



PERMANENT MISSION OF THE PRINCIPALITY OF LIECHTENSTEIN
TO THE UNITED NATIONS

H.E. Ambassador Jorge Ubina
Chairman
SC Committee established pursuant to Res. 1540
Security Council Subsidiary Organs Branch (SCSOB)
UN Secretariat Building
Rm S-3055
New York

New York, 15 January 2008

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Re: Update of the 1540 Matrix regarding Liechtenstein

Excellency,

I would like to thank you for your letter dated 17 October 2007, containing a request for updated information to facilitate the Committee's report to the Security Council. Liechtenstein is fully committed to the implementation of resolutions 1540 and 1673, and hereby conveys updated information regarding the status of these efforts.

Since Liechtenstein has already submitted two substantive reports to the Committee, only few additions can be made to the information already provided. However, a number of previously empty cells in the attached matrix have been completed, for the most part reflecting information that was already contained in the two reports mentioned. The respective sources of the updated information in the matrix (the Liechtenstein report of 27 October 2004 and the addendum of 16 January 2006) have been indicated in the last column of the matrix.

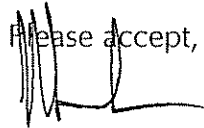
The matrix contains a new reference to Liechtenstein's full commitment to disarmament and non-proliferation, which has always been a consistent premise of Liechtenstein's foreign policy and which has been expressed by Liechtenstein in verbal and written form on many occasions.

The matrix refers to the International Convention for the Suppression of Acts of Nuclear Terrorism. The ratification of this instrument is currently under preparation.

Some of the updated references to the Swiss Federal Law on War Material and other Swiss legislative acts have been included in coordination with the competent Swiss authorities (cf. the report submitted by Switzerland). In particular, text has been added to clarify that under the general provisions of articles 7 and 34 of the Swiss Federal Law on War Material, means of delivery are also covered to the extent they are connected to a WMD programme. As outlined in previous reports, a great number of Swiss laws are applicable to Liechtenstein as well, due to the 1923 Customs Union Agreement concluded between Switzerland and Liechtenstein. The respective matrix updates and additional information provided by Switzerland to the Committee are therefore relevant for Liechtenstein as well. In order to avoid duplication, the relevant parts of the Swiss report to the Committee are not reproduced.

We would like to underline our continued commitment to cooperate with the Committee and hope that the updated information provided will prove useful for its work.

Please accept, Excellency, the assurances of my highest consideration.



Christian Wenaweser
Ambassador
Permanent Representative

Enclosure

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

Liechtenstein

State:

Date of Report: 29 November 2004

Date of Addendum 1: 16 January 2006

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?	YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD	X	Has never possessed WMD and their means of delivery	page 5 of the report
2 General statement on commitment to disarmament and non-proliferation	X	Liechtenstein is committed to full implementation, universal acceptance and where necessary strengthening of the multilateral non-proliferation treaties	
3 General statement on non-provision of WMD and related materials to non-State actors	X	Does not provide any form of support	page 4 of the report
4 Biological Weapons Convention (BWC)	X	Ratified 1991	pages 3 and 9 of the report
5 Chemical Weapons Convention (CWC)	X	Ratified 1999	pages 3 and 9 of the report
6 Nuclear Non-Proliferation Treaty (NPT)	X	Ratified 1978	page 3 of the report page 5 of Addendum 1

The information in the matrices originates primarily from national reports and is complemented by official government information, including that made available to inter-governmental organizations. The matrices are prepared under the direction of the 1540 Committee.

The 1540 Committee intends to use the matrices as a reference tool for facilitating technical assistance and to enable the Committee to continue to enhance its dialogue with States on their implementation of Security Council Resolution 1540.

The matrices are not a tool for measuring compliance of States in their non-proliferation obligations but for facilitating the implementation of Security Council Resolutions 1540 and 1673. They do not reflect or prejudice any ongoing discussions outside of the Committee, in the Security Council or any of its organs, of a State's compliance with its non-proliferation or any other obligations

7	Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Ratified 2004	page 3 of the report page 5 of Addendum 1 http://www.iaea.org/Publications/Documents/Conventions/cppn_status.pdf
8	Convention on Physical Protection of Nuclear Material (CPPNM)	X	In force 08 February 1987	page 3 of the report
9	Hague Code of Conduct (HCOC)	X	Subscribed	http://disarmament.un.org:8080/TreatyStatus.nsf
10	Geneva Protocol of 1925	X	Deposit 06 September 1991	page 9 of the report
11	International Atomic Energy Agency (IAEA)	X	Since 1968	
12	Nuclear Weapons Free Zone/ Protocol(s)			
13	Other Conventions/Treaties	X	Sea-Bed Treaty 1. Zangger Committee (ZC) 2. Australia Group (AG) 3. Missile Technology Control Regime (MTCR) 4. Nuclear Suppliers Group (NSG) 5. Wassenaar Arrangement (WA) Proliferation Security Initiative (PSI) Twelve UN Conventions and Protocols relating to the fight against terrorism	page 3 of the report
14	Other Arrangements	X		page 7 of the report
15	Other	X	ratified; International Convention for the Suppression of Acts of Nuclear Terrorism signed, ratification under preparation	page 4 of the report

OP 2 - Biological Weapons (BW)

State: **Liechtenstein**
 Date of Report: 29 November 2004
 Date of Addendum 1: 16 January 2006

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
2 acquire	X		X		
3 possess	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	Federal Law on War Material
4 stockpile/store	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
5 develop	X		X		
6 transport	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	Federal Law on War Material
7 transfer	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
8 use	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	Federal Law on War Material
9 participate as an accomplice in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	page 5 of the report page 2 of Addendum 1
10 assist in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
11 finance a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	1. Liechtenstein Criminal Code 278 d: catch-all clause 2. Financial Market Supervisory Authority (FSMA) 3. Swiss Federal Law on War Material, Article 34	pages 5 and 8 of the report
12 a.m. activities related to means of delivery	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	Means of delivery are covered by the legislation to the extent they are connected to a WMD programme under the general provisions of articles 7 and 34

13 involvement of non-State actors in a.m. activities	<input checked="" type="checkbox"/>	Swiss Federal Law on War Material, Article 7	<input checked="" type="checkbox"/>	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
14 Other	<input checked="" type="checkbox"/>	Swiss Federal Law on War Material, Article 7: import, export, transit and extraterritorial applicability	<input checked="" type="checkbox"/>	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report

OP 2 - Chemical Weapons (CW)

State: Liechtenstein

Date of Report: 29 November 2004

Date of Addendum 1: 16 January 2006

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework of national implementation law		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
2 acquire	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
3 possess	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
4 stockpile/store	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
5 develop	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
6 transport	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
7 transfer	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
8 use	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
9 participate as an accomplice in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	page 5 of the report page 2 of Addendum 1
10 assist in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
11 finance a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 5 and 8 of the report
12 a.m. activities related to means of delivery	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	Means of delivery are covered by the legislation to the extent they are connected to a WMD programme under the general provisions of articles 7 and 34

13	involvement of non-State actors in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
14	Other	X	Swiss Federal Law on War Material, Article 7: import, export, brokerage, transit and extraterritorial applicability	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report

OP 2 - Nuclear Weapons (NW)

State:

Liechtenstein

Date of Report:

29 November 2004

Date of Addendum 1:

16 January 2006

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
2 acquire	X		X		
3 possess	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
4 stockpile/store	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
5 develop	X		X		
6 transport	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
7 transfer	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
8 use	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	<u>Federal Law on War Material</u>
9 participate as an accomplice in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	page 5 of the report page 2 of Addendum 1
10 assist in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
11 finance a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	1. Liechtenstein Criminal Code 278 d: catch-all clause 2. Financial Market Supervisory Authority (FSMA) 3. Swiss Federal Law on War Material, Article 34	pages 5 and 8 of the report
12 a.m. activities related to means of delivery	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	Means of delivery are covered by the legislation to the extent they are connected to a WMD programme under the general provisions of articles 7 and 34

13	involvement of non-State actors in a.m. activities	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report
14	Other	X	Swiss Federal Law on War Material, Article 7: import, export, brokerage, transit and extraterritorial applicability	X	Swiss Federal Law on War Material, Article 34	pages 4 and 5 of the report

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials

Liechtenstein

State:

Date of Report:

29 November 2004

Date of Addendum 1:

