

**2006/49**  
**Outcome of the sixth session of the United Nations Forum  
on Forests**

*The Economic and Social Council,*

*Recalling and reaffirming* its resolution 2000/35 of 18 October 2000,

*Also recalling* General Assembly resolution 57/270 B of 23 June 2003,

*Further recalling* the 2005 World Summit Outcome,<sup>204</sup>

*Reaffirming* its commitment to the Rio Declaration on Environment and Development,<sup>205</sup> including that States have, in accordance with the Charter of the United Nations and the principle of international law, the sovereign right to exploit their own resources pursuant to their own environmental and developmental policies and the responsibility to ensure that activities within their jurisdiction or control do not cause damage to the environment of other States or of areas beyond the limits of national jurisdiction and to the common but differentiated responsibilities of countries, as set out in Principle 7 of the Rio Declaration on Environment and Development; the non-legally binding Authoritative Statement of Principles for a Global Consensus on the Management, Conservation and Sustainable Development of All Types of Forests; chapter 11 of Agenda 21;<sup>206</sup> the proposal for action of the Intergovernmental Panel on Forests/Intergovernmental Forum on Forests; resolutions and decisions of the United Nations Forum on Forests; the Johannesburg Declaration on Sustainable Development and the Plan of Implementation of the World Summit on Sustainable Development;<sup>207</sup> the Monterrey Consensus of the International Conference on Financing for Development;<sup>208</sup> and the internationally agreed development goals, including the Millennium Development Goals,

*Recalling* the existing international legally binding instruments relevant to forests,

*Recognizing* the importance of the multiple economic, social and environmental benefits derived from goods and services provided by forests and trees outside forests,

*Emphasizing* that sustainable forest management can contribute significantly to sustainable development, poverty eradication and the achievement of internationally agreed development goals, including the Millennium Development Goals,

---

<sup>204</sup> General Assembly resolution 60/1.

<sup>205</sup> *Report of the United Nations Conference on Environment and Development, Rio de Janeiro, 3-14 June 1992*, vol. I: Resolutions adopted by the Conference (United Nations publication, Sales No. E.93.I.8 and corrigenda), resolution 1, annex I.

<sup>206</sup> *Ibid.*, annex II.

<sup>207</sup> *Report of the World Summit on Sustainable Development, Johannesburg, South Africa, 26 August-4 September 2002* (United Nations publication, Sales No. E.03.II.A.1 and corrigendum), chap. I, resolution 1, annex, and resolution 2, annex.

<sup>208</sup> *Report of the International Conference on Financing for Development, Monterrey, Mexico, 18-22 March 2002* (United Nations publication, Sales No. E.02.II.A.7), chap. I, resolution 1, annex.

*Expressing its concern* about continued deforestation and forest degradation, as well as the slow rate of afforestation and forest cover recovery and reforestation, and the resulting adverse impact on economies, the environment, including biological diversity, and the livelihoods of at least a billion people and their cultural heritage, and emphasizing the need for more effective implementation of sustainable forest management at all levels to address these critical challenges,

*Recognizing* the special needs and requirements of countries with fragile forest ecosystems, including those of low forest cover countries,

*Emphasizing* that effective implementation of sustainable forest management is critically dependent upon adequate resources, including financing, capacity development and the transfer of environmentally sound technologies, and recognizing in particular the need to mobilize increased financial resources, including from innovative sources, for developing countries, including least developed countries, landlocked developing countries and small island developing States, as well as countries with economies in transition,

*Recognizing* the important contribution of voluntary public-private partnerships<sup>209</sup> and private sector initiatives at all levels to achieving effective implementation of sustainable forest management and support national strategies, plans and priorities related to forests,

*Also recognizing* the need to strengthen political commitment and collective efforts at all levels, to include forests on national and international development agendas, to enhance national policy coordination and international cooperation and to promote intersectoral coordination at all levels for the effective implementation of sustainable management of all types of forests,

*Welcoming* the accomplishments of the international arrangement on forests since its inception, including the joint initiatives of the Collaborative Partnership on Forests,

*Re-emphasizing* the importance of the United Nations Forum on Forