.; 8. Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management, in force since 18.06.2001.; 9. Convention Relating To Civil Liability In The Field Of Maritime Carriage Of Nuclear Material, 1971, <i>in force since 25.04.2002.</i>; 10. Convention on the physical protection of nuclear material, in force since 06.12.2002.; 		
20	Additional national legislation/regulations related to nuclear materials including CPPNM	X	Cabinet of Ministers Regulation No. 508 on Physical Protection Requirements for Sources of Ionizing Radiation of 04 November 2002		
21	Other				

OP 3 (c) and (d) and related matters from OP 6 and OP 10 – Controls of BW including Related Materials

State: **Latvia**
Date of report: December 2007

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and related Materials? Can violators be penalized?	YES	National legal framework If YES, indicate source document	YES	Enforcement: civil/criminal penalties and measures of implementation, etc If YES, indicate source document	Remarks
1 Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code)	X	Criminal Law, Article 190 ¹ (since 19 July 2007); Administrative Violations Code, Articles 181, 183 and 201 ¹⁰	Page 12 of the EU report Pages 5 and 8 of the report
2 Technical support of border control measures					
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Law on Circulation of Goods of Strategic Significance of 19 July 2007			
4 Enforcement agencies/authorities			X	Main Customs Service	Page 5 of the report
5 Export control legislation in place	X	1. Council Regulation (EC) No. 1334/2000	X	Administrative Violations Code, Articles 181, 183 and 201 ¹⁰	Page 14 of the EU report Pages 4 to 7 of the report

6	Licensing provisions	X	2. Law on Circulation of Goods of Strategic Significance 3. Cabinet of Ministers Regulations on order of issuing licences and other documents related to the circulation of strategic goods, of 06 November 2007	X	Administrative Violations Code, Article 181, 183 and 201 ¹⁰	
7	Individual licensing	X	Law on Circulation of Goods of Strategic Significance; Cabinet of Ministers Regulations on order of issuing licences and other documents related to the circulation of strategic goods, of 06 November 2007	X	Administrative Violations Code, Article 181 and 183	
8	General licensing	X	Council Regulation (EC) No. 1334/2000; Law on Circulation of Goods of Strategic Significance			
9	Exceptions from licensing	X	Council Regulation (EC) No. 1334/2000			Page 14 of the EU report
10	Licensing of deemed export/ visa					
11	National licensing authority	X	Ministry of Foreign Affairs, Strategic Goods Export Control Division			Page 6 of the report
12	Interagency review for licenses	X	Committee for Monitoring Strategic Goods (Cabinet of Ministers Regulations No. 701 on Founding Law of the Control Committee of Strategic Goods, of 16 October 2007)	X	Members should consult with other members before issuing licenses	Page 6 of the report Page 15 of the EU report
13	Control lists	X	Common Military List of the EU; Council Regulation (EC) No. 1334/2000 with the later amendments; Cabinet of Ministers Regulations on National List of Goods and Services of Strategic Significance, of 25 September 2007; Regularly updated			Page 6 of the report
14	Updating of lists	X				Page 14 of the EU report
15	Inclusion of technologies	X	Council Regulations (EC) No. 1334/2000; 1504/2004; 394/2006; 1183/2007			Page 14 of the EU report
16	Inclusion of means of delivery	X	Council Regulations (EC) No. 1334/2000; 1504/2004; 394/2006; 1183/2007			Page 14 of the EU report

17	End-user controls	X	Law on Circulation of Goods of Strategic Significance			Page 6 of the report
18	Catch all clause	X	Council Regulation (EC) No. 1334/2000			
19	Intangible transfers	X	Council Regulation (EC) No. 1334/2000			
20	Transit controls	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, Article 181, 183 and 201 ¹⁰	Pages 4 to 6 of the report
21	Trans-shipments control	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, Article 181, 183 and 201 ¹⁰	
22	Re-export control	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, Article 181, 183 and 201 ¹⁰	
23	Control of providing funds					
24	Control of providing transport service					
25	Control of importation	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	Pages 4 to 7 of the report
26	Extraterritorial applicability					
27	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 – Controls of CW including Related Materials

State: **Latvia**
Date of report: December 2007

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/omport and other transfers of CW and related Materials? Can violators be penalized?	YES	National legal framework If YES, indicate source document	YES	Enforcement: civil/criminal panalties and measures of implementation, etc If YES, indicate source document	Remarks
1 Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. Regulation of the Main Customs Service No. 1161 on Control of Imports, Exports and Transit of Strategic goods in the Institutions Subordinated to the Main Customs Service, of 30 July 2004	X	Criminal Law, Article 190 ¹ since 19 July 2007; Administrative Violations Code, articles 181, 183 and 201 ¹⁰	Page 12 of the EU report Pages 5 and 8 of the report
2 Technical support of border control measures					
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology		Law on Circulation of Goods of Strategic Significance			
4 Enforcement agencies/ authorities		Law on Circulation of Goods of Strategic Significance	X	Main Customs Service	Page 5 of the report
5 Export control legislation in place	X	1. Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, Articles 181 and 183	Page 14 of the EU report Pages 4 to 7 of the report
6 Licensing provisions	X	2. Cabinet of Ministers Regulations on order of issuing licences and other documents related to the circulation of strategic goods, of 06 November 2007	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	
7 Individual licensing	X		X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	
8 General licensing	X	Council Regulation (EC) No. 1334/2000			
9 Exceptions from licensing	X	Council Regulation (EC) No. 1334/2000			Page 14 of the EU report
10 Licensing of deemed export/ visa					

11	National licensing authority	X	Ministry of Foreign Affairs, Strategic Goods Export Control Division				Page 6 of the report
12	Interagency review for licenses	X	Committee for Monitoring Strategic Goods (Cabinet of Ministers Regulations No. 701 on Founding Law of the Control Committee of Strategic Goods, of 16 October 2007)	X	Members should consult with other members before issuing licenses		
13	Control lists	X	Commission Regulation (EC) No. 1334/2000; Cabinet of Ministers Regulations on National List of Goods and Services of Strategic Significance, of 25 September 2007; Regularly updated				Page 6 of the report
14	Updating of lists	X	Regularly updated				Page 14 of the EU report
15	Inclusion of technologies	X	Council Regulations (EC) No. 1334/2000; 1504/2004; 1183/2007; 394/2006				Page 14 of the EU report
16	Inclusion of means of delivery	X	Council Regulations (EC) No. 1334/2000; 1504/2004; 1183/2007; 394/2006				Page 14 of the EU report
17	End-user controls	X	Law on Circulation of Goods of Strategic Significance				
18	Catch all clause		Council Regulation (EC) No. 1334/2000				
19	Intangible transfers		Council Regulation (EC) No. 1334/2000				
20	Transit controls	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰		Pages 4 to 6 of the report
21	Trans-shipments control	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰		
22	Re-export control	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰		
23	Control of providing funds						
24	Control of providing transport service						
25	Control of importation	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181 and 183		Pages 4 to 7 of the report
26	Extraterritorial applicability						
27	Other						

OP 3 (c) and (d) and related matters from OP 6 and OP 10 – Controls of NW including Related Materials

State: **Latvia**
 Date of report: **December 2007**

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and related Materials? Can violators be penalized?	YES	National legal framework If YES, indicate source document	YES	Enforcement: civil/criminal penalties and measures of implementation, etc If YES, indicate source document	Remarks
1 Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code)	X	Criminal Law, Article 190 since 19 July 2007; Administrative Violations Code, articles 181, 183 and 201 ¹⁰	Page 12 of the EU report Pages 5 and 8 of the report
2 Technical support of border control measures					
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	Law on Circulation of Goods of Strategic Significance; Council Regulation (EC) No. 1334/2000			
4 Enforcement agencies/ authorities		Law on Circulation of Goods of Strategic Significance	X	Main Customs Service	Page 5 of the report
5 Export control legislation in place	X	1. Council Regulation (EC) No. 1334/2000	X	Administrative Violations Code, Articles 181 and 183	Page 14 of the EU report Pages 4 to 7 of the report

6	Licensing provisions	X	X	5. Law on Circulation of Goods of Strategic Significance 2. Cabinet of Ministers Regulations on order of issuing licences and other documents related to the circulation of strategic goods, of 06 November 2007	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	
7	Individual licensing	X	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	
8	General licensing	X	X	Council Regulation (EC) No. 1334/2000			
9	Exceptions from licensing	X	X	Council Regulation (EC) No. 1334/2000			Page 14 of the EU report
10	Licensing of deemed export/visa						
11	National licensing authority	X	X	Ministry of Foreign Affairs, Strategic Goods Export Control Division			Page 6 of the report
12	Interagency review for licenses	X	X	Committee for Monitoring Strategic Goods (Cabinet of Ministers Regulations No. 701 on Founding Law of the Control Committee of Strategic Goods, of 16 October 2007)	X	Members should consult with other members before issuing licenses	Page 6 of the report Page 15 of the EU report
13	Control lists	X	X	Commission Regulation (EC) No. 1334/2000; Cabinet of Ministers Regulations on National List of Goods and Services of Strategic Significance, of 25 September 2007; Regularly updated			Page 6 of the report
14	Updating of lists	X	X	Regularly updated			Page 14 of the EU report
15	Inclusion of technologies	X	X	Council Regulations (EC) No. 1334/2000; 1504/2004; 1183/2007; 394/2006			Page 14 of the EU report
16	Inclusion of means of delivery	X	X	Council Regulations (EC) No. 1334/2000; 1504/2004; 1183/2007; 394/2006			Page 14 of the EU report
17	End-user controls	X	X	Law on Circulation of Goods of Strategic Significance			
18	Catch all clause	X	X	Council Regulation (EC) No. 1334/2000			
19	Intangible transfers	X	X	Commission Regulation (EC) No. 1334/2000			
20	Transit controls	X	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	Pages 4 to 6 of the report

21	Trans-shipments control	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	
22	Re-export control	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181, 183 and 201 ¹⁰	
23	Control of providing funds					
24	Control of providing transport service					
25	Control of importation	X	Law on Circulation of Goods of Strategic Significance	X	Administrative Violations Code, articles 181 and 183	Pages 4 to 7 of the report
26	Extraterritorial applicability	X	Law on Circulation of Goods of Strategic Significance			
27	Other					

OP 6, 7 and 8 (d) – Control lists, Assistance, Information

State: Latvia
Date of report: December 2007

Can information be provided on the following issues?		YES	Remarks
1	Control lists – items (goods/ equipment/ materials/ technologies)	X	Maintains and regularly updates detailed national export control lists
2	Control lists - other		
3	Assistance offered	X	EU Common Military List; Council Regulation (EC) No. 1334/2000 with later amendments – information exchange among EU member states
4	Assistance requested		
5	Assistance in place (bilateral/plurilateral/multilateral)	X	EU contribution to G8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment for former scientists, export control and border security. Latvia has carried out outreach seminars in the field of strategic goods export control for countries like Montenegro, Belarus, Georgia, Ukraine
6	Information for industry	X	EU Commission organises regular meetings with industry Control Committee of Strategic Goods regularly informs firms dealing with import, export and transit regarding their obligations, updates regarding amendments in legislation or lists are provided. In addition to that Latvia regularly organises seminars for its businessmen dealing with circulation of strategic goods.
7	Information for the public	X	There is a section on import, export or transit of strategic goods on the web site of the Ministry of Foreign Affairs .