16 January 2006

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X	Art. 29c of the Swiss Epidemics (further elaborated in the Swiss Physical Security Ordinance) Act; Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	Swiss Federal Law on the Control of Communicable Human Diseases of 18 December 1970 (Epidemics Act; SR 818.1) Swiss Federal Law on the Protection against Dangerous Substances and Preparations of 15 December 2000 (Chemicals Act; SR 813.1)
2 Measures to account for use	X	Art. 29c of the Swiss Epidemics Act (further elaborated in the Swiss Physical Security Ordinance); Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	
3 Measures to account for storage	X	Art. 29c of the Swiss Epidemics Act (further elaborated in the Swiss Physical Security Ordinance); Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	
4 Measures to account for transport	X	Art. 29c of the Swiss Epidemics Act (further elaborated in the Swiss Physical Security Ordinance); Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	
5 Other measures for accounting	X	Art. 25 of the Swiss Chemicals Act demands that institutions dealing with dangerous goods take measures to ensure health and safety and nominate a responsible person	X	Art. 49 of Swiss Chemicals Act	
6 Measures to secure production	X	Art. 29c of the Swiss Epidemics Act (further elaborated in the Swiss Physical Security Ordinance); Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	

7	Measures to secure use	X	Art. 29c of the Swiss Epidemics Act (further elaborated in the Swiss Physical Security Ordinance); Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	
8	Measures to secure storage	X	Art. 29c of the Swiss Epidemics Act (further elaborated in the Swiss Physical Security Ordinance); Art. 19 of the Swiss Chemicals Act	X	Art. 35 Para 1f of the Swiss Epidemics Act; Art. 49 of the Swiss Chemicals Act	
9	Measures to secure transport	X	Art. 29d of the Swiss Epidemics Act (further elaborated in the Swiss Environmental Release Ordinance and the Swiss Physical Security Ordinance); Art. 7 of the Swiss Transport Ordinance	X	Art. 35 Para 2 of the Swiss Epidemics Act; Art. 19-22 of the Swiss Transport Ordinance	Swiss Ordinance on Street Transport of Dangerous Goods of 29 November 2002 (Transport Ordinance; SR 741.621)
10	Other measures for securing	X	Art. 29a of the Swiss Epidemics Act (further elaborated in the Swiss Environmental Release Ordinance); Permit for the release of microorganisms into the atmosphere	X	Art. 35 Para 1g of the Swiss Epidemics Act	
11	Regulations for physical protection of facilities/materials/ transports	X	Art. 29 of the Swiss Epidemics Act	X	Art. 35 Para 2 of the Swiss Epidemics Act; Art. 19-22 of the Swiss Transport Ordinance	
12	Licensing/registration of facilities/persons handling biological materials	X	Art. 18 of the Swiss Chemicals Act; laboratories handling blood products or transplants need a license under Art. 5 of the Swiss Epidemics Act; laboratories working with genetically modified or pathogenic organisms need a licence under Art. 17 of the Physical Security Ordinance	X		Swiss Ordinance on the Handling of Organisms in Closed Systems (Physical Security Ordinance; SR 814.912)
13	Reliability check of personnel	X	Art. 24 of the Swiss Chemicals Act allows the introduction of personal and professional criteria which need to be fulfilled in order for a person to work with these substances.	X	Art 50 of the Swiss Chemicals Act	
14	Measures to account for/secure/physically protect means of delivery		not applicable			
15	Regulations for genetic engineering work	X	Swiss Genetic Engineering Act of 23 March 2003		Art. 49 the Swiss Chemicals Act	www.admin.ch

<p>16 Other legislation/ regulations related to safety and security of biological materials</p>			<p>1. Swiss Federal Law on the Control of Communicable Human Diseases of 18 December 1970 (Epidemics Act) 2. Swiss Ordinance on the Notification of Communicable Human Diseases of 13 January 1999 3. Swiss Ordinance on the Control of Animal Epidemics of 27 June 1995 4. Swiss Federal Law on the Agriculture of 29 April 1998 5. Swiss Ordinance on Plant Protection of 28 February 2001</p>		<p>page 10 of the report www.admin.ch</p>
<p>17 Other</p>		<p>X</p>			

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials

State: Liechtenstein

Date of Report: 29 November 2004

Date of Addendum 1: 16 January 2006

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	<input checked="" type="checkbox"/>	Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Articles 5, 7, 9, 11	<input checked="" type="checkbox"/>	Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 43	http://www.opcw.org/docs/la_natimleg/switzerland_SECO312037_1.pdf
2 Measures to account for use	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		
3 Measures to account for storage	<input checked="" type="checkbox"/>		<input checked="" type="checkbox"/>		
4 Measures to account for transport	<input checked="" type="checkbox"/>	Art. 19 of the Swiss Chemicals Act allows for measures that have not yet been specified, however	<input type="checkbox"/>	Art. 49 of the Swiss Chemicals Act	
5 Other measures for accounting	<input checked="" type="checkbox"/>	Art. 25 of the Swiss Chemicals Act demands that institutions dealing with dangerous goods take measures to ensure health and safety and nominate a responsible person	<input type="checkbox"/>	Art. 49 of the Swiss Chemicals Act	
6 Measures to secure production	<input checked="" type="checkbox"/>	Art. 19 of the Swiss Chemicals Act allows for measures that have not yet been specified, however	<input checked="" type="checkbox"/>	Art. 49 of the Swiss Chemicals Act	
7 Measures to secure use	<input checked="" type="checkbox"/>	Art. 19 of the Swiss Chemicals Act allows for measures that have not yet been specified, however	<input checked="" type="checkbox"/>	Art. 49 of the Swiss Chemicals Act	
8 Measures to secure storage	<input checked="" type="checkbox"/>	Art. 21 of the Swiss Chemicals Act	<input checked="" type="checkbox"/>	Art. 49 of the Swiss Chemicals Act	
9 Measures to secure transport	<input checked="" type="checkbox"/>	Art. 7 of the Swiss Transport Ordinance	<input checked="" type="checkbox"/>	Art. 19-22 of the Swiss Transport Ordinance	
10 Other measures for securing	<input type="checkbox"/>		<input type="checkbox"/>		
11 Regulations for physical protection of facilities/materials/ transports	<input checked="" type="checkbox"/>	Art. 21 of the Swiss Chemicals Act	<input checked="" type="checkbox"/>	Art. 49 of the Swiss Chemicals Act	

12	Licensing of chemical installations/entities/use of materials	X	Art. 18 of the Swiss Chemicals Act; Swiss Ordinance on the Control of Chemicals for Civil and Military Applications	Art. 50 of the Swiss Chemicals Act; Swiss Ordinance on the Control of Chemicals for Civil and Military Applications Article 43	http://www.opcw.org/docs/la_natimleg/switzerland_SECO312037_1.pdf
13	Reliability check of personnel	X	Art. 24 of the Swiss Chemicals Act allows the introduction of personal and professional criteria which need to be fulfilled in order for a person to work with certain substances. Art. 7 of the Swiss Particularly Dangerous Goods Ordinance, as well as other specialized ordinances, also demand stringent controls of the professional qualification of employees dealing with dangerous goods.	X Art. 49 of the Swiss Chemicals Act	Swiss Ordinance on the Reduction of Risks in Handling certain Particularly Dangerous Substances, Preparations and Objects (Particularly Dangerous Goods Ordinance; SR 814.81)
14	Measures to account for/secure/physically protect means of delivery		not applicable	X	
15	National CWC authority	X	Liechtenstein Office of Foreign Affairs Swiss State Secretariat for Economy 2. NC-Laboratory at Spiez		www.gesetze.li www.opcw.org/docs/NationalAuthorities.pdf
16	Reporting Schedule I, II and III chemicals to OPCW	X	Liechtenstein Office for Foreign Affairs: submission of periodic national reports		page 9 of the report www.gesetze.li
17	Account for, secure or physically protect old chemical weapons	X	Liechtenstein has never possessed chemical weapons		
18	Other legislation/ regulations controlling chemical materials		Agreement between Liechtenstein and Switzerland concerning the Execution of the CWC of 1993		
19	Other	X			page 10 of the report

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials

State: **Liechtenstein**

Date of Report: 29 November 2004

Date of Addendum 1: 16 January 2006

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X		X		
2 Measures to account for use	X	Swiss Ordinance on Safeguards of 18 August 2004, Sections 2 - 7	X	Swiss Ordinance on Safeguards of 18 August 2004, Section 8	page 17 of Addendum 1 <u>Ordinance on Safeguards of 2004</u>
3 Measures to account for storage	X		X		
4 Measures to account for transport	X		X		
5 Other measures for accounting					
6 Measures to secure production	X	1. Swiss Law on Nuclear Energy of 21 March 2003, Chapter 3	X	1. Swiss Law on Nuclear Energy of 21 March 2003, Chapter 3	page 17 of Addendum 1 <u>Law on Nuclear Energy of 2003</u>
7 Measures to secure use	X	2. Swiss Ordinance on Nuclear Energy of 10 December 2004	X	2. Swiss Ordinance on Nuclear Energy of 10 December 2004	
8 Measures to secure storage	X	3. Swiss Transport Ordinance, Article 25	X		
9 Measures to secure transport	X				
10 Other measures for securing					
11 Regulations for physical protection of facilities/materials/ transports	X	1. Swiss Law on Nuclear Energy of 21 March 2003, Article 23 2. Swiss Ordinance on Nuclear Energy of 10 December 2004 3. Swiss Transport Ordinance, Article 25	X	1. Swiss Law on Nuclear Energy of 21 March 2003, Article 23 2. Swiss Ordinance on Nuclear Energy of 10 December 2004	page 17 of Addendum 1 <u>Law on Nuclear Energy of 2003</u>
12 Licensing of nuclear installations/entities/use of materials	X	1. Swiss Law on Nuclear Energy of 21 March 2003, Chapter 4 2. Swiss Ordinance on Nuclear Energy of 10 December 2004	X		

13	Reliability check of personnel	X	Swiss Ordinance on Personnel Security Checks in the area of nuclear entities of 9 June 2006		page 17 of Addendum 1
14	Measures to account for/secure/physically protect means of delivery		not applicable		
15	National regulatory authority	X	Federal Office for Energy		<u>Law on Nuclear Energy of 2003</u>
16	IAEA Safeguards Agreements	X	1. Safeguards Agreement in force 04 October 1979		
17	IAEA Code of Conduct on Safety and Security of Radioactive Sources				
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other				
19	Other Agreements related to IAEA				
20	Additional national legislation/regulations related to nuclear materials including CPPNIM	X	Swiss Ordinance on Security Guards in nuclear entities in preparation of 9 June 2006		page 18 of Addendum 1
21	Other				

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of BW including Related Materials**

Liechtenstein

29 November 2004

16 January 2006

State:

Date of Report:

Date of Addendum 1:

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc	Remarks
	YES	if YES, indicate source document		
1 Border control	X	1. Customs Agreement with Switzerland 2. Swiss Customs Law (631.0) Article 76 3. Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 26	1. Customs and Border controls are conducted by Swiss authorities in accordance with Swiss law 2. Swiss Federal Law on the Control of Dual Use Goods and Military Goods, Article 14	pages 3 and 6 of the report page 3 of Addendum 1
2 Technical support of border control measures			Swiss Customs Administration uses an Advanced Cargo Information System for risk assessment, pre-screening and preliminary criminal investigations	page 4 of Addendum 1
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	1. Law on Sanctions on Trade with Foreign States 2. Ordinance on the Brokerage of War Material 3. Swiss-Federal-Law-on-War-Material-Article 7	Swiss-Federal-Law-on-War-Material-Article-33 Law on Sanctions on Trade with Foreign States, Articles 4 and 5	page 5 of the report
4 Enforcement agencies/authorities	X	Liechtenstein Police		

5	Export control legislation in place	X	<p>1. Swiss Federal Law on War Material, Article 7</p> <p>2. Swiss Federal Law on the Control of Goods usable for Civilian and Military Purposes and Specific Military Goods</p> <p>3. Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods</p>	X	<p>1. Swiss Federal Law on War Material, Articles 33 and 34</p> <p>2. Infringements against legislation are punishable</p>	<p>pages 4 and 7 of the report <u>Law on Control of Dual-Use Goods and Specific Military Goods</u></p>
6	Licensing provisions	X	<p>4. Swiss Ordinance on the Control of Chemicals with Civilian and Military Use, Article 3</p> <p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Articles 5-7</p>	X	<p>1. Swiss Federal Law on War Material, Article 33</p> <p>2. Swiss Federal Law on the Control of Dual-Use Goods and Specific Military Goods, Section 5</p>	
7	Individual licensing	X	Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27		Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27	
8	General licensing	X	Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Articles 8-11		Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27	<p>page 19 of Addendum 1 <u>Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods</u></p>
9	Exceptions from licensing	X	Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 13		Proof required that no licence is necessary under Art. 20 of the Ordinance	
10	Licensing of deemed export/visa					
11	National licensing authority	X	Swiss State Secretariat for Economic Affairs (SECO)	X	SECO is authorized to enter business premises without announcement and can deny and revoke permits	<p>page 6 of the report</p>
12	Interagency review for licenses	X	Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 16			
13	Control lists	X	Control Lists issued by NSG, ZC, AG and MTCR			
14	Updating of lists	X	Swiss Federal Law on Control of Dual-Use Goods and Specific Military Goods, Article 22			
15	Inclusion of technologies	X	Swiss Federal Law on Control of Dual-Use Goods and Specific			<p>page 20 of Addendum 1</p>

16	Inclusion of means of delivery	X	Dual-use goods and specific Military Goods, Article 3				page 6 of the report
17	End-user controls	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods				pages 4 to 6 of the report page 20 of Addendum 1
18	Catch all clause	X	Swiss Federal Law on Control of Dual-use Goods and Specific Military Goods, Article 3 / Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods, Article 2				pages 4 to 6 of the report page 20 of Addendum 1
19	Intangible transfers	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods, Article 25				pages 4 to 6 of the report page 20 of Addendum 1 Federal Law on War Material
20	Transit control	X	Swiss Federal Law on War Material, Article 7	X		Swiss Federal Law on War Material, Articles 33 and 34	pages 2 and 3 of Addendum 1 Federal Law on War Material
21	Trans-shipment control	X	Swiss Federal Law on War Material, Article 18	X		Swiss Federal Law on War Material, Article 33	pages 2 and 3 of Addendum 1 Federal Law on War Material
22	Re-export control	X	Swiss Federal Law on War Material, Article 7	X		Swiss Federal Law on War Material, Article 33 and 34	pages 4 to 6 of the report page 20 of Addendum 1 Federal Law on War Material
23	Control of providing funds	X	Swiss Federal Law on War Material, Article 7 Liechtenstein-Due-Diligence-Act	X		Swiss Federal Law on War Material, Article 33 (f)	pages 5 and 7 of the report Federal Law on War Materials
24	Control of providing transport services	X	Swiss Federal Law on War Material, Article 7	X		Swiss Federal Law on War Material, Articles 33 and 34	page 20 of Addendum 1 Federal Law on War Material
25	Control of importation	X	1. Swiss Federal Law on War Material, Article 7 2. Liechtenstein Due Diligence Act	X		Swiss Federal Law on War Material, Article 34	pages 5 and 6 of the report
26	Extraterritorial applicability	X		X		Swiss Federal Law on War Material, Article 34	pages 5 and 6 of the report
27	Other						

**OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of CW including Related Materials**

State: Liechtenstein

Date of Report: 29 November 2004

Date of Addendum 1: 16 January 2006

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc	Remarks
	YES	if YES, indicate source document		
1 Border control	X	1. Customs Agreement with Switzerland 2. Swiss Customs Law (631.0) Article 76 3. Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 26	1. Customs and Border controls are conducted by Swiss authorities in accordance with Swiss law 2. Swiss Law on the Control of Dual Use Goods and Military Goods, Article 14	pages 3 and 6 of the report page 3 of Addendum 1
2 Technical support of border control measures			Swiss Customs Administration uses an Advanced Cargo Information System for risk assessment, pre-screening and preliminary criminal investigations	page 4 of Addendum 1
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	1. Law on Sanctions on Trade with Foreign States 2. Ordinance on the Brokerage of War Material 3. Swiss-Federal-Law-on-War-Material, Article-7	Swiss-Federal-Law-on-War-Material, Article-33 Law on Sanctions on Trade with Foreign States, Articles 4 and 5	page 5 of the report
4 Enforcement agencies/authorities	X	Liechtenstein Police		

5	Export control legislation in place	X	<p>1. Swiss Federal Law on War Material, Article 7</p> <p>2. Swiss Federal Law on the Control of Goods usable for Civilian and Military Purposes and Specific Military Goods</p> <p>3. Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods</p>	X	<p>1. Swiss Federal Law on War Material, Articles 33 and 34</p> <p>2. Infringements against legislation are punishable</p>	<p>pages 4 and 7 of the report</p> <p><u>Law on Control of Dual-Use Goods and Specific Military Goods</u></p>
6	Licensing provisions	X	<p>4. Swiss Ordinance on the Control of Chemicals with Civilian and Military Use, Article 3</p> <p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Articles 5-7</u></p> <p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Articles 8-11</u></p> <p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 13</u></p>	X	<p>1. Swiss Federal Law on War Material, Article 33</p> <p>2. Swiss Federal Law on the Control of Dual-Use Goods and Specific Military Goods, Section 5</p>	<p><u>Goods and Specific Military Goods</u></p>
7	Individual licensing	X	<p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27</u></p>		<p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27</p>	
8	General licensing	X	<p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27</u></p>		<p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27</p>	<p>page 21 of Addendum 1</p> <p><u>Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods</u></p>
9	Exceptions from licensing	X	<p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 13</u></p>		<p>Proof required that no licence is necessary under Art. 20 of the Ordinance</p>	
10	Licensing of deemed export/visa					
11	National licensing authority	X	<p>Swiss State Secretariat for Economic Affairs (SECO)</p> <p><u>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 16</u></p> <p>Control Lists issued by NSG, ZC, AG and MTCR</p> <p>Swiss Federal Law on Control of Dual-Use Goods and Specific Military Goods, Article 22</p> <p>Swiss Federal Law on Control of Dual-Use Goods and Specific</p>	X	<p>SECO is authorized to enter business premises without announcement and can deny and revoke permits</p>	<p>page 6 of the report</p>
12	Interagency review for licenses	X				
13	Control lists	X				
14	Updating of lists	X				
15	Inclusion of technologies	X				

16	Inclusion of means of delivery	X	Dual-use Goods and Specific Military Goods, Article 3				page 6 of the report
17	End-user controls	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods				
18	Catch all clause	X	Swiss Federal Law on Control of Dual Use Goods and Specific Military Goods, Article 3 / Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods, Article 2				
19	Intangible transfers	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods				
20	Transit control	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 34		pages 4 to 6 of the report
21	Trans-shipment control	X	Swiss Federal Law on War Material, Article 18	X	Swiss Federal Law on War Material, Articles 33 and 34		pages 2 and 3 of Addendum 1
22	Re-export control	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Article 33		<u>Federal Law on War Material</u>
23	Control of providing funds	X	Swiss Federal Law on War Material, Article 7 Liechtenstein-Due-Diligence-Act	X	Criminal-Code, Article 278-d Swiss Federal Law on War Material, Article 33 (f)		pages 5 and 7 of the report <u>Federal Law on War Materials</u>
24	Control of providing transport services	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Articles 33 and 34		page 23 of Addendum 1 <u>Federal Law on War Material</u>
25	Control of importation	X	1. Swiss Federal Law on War Material, Article 7 2. Liechtenstein Due Diligence Act	X	Swiss Federal Law on War Material, Article 34		pages 5 and 6 of the report
26	Extraterritorial applicability			X	Swiss Federal Law on War Material, Article 34		pages 5 and 6 of the report
27	Other						

**OP 3 (c) and (d) and related matters from OP 6, and OP 10 -
Controls of NW including Related Materials**

Liechtenstein

State:

Date of Report:

29 November 2004

Date of Addendum 1:

16 January 2006

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	1. Customs Agreement with Switzerland 2. Swiss Customs Law (631.0) Article 76 3. Swiss Ordinance on the Control of Export, Import and Transit of Dual Use Goods and Specific Military Goods, Article 26	X	1. Customs and Border controls are conducted by Swiss authorities in accordance with Swiss law 2. Law on the Control of Dual Use Goods and Military Goods, Article 14	pages 3 and 6 of the report page 3 of Addendum 1
2 Technical support of border control measures			X	Swiss Customs Administration uses an Advanced Cargo Information System for risk assessment, pre-screening and preliminary criminal investigations	page 4 of Addendum 1
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	1. Law on Sanctions on Trade with Foreign States 2. Ordinance on the Brokerage of War Material 3- Swiss-Federal-Law-on-War-Material-Article-7	X	Swiss-Federal-Law-on-War-Material-Article-33 Law on Sanctions on Trade with Foreign States, Articles 4 and 5	page 5 of the report
4 Enforcement agencies/authorities	X	Liechtenstein Police			

5	Export control legislation in place	X	<p>1. Swiss Federal Law on War Material, Article 7</p> <p>2. Swiss Federal Law on the Control of Goods usable for Civilian and Military Purposes and Specific Military Goods</p> <p>3. Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods</p>	X	<p>1. Swiss Federal Law on War Material, Articles 33 and 34</p> <p>2. Infringements against legislation are punishable</p> <p>3. Swiss Federal Law on the Control of Dual-Use Goods and Specific Military Goods, Section 5</p>	pages 4 and 7 of the report <u>Law on Control of Dual-Use Goods and Specific Military Goods</u>
6	Licensing provisions	X	<p>4. Swiss Ordinance on the Control of Chemicals with Civilian and Military Use, Article 3</p>	X	<p>1. Swiss Federal Law on War Material, Article 33</p> <p>2. Swiss Federal Law on the Control of Dual-Use Goods and Specific Military Goods, Section 5</p>	
7	Individual licensing	X	<p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Articles 5-7</p>		Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27	
8	General licensing	X	<p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Articles 8-11</p>		Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 27	page 23 of Addendum 1 <u>Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods</u>
9	Exceptions from licensing	X	<p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 13</p>		Proof required that no licence is necessary under Art. 20 of the Ordinance	
10	Licensing of deemed export/visa					
11	National licensing authority	X	<p>Swiss State Secretariat for Economic Affairs (SECO)</p>	X	SECO is authorized to enter business premises without announcement and can deny and revoke permits	page 6 of the report
12	Interagency review for licenses	X	<p>Swiss Ordinance on Export, Import and Transit of Dual-Use Goods and Specific Military Goods, Article 16</p>			
13	Control lists	X	<p>Control Lists issued by NSG, ZC, AG and MTCR</p>			
14	Updating of lists	X	<p>Swiss Federal Law on Control of Dual-Use Goods and Specific Military Goods, Article 22</p>			page 24 of Addendum 1

15	Inclusion of technologies	X	Swiss Federal Law on Control of Dual-Use Goods and Specific Military Goods, Article 3			page 24 of Addendum 1
16	Inclusion of means of delivery	X	Swiss Federal Law on Control of Dual-Use Goods and Specific Military Goods, Article 3			page 6 of the report
17	End-user controls	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods			
18	Catch all clause	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods			
19	Intangible transfers	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods, Article 2			
20	Transit control	X	Swiss Ordinance concerning the Export, Import and Transit of Dual-use Goods and Specific Military Goods	X	Swiss Federal Law on War Material, Article 34	pages 4 to 6 of the report
21	Trans-shipment control	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Articles 33 and 34	pages 2 and 3 of Addendum 1
22	Re-export control	X	Swiss Federal Law on War Material, Article 18	X	Swiss Federal Law on War Material, Article 33 Criminal Code, Article 278-d	<u>Federal Law on War Material</u> pages 5 and 7 of the report
23	Control of providing funds	X	Swiss Federal Law on War Material, Article 7 Liechtenstein Due-Diligence Act	X	Swiss Federal Law on War Material, Article 33 (f)	<u>Federal Law on War Materials</u>
24	Control of providing transport services	X	Swiss Federal Law on War Material, Article 7	X	Swiss Federal Law on War Material, Articles 33 and 34	page 24 of Addendum 1 <u>Federal Law on War Material</u>
25	Control of importation	X	1. Swiss Federal Law on War Material, Article 7 2. Liechtenstein Due Diligence Act	X	Swiss Federal Law on War Material, Article 34	pages 5 and 6 of the report
26	Extraterritorial applicability			X	Swiss Federal Law on War Material, Article 34	pages 5 and 6 of the report
27	Other					

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

State: Liechtenstein
 Date of Report: 29 November 2004
 Date of Addendum 1: 16 January 2006

Can information be provided on the following issues ?	YES	Remarks
1 Control lists - items (goods/equipment/materials/technologies)	<input checked="" type="checkbox"/>	Corresponding lists of ZC, AG, MTCR, NSG and WA are to be found in the Swiss Law on the Control of Goods Usable for Civilian and Military Purposes and Specific Military Goods and its accompanying ordinance which are also applicable in Liechtenstein
2 Control lists - other		
3 Assistance offered	<input checked="" type="checkbox"/>	is willing to assist through capacity building, particularly in areas where it has relevant experience
4 Assistance requested		none
5 Assistance in place (bilateral/plurilateral/multilateral)	<input checked="" type="checkbox"/>	indirectly through other assistance programs related to crime prevention
6 Information for industry	<input checked="" type="checkbox"/>	"Prophylax" program launched by the Swiss Federal Police is aimed at making companies aware of the dangers of proliferation
7 Information for the public	<input checked="" type="checkbox"/>	All laws and international agreements are published. The complete text may either be obtained at the Government Chancellery or viewed on the Internet

