

*Stála misia Slovenskej republiky
pri Organizácii Spojených národov
New York*



*Permanent Mission of the Slovak Republic
to the United Nations
New York*

New York, 14th December 2007

Ref.: 2123/2007-MB

Dear Mr. Kostov,

Please find enclosed a copy of an update of the 1540-matrix submitted by Slovakia.

At the same time I would like to inform you that Slovakia agrees with the posting of the Matrix on the web site of the 1540 Committee.

Best regards,

A handwritten signature in black ink, appearing to read "Marcel Babicz".

Marcel Babicz
Second Secretary

Vesselin Kostov
Secretary of the 1540 Committee
Department for Political Affairs
United Nations

OP 1 and related matters from OP 5, OP 6, OP 8 (a), (b), (c) and OP 10

Slovakia

State:

02 November 2004

Date of Report:

14 December 2005

Date of Addendum 1

November 2007

Revised by Experts

Did you make one of the following statements or is your country a State Party to or Member State of one of the following Conventions, Treaties and Arrangements ?	YES	if YES, indicate relevant information (i.e. signing, accession, ratification, entering into force, etc)	Remarks (information refers to the page of the English version of the report or an official web site)
1 General statement on non-possession of WMD	X	Does not possess any WMD	page 2 of Addendum 1
2 General statement on commitment to disarmament and non-proliferation	X	European Union Strategy against Proliferation of WMD	pages 3 and 10 of the report
3 General statement on non-provision of WMD and related materials to non-State actors	X	Does not provide any form of support to non-State actors	page 4 of the report
4 Biological Weapons Convention (BWC)	X	In force 01 January 1993	pages 4 and 8 of the report page 2 of Addendum 1
5 Chemical Weapons Convention (CWC)	X	Deposit 27 October 1995	pages 4 and 8 of the report page 2 of Addendum 1
6 Nuclear Non-Proliferation Treaty (NPT)	X	In force 01 January 1993	pages 4 and 8 of the report page 2 of Addendum 1
7 Comprehensive Nuclear Test Ban Treaty (CTBT)	X	Deposit 03 March 1998	pages 4 and 8 of the report page 2 of Addendum 1
8 Convention on Physical Protection of Nuclear Material (CPPNM)	X	In force 01 January 1993	http://www.iaea.org/Publications/Documents/Conventions/cppn_status.pdf
9 Hague Code of Conduct (HCOC)	X	Subscribed 25 November 2002	page 4 of the report
10 Geneva Protocol of 1925	X	Deposit 22 September 1993	http://disarmament.un.org:8080/TreatyStatus.nsf
11 International Atomic Energy Agency (IAEA)	X	Since 1957	page 8 of the report
12 Nuclear Weapons Free Zone/Protocol(s)			

13	Other Conventions/Treaties	X	EURATOM Treaty	page 8 of the report of the European Union (EU)
14	Other Arrangements	X	<ol style="list-style-type: none"> 1. Australia Group (AG) 2. Nuclear Suppliers Group (NSG) 3. Wassenaar Arrangement (WA) 4. Zangger Committee (ZC) 5. member to the Proliferation Security 	page 4 of the report
15	Other	X	WMD non-proliferation clause to be introduced in EU mixed agreements with third countries State party to 13 UN conventions and Protocols on Terrorism, the latest	page 9 of the report <u>UN Conventions</u>

OP 2 - Biological Weapons (BW)

Slovakia

State: Slovakia
 Date of Report: 02 November 2004
 Date of Addendum 1: 14 December 2005
 Revised by Experts: November 2007

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others	Remarks
	YES	if YES, indicate source document of national implementation law		
1 manufacture/produce	X	1. Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts	X	page 3 of Addendum 1 <u>Penal Code</u>
2 acquire	X	1. Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who, without authorization, produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...)
3 possess	X	Ratification of BWC 2. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts	X	page 4 of Addendum 1 <u>Penal Code</u>
4 stockpile/store	X	1. Ratification of BWC 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 3	X	
5 develop	X	2. Act 179/1998 on Trading with Military Equipment, Article 2 3. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts	X	
6 transport	X	1. Ratification of BWC 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 3	X	
7 transfer	X	2. Act 179/1998 on Trading with Military Equipment, Article 2 3. Section 3 par. 1 of Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts	X	
8 use	X	2. Act 218/2007 on the Prohibition of Biological Weapons, Article 3	X	
9 participate as an accomplice in a.m. activities	X	Section 20 of Act No. 300/2005 Coll. Penal Code as amended	X	Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended

10	assist in a.m. activities	X	1. Ratification of BWC 2. Section 21 of Act No. 300/2005	X	Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code	
11	finance a.m. activities	X	1. Banking Act n. 283 of 2001 amending with the introduction of art. 56a the Foreign Economic Relations Act n. 42 of 1980.	X	Section 21 in connection to 232 and 233 of Act No. 300/2005 Coll. Penal Code as amended (legalisation of proceeds from crime)	
12	a.m. activities related to means of delivery	X	1. Ratification of BWC 2. Section 3 of Act No. 218/2007 Coll. on the			
13	involvement of non-State actors in a.m. activities		1. Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal Code as amended 2. Act No. 218/2007 Coll. on the Prohibition of Biological Weapons and Amend and Supplement to Certain Acts	X	Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	
14	Other	X		X	1. Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal Code as amended 2. Ministry of Health has assumed the function of the national BWC Implementation Office	pages 5 and 6 of the report pages 4 and 9 of Addendum 1

OP 2 - Chemical Weapons (CW)

State: **Slovakia**

Date of Report: 02 November 2004

Date of Addendum 1: 14 December 2005

Revised by Experts: November 2007

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	Section 4 of Act No. 129/1998 Coll. on the Prohibition of Chemical Weapons and Amend and 1. Section 6 of Act No. 129/1998 Coll. on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	pages 2 and 5 of the report page 5 of Addendum 1 Act 129/1998
2 acquire	X	Section 4 of Act No. 129/1998 Coll. on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 5 of Addendum 1
3 possess	X	Section 5 of Act No. 129/1998 Coll. on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	pages 2 and 5 of the report page 5 of Addendum 1 Penal Code
4 stockpile/store	X	Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 5 of Addendum 1
5 develop	X	Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	pages 2 and 5 of the report page 5 of Addendum 1
6 transport	X	Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 5 of Addendum 1
7 transfer	X	Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 5 of Addendum 1
8 use	X	Section 4 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 6 of Addendum 1
9 participate as an accomplice in a.m. activities	X	Section 20 of Act No. 300/2005 Coll. Penal Code as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 6 of Addendum 1
10 assist in a.m. activities	X	1. Ratification of CWC 2. Section 21 of Act No. 300/2005 Coll. Penal Code as amended	X	1. Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended (Terrorism: Any person who without authorization produces, obtains, owns, possesses, transports, supplies or otherwise uses the explosives, nuclear, biological or chemical weapons or carries out the illicit research and development of such weapons or weapons prohibited by the law or international treaty...) 2. Act 129/1998, Article 41	page 6 of Addendum 1

11	finance a.m. activities	X	1. <i>Banking Act n. 283 of 2001 amending with the introduction of art. 56a the Foreign Economic Relations Act n. 42 of 1980</i> 2. Section 21 of Act No. 300/2005 Coll. Penal Code as amended	X	1. Sections 233 and 234 of Act No. 300/2005 Coll. Penal Code as amended (legalisation of proceeds from crime) 2. Act. N. 650 of 2005 on the execution of orders to freeze property in relation to terrorist offences) 3. Ministry of Finance Decree in force since 29 November 2001	
12	a.m. activities related to means of delivery	X	Section 3 par. 2 of Act No. 129/1998 on the Prohibition of Section 1 of Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts of Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal			
13	involvement of non-State actors in a.m. activities	X	Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	X	Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	
14	Other	X	Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal	X	Sections 232 par. 3 c) and 295 par. 2 of Act No. 300/2005 Coll. Penal	

OP 2 - Nuclear Weapons (NW)

Slovakia

State: Slovakia
 Date of Report: 02 November 2004
 Date of Addendum 1: 14 December 2005
 Revised by Experts: November 2007

Does national legislation exist which prohibits persons or entities to engage in one of the following activities? Can violators be penalized?	National legal framework		Enforcement: civil/criminal penalties and others		Remarks
	YES	if YES, indicate source document of national implementation law	YES	if YES, indicate source document	
1 manufacture/produce	X	1. <i>Act No. 54/1/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended</i> 2. <i>Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear</i> 3. <i>Act 179/1998, Article 1(2) The link in the LDB is not active</i>	X		
2 acquire	X	1. <i>Act No. 54/1/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended</i> 2. <i>Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons</i>	X		page 7 of Addendum 1 <u>Penal Code</u>
3 possess	X	1. <i>Act No. 54/1/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended</i>	X		Does the penal code refer to the participation in general? Section 20 of Act No. 300/2005 Coll. Penal Code as amended
4 stockpile/store	X	2. <i>Decree of Minister of Foreign Affairs n. 61 of 1974 on Convention on non-proliferation of Nuclear Weapons</i>	X		
5 develop	X		X		
6 transport	X		X		
7 transfer	X	Act 179/1998, Article 1(2)	X		
8 use	X		0 X		
9 participate as an accomplice in a.m. activities	X	Does the penal code refer to the participation in general? Section 20 of Act No. 300/2005 Coll. Penal Code as amended	X		If YES, indicate if the same articles cover also this entry Section 20 in connection to Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as

10	assist in a.m. activities	X	X	Section 21 of Act No. 300/2005 Coll. Penal Code as amended	X	Sections 129, 298 and 419 of Act No. 300/2005 Coll. Penal Code as amended 1. Sections 21, 233 and 234 of Act No. 300/2005 Coll. Penal Code as amended (legalisation of proceeds from crime) 2. Act. N. 650 of 2005 on the execution of orders to freeze property in relation to terrorist offences) 3. Ministry of Finance Decree in	page 7 of Addendum 1
11	finance a.m. activities	X	X	1. Banking Act n. 283 of 2001 amending with the introduction of art. 56a the Foreign Economic Relations Act n. 42 of 1990. 2. Section 21 of Act No. 300/2005 Coll. Penal Code as amended	X		
12	a.m. activities related to means of delivery						
13	involvement of non-State actors in a.m. activities	X	X	Act No. 541/2004 Coll. on the Peaceful Use of Nuclear Energy (Atomic Act) as amended. Decree of Minister of Foreign Affairs	X	Sections 129, 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	page 7 of Addendum 1
14	Other	X	X	n. 62 of 1974 on Treaty on the Prohibition of the Emplacement of Nuclear weapons or other weapons of mass destruction on the seabed...			

OP 3 (a) and (b) - Account for/Secure/Physically protect BW including Related Materials

State: **Slovakia**
 Date of Report: 02 November 2004
 Date of Addendum 1: 14 December 2005
 Revised by Experts: November 2007

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect BW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X		X		
2 Measures to account for use	X	Act 218/2007 on the Prohibition of Biological Weapons, Article 19	X	Act 218/2007 on the Prohibition of Biological Weapons, Article 23 and 24	Act 218/2007 on the Prohibition of Biological Weapons
3 Measures to account for storage	X		X		
4 Measures to account for transport					
5 Other measures for accounting					
6 Measures to secure production	X		X		
7 Measures to secure use	X	1. Directive 2000/54/EC 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 6	X	Section 298 of Act No. 300/2005 Coll. Penal Code as amended	page 10 of the EU report <u>Penal Code</u>
8 Measures to secure storage	X		X		
9 Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC	X	1. Party to ADR and RID agreements 2. Section 298 of Act No. 300/2005 Coll. Penal Code as amended	pages 10 and 11 of the EU report page 5 of the report
10 Other measures for securing					
11 Regulations for physical protection of facilities/materials/ transports					
12 Licensing/registration of facilities/persons handling biological materials	X	1. Directive 2000/54/EC 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 5	X	1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act 218/2007 on the Prohibition of Biological Weapons, Article 23 and 24	page 10 of the EU report <u>Penal Code</u>

13	Reliability check of personnel	X	Act 218/2007 on the Prohibition of Biological Weapons, Article 12 and	X	Act 218/2007 on the Prohibition of Biological Weapons, Article 23 and	
14	Measures to account for/secure/physically protect means of delivery					
15	Regulations for genetic engineering work			X	Section 309 of Act No. 300/2005 Coll. Penal Code as amended	Penal Code
16	Other legislation/ regulations related to safety and security of biological materials	X	Directives 89/391/EC			page 10 of the EU report
17	Other	X	National BWC implementation office was established in the Ministry of Health and coordinates the preparation of relevant legislation			page 9 of Addendum 1

OP 3 (a) and (b) - Account for/Secure/Physically protect CW including Related Materials

State: **Slovakia**
 Date of Report: 02 November 2004
 Date of Addendum 1: 14 December 2005
 Revised by Experts: November 2007

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect CW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X	If YES, indicate if the same articles cover also this entry	X	1. Act 129/1998 on Prohibition of CW, Article 412.	Act 129/1998
2 Measures to account for use	X	Section 20 in connection to Sections 298, 299 and 419 of Act No. 300/2005 Coll. Penal Code as amended	X	2. Section 41 of Act No. 163/2001 Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	
3 Measures to account for storage	X		X		
4 Measures to account for transport	X		X		
5 Other measures for accounting					
6 Measures to secure production	X	1. Directive 98/24/EC	X	1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended	page 10 of the EU report page 5 of the report <u>Penal Code</u>
7 Measures to secure use	X	2. Act 129/1998: handling	X	2. Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40	
8 Measures to secure storage	X	3. Act No. 163/2001 Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	X		
9 Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC	X	1. Party to ADR and RID agreements 2. Section 298 of Act No. 300/2005 Coll. Penal Code as amended	pages 10 and 11 of the EU report
10 Other measures for securing					
11 Regulations for physical protection of facilities/materials/ transports	X	Act No. 163/2001 Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	X	Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40	

12	Licensing of chemical installations/entities/use of materials	X	1. Act 129/1998 on Prohibition of CW, Chapter II 2. Act No. 163/2001 Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll. 1. Sections 11 and 12 of Act No. 129/1998 on the Prohibition of Chemical Weapons 2. Act No. 163/2001 Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	X	1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act 129/1998 on Prohibition of CW, Article 41 3. Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 1. Act 129/1998 on prohibition of CW, Article 42 2. Act No. 163/2001 Coll. on Chemical Substances and Chemical Preparations as amended by Act No. 308/2005 Coll.	Act 163/2001
13	Reliability check of personnel	X		X		Act 163/2001
14	Measures to account for/secure/physically protect means of delivery					
15	National CWC authority	X	Section 3 of Act No. 129/1998 on the Prohibition of Chemical	X	Ministry of Economy	page 3 of the report
16	Reporting Schedule I, II and III chemicals to OPCW	X	Section 3 of Act No. 129/1998 on the Prohibition of Chemical	X		Act 129/1998
17	Account for, secure or physically protect old chemical weapons	X	Act No. 129/1998 on the Prohibition of Chemical Weapons and Amend and Supplement to Certain Acts	X	Act n. 163 of 2001 on Chemical Substances and Chemical Preparations as amended by Act. N. 308 of 2005, Art. 40	
18	Other legislation/ regulations controlling chemical materials					
19	Other					

OP 3 (a) and (b) - Account for/Secure/Physically protect NW including Related Materials

State: **Slovakia**
 Date of Report: 02 November 2004
 Date of Addendum 1: 14 December 2005
 Revised by Experts: November 2007

Are any of the following measures, procedures or legislation in place to account for, secure or otherwise protect NW and Related Materials? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and others		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Measures to account for production	X		X		
2 Measures to account for use	X	1. Act 541/2004 430/4998 (Atomic Act)	X	State System of Accounting and Control of Nuclear Materials (SSAC) Act 541/2004	pages 3 and 5 of the report page 12 of Addendum 1
3 Measures to account for storage	X	2. Commission Regulation 302/2005/EURATOM	X		
4 Measures to account for transport	X		X		page 12 of Addendum 1
5 Other measures for accounting	X	1. Council Directive 2003/122/EURATOM 2. Directive 96/29/EURATOM			page 8 of the EU report
6 Measures to secure production	X		X	1. State System of Accounting and Control of Nuclear Materials (SSAC) and control of high activity sealed radioactive sources (HASS) 2. Penal Code - Law No. 300/2005, Article 298	pages 3 and 5 of the report page 12 of Addendum 1
7 Measures to secure use	X	Act 541/2004 430/4998 (Atomic Act)	X		
8 Measures to secure storage	X		X		
9 Measures to secure transport	X	Council Directives 94/55/EEC and 96/49/EEC	X	1. Directive 92/3 EURATOM: prior notification of shipments 2. Route charting by UJD	pages 10 and 11 of the EU report page 6 of the report
10 Other measures for securing	X	Act 272/1994 on Public Health Protection	X	National Register of Radioactive Sources	page 13 of Addendum 1
11 Regulations for physical protection of facilities/materials/ transports	X	Act 541/2004 430/4998 (Atomic Act)	X	Protection system and a set of technical tools and organizational matters to prevent unauthorized use of facilities, materials, etc	pages 3, 5 and 6 of the report

12	Licensing of nuclear installations/entities/use of materials	X	Act 541/2004 (Atomic Act)	X	1. Section 298 of Act No. 300/2005 Coll. Penal Code as amended 2. Act 541/2004	page 8 of the EU report page 13 of Addendum 1
13	Reliability check of personnel	X	Act 541/2004 (Atomic Act)			page 13 of Addendum 1
14	Measures to account for/secure/physically protect means of delivery					
15	National regulatory authority	X		X	Nuclear Regulatory Authority (UJD SR)	page 3 of the report <i>National Authority</i>
16	IAEA Safeguards Agreements	X	1. Safeguards Agreement: Accession 1 December 2005 (INFCIRC 193) 2. Additional Protocol: Accession 1 December 2005	X	Ministry of Health	X
17	IAEA Code of Conduct on Safety and Security of Radioactive Sources	X	Notification pursuant to GC(47)/RES/7.B	X	Central Register of Radioactive Sources Ministry of Health	page 13 of Addendum 1 http://www-ns.iaea.org/downloads/nw/meetings/code-conduct-signatories.pdf
18	IAEA Database on Illicit Trafficking of Nuclear Materials and other Radioactive Sources	X	Contributes to Database Programme	X		http://www.iaea.org/About/Policy/GC/GC42/Documents/gc42-17.html
19	Other Agreements related to IAEA	X	Nuclear Safety: 7 March 1995	X		
20	Additional national legislation/regulations related to nuclear materials including CPPNM	X	Succession: deposit 10 February 1993, in force 1 January 1993	X	Act 126/2006 on Public Health Service	page 13 of Addendum 1 <i>List</i>
21	Other	X	Act n. 130/1998 Operator's Responsibilities with respect to Supervision	X	Ministry of Health	

OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of BW including Related Materials

Slovakia

State: Slovakia
Date of Report: 02 November 2004
Date of Addendum 1: 14 December 2005
Revised by Experts: November 2007

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of BW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. <i>EU Regulation no. 648 of 13 April 2005 (Security Amendment)</i> 4. Customs Act 199/2004	X	1. Customs hazardous materials and drug enforcement unit at Bratislava airport 2. Border control is performed at the EU external border, international airports, post offices for international consignment	page 12 of the EU report page 7 of the report page 14 of Addendum 1 <u>Customs Community Code</u>
2 Technical support of border control measures			X	Portable x-ray, pagers for detection of radioactive substances	page 7 of the report
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998, 3. Commission Regulation (EC) No. 1334/2000 <i>Act n. 199 of 2004 amending the Customs Law</i>	X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998	pages 2 and 5 of the report page 14 of Addendum 1
4 Enforcement agencies/authorities	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 394 of 27 February 2006 current lists 3. Act 21/2007 on Dual Use Goods and Technologies 4. Act 179/1998 5. Council Regulation No. 2913/1992 (Community Customs	X	Customs Directorate	page 3 of the report <u>Act n. 199/2004</u>
5 Export control legislation in place	X		X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998	page 14 of the EU report pages 2, 5 and 7 of the report page 15 of Addendum 1 <u>EU Regulation 1334/2000</u>

6	Licensing provisions	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 394 of 27 February 2006 current lists	X	Customs Act No. 199/2004 Art. 73	<u>Customs Act</u>
7	Individual licensing	X		X		
8	General licensing	X		X		
9	Exceptions from licensing	X	Within the Community free circulation of all goods, exceptions are listed in Annex IV of Regulation 4504/2004 - 394 of 27 February 2006			page 14 of the EU report <u>Exceptions</u>
10	Licensing of deemed export/visa					
11	National licensing authority	X	Commission Regulation (EC) No. 1334/2000, Article 6 Ministry of Economy, Ministry of Foreign Affairs, Ministry of Defense, Ministry of Interior, Ministry of Health, Customs Directorate, Slovak Intelligence	X	Ministry of Economy -Department of Sensitive Goods Trading Management	page 3 of the report <u>List of Authorities</u>
12	Interagency review for licenses	X		X	Commission Regulation (EC) No. 1334/2000, Article 7: Members should consult with other members before issuing licenses	page 15 of the EU report
13	Control lists	X				
14	Updating of lists	X	Council Regulation (EC) No. 4504/2004 394 of 27 February 2006	X	The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	page 14 of the EU report <u>EU Regulation 394/2006</u>
15	Inclusion of technologies	X				
16	Inclusion of means of delivery	X				
17	End-user controls	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14			<u>EU Regulation no. 648/2005</u>

18	Catch all clause	X	Commission Regulation (EC) No. 1334/2000, Article 9			<u>EU Regulation 1334/2000</u>
19	Intangible transfers	X	Commission Regulation (EC) No. 1334/2000, Article 2 (b) (iii)	X	Act 21/2007 on Dual Use Goods and Technologies	page 13 of the EU report <u>EU Regulation no. 648/2005</u>
20	Transit control	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: "At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the			
21	Trans-shipment control	X	1.14: "At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the		Act 21/2007 on Dual Use Goods and Technologies	
22	Re-export control	X	including physical inspection of the	X		
23	Control of providing funds	X	Council Regulation (EC) No. 2580 of 27 December 2001			<u>EU Regulation No. 2580/2001</u>
24	Control of providing transport services					
25	Control of importation	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Council Regulation No. 2913/1992 (Community Customs	X	Customs Act No. 199/2004 Art. 73	<u>EU Regulation no. 648/2005</u>
26	Extraterritorial applicability					
27	Other					

OP 3 (c) and (d) and related matters from OP 6 and OP 10 -
Controls of CW including Related Materials

State: **Slovakia**
Date of Report: 02 November 2004
Date of Addendum 1: 14 December 2005
Revised by Experts: November 2007

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of CW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	if YES, indicate source document	YES	if YES, indicate source document	
1 Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Council Regulation No. 2913/1992 (Community Customs Code)	X	1. Customs hazardous materials and drug enforcement unit at Bratislava airport 2. Border control is performed at the EU external border, international airports, post offices for international consignment	page 12 of the EU report page 7 of the report page 14 of Addendum 1 <u>Customs Community Code</u>
2 Technical support of border control measures			X	Portable x-ray, pagers for detection of radioactive substances	page 7 of the report
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	1. Act 21/2007 on dual use goods and technologies 2. Act 179/1998	X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998	pages 2 and 5 of the report page 14 of Addendum 1
4 Enforcement agencies/authorities	X	Act n. 199 of 2004 amending the Customs Law	X	Customs Directorate	page 3 of the report <u>Act n. 199/2004</u>
5 Export control legislation in place	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 394 of 27 February 2006 current lists 3. Act 21/2007 on Dual Use Goods and Technologies 4. Act 179/1998 5. Council Regulation No. 2913/1992 (Community Customs Code)	X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998	page 14 of the EU report pages 2, 5 and 7 of the report page 15 of Addendum 1 <u>EU Regulation 1334/2000</u>

6	Licensing provisions	X	1. Commission Regulation (EC) No. 1334/2000 2. Council Regulation (EC) No. 394 of 27 February 2006 current lists	X	Customs Act No. 199/2004 Art. 73	<u>Customs Act</u>
7	Individual licensing	X		X		
8	General licensing	X		X		
9	Exceptions from licensing	X	Within the Community free circulation of all goods, exceptions are listed in Annex IV of Regulation 4504/2004 - 394 of 27 February 2006			page 14 of the EU report <u>Exceptions</u>
10	Licensing of deemed export/visa					
11	National licensing authority	X	Commission Regulation (EC) No. 1334/2000, Article 6	X	Ministry of Economy -Department of Sensitive Goods Trading Management	page 3 of the report <u>List of Authorities</u>
12	Interagency review for licenses	X	Commission Regulation (EC) No. 1334/2000, Article 7: Members should consult with other members before issuing licenses	X	Ministry of Economy, Ministry of Foreign Affairs, Ministry of Defense, Ministry of Interior, Ministry of Health, Customs Directorate, Slovak Intelligence	page 15 of the EU report
13	Control lists	X				
14	Updating of lists	X	Council Regulation (EC) No. 4504/2004 394 of 27 February 2006	X	The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties .	page 14 of the EU report <u>EU Regulation 394/2006</u>
15	Inclusion of technologies	X				
16	Inclusion of means of delivery	X				
17	End-user controls	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article			<u>EU Regulation no. 648/2005</u>
18	Catch all clause	X	Commission Regulation (EC) No. 1334/2000, Article 9			

		<u>EU Regulation 1334/2000</u>				
19	Intangible transfers	X	Commission Regulation (EC) No. 1334/2000, Article 2 (b) (iii)	X	Act 21/2007 on Dual Use Goods and Technologies	
20	Transit control	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: "At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the	X	Act 129/1998, Article 41	page 19 of Addendum 1 <u>Law 129/1998</u>
21	Trans-shipment control	X				
22	Re-export control	X	including physical inspection of the means of transport and the	X	Act 21/2007 on Dual Use Goods and Technologies	page 19 of Addendum 1
23	Control of providing funds	X	Council Regulation (EC) No. 2580 of 27 December 2001			
24	Control of providing transport services					
25	Control of importation	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Council Regulation No. 2913/1992 (Community Customs Code) 3. Commission Regulation	X	Act 21/2007 on Dual Use Goods and Technologies	page 5 of the report
26	Extraterritorial applicability					
27	Other					

OP 3 (c) and (d) and related matters from OP 6, and OP 10 -
Controls of NW including Related Materials

Slovakia

State: Slovakia
Date of Report: 02 November 2004
Date of Addendum 1: 14 December 2005
Revised by Experts: November 2007

Which of the following legislation, procedures, measures, agencies exist to control border crossings, export/import and other transfers of NW and Related Materials ? Can violators be penalized ?	National legal framework		Enforcement: civil/criminal penalties, and measures of implementation, etc		Remarks
	YES	If YES, indicate source document	YES	If YES, indicate source document	
1 Border control	X	1. Council Regulation no. 2913/1992 (Community Customs Code) 2. Commission Regulation no. 2454/1993 (Implementing Provisions to the Community Customs Code) 3. EU Regulation no. 648 of 13 April 2005 (Security Amendment) 4. Customs Code of 2004/2004	X	1. Customs hazardous materials and drug enforcement unit at Bratislava airport 2. Border control is performed at the EU external border, international airports, post offices for international consignment	page 12 of the EU report page 7 of the report page 14 of Addendum 1 <u>Customs Community Code</u>
2 Technical support of border control measures			X	Portable x-ray, pagers for detection of radioactive substances	page 7 of the report
3 Control of brokering, trading in, negotiating, otherwise assisting in sale of goods and technology	X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998 3. EURATOM Treaty 4. Act 541/2004 (Atomic Act)	X	1. Act 21/2007 on Dual Use Goods and Technologies 2. Act 179/1998	pages 2 and 5 of the report page 20 of Addendum 1
4 Enforcement agencies/authorities	X	Act n. 199 of 2004 amending the Customs Law	X	1. Customs Directorate 2. Nuclear Regulatory Authority (UJD)	page 3 of the report page 20 of Addendum 1

14	Updating of lists	X	Council Regulation (EC) No. 4504/2004 of 27 February 2006	X	The lists of dual-use items set out in Annex I and Annex IV shall be updated in conformity with the relevant obligations and commitments, and any modification thereof, that each Member State has accepted as a member of the international non-proliferation regimes and export control arrangements, or by ratification of relevant international treaties.	page 14 of the EU report <u>EU Regulation 394/2006</u>
15	Inclusion of technologies	X				
16	Inclusion of means of delivery	X				
17	End-user controls	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Act 541/2004			page 21 of Addendum 1
18	Catch all clause	X	Commission Regulation (EC) No. 1334/2000, Article 9			page 22 of Addendum 1
19	Intangible transfers	X	Commission Regulation (EC) No. 1334/2000, Article 2 (b) (iii)		Act 21/2007 on Dual Use Goods and Technologies	page 22 of Addendum 1
20	Transit control	X	EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14: "At any stage, the competent customs authority is entitled to proceed with the necessary controls including physical inspection of the means of transport and the consignments"	X	Act 541/2004	page 6 of the report page 22 of Addendum 1
21	Trans-shipment control	X		X	Act 541/2004	page 22 of Addendum 1
22	Re-export control	X		X	1. Act 541/2004 2. Act 21/2007 on Dual Use Goods and Technologies	page 22 of Addendum 1
23	Control of providing funds	X	Council Regulation (EC) No. 2580 of 27 December 2001			
24	Control of providing transport services					page 6 of the report
25	Control of importation	X	1. EU Regulation no. 648 of 13 April 2005 (Security Amendment), Article 1.14 2. Council Regulation No. 2913/1992 (Community Customs Code) 3. Commission Regulation No. 2454/1993 (Implementing		Act 21/2007 on Dual Use Goods and Technologies	page 5 of the report

26	Extraterritorial applicability														
27	Other														

OP 6, 7 and 8 (d) - Control lists, Assistance, Information

Slovakia

State: Slovakia
 Date of Report: 02 November 2004
 Date of Addendum 1: 14 December 2005
 Revised by Experts: November 2007

Can information be provided on the following issues ?	YES	Remarks
1 Control lists - items (goods/ equipment/ materials/ technologies)	X	1. Council Regulation (EC) No. 1334/2000 2. Implementation of control lists maintained by AG, WA and NSG. Preparation of publication of a national list of military material compatible with the EU list
2 Control lists - other	X	CWC - Schedule I, II and III
3 Assistance offered	X	Prepared to consider any specific request from States lacking the legal and regulatory infrastructure, implementation experience and/or resources for fulfilling the provisions of the resolution
4 Assistance requested		
5 Assistance in place (bilateral/plurilateral/multilateral)	X	EU contribution to G 8 Global Partnership to enhance nuclear safety and security, chemical weapons destruction, re-employment of former scientists, export control and border security
6 Information for industry	X	Relationship with industry through individual consultations; seeking more action-oriented approach to industry and public EU Commission organises regular meetings with industry
7 Information for the public	X	Information dissemination via Government web sites and publications and activities of the Committee for International Humanitarian Law (advisory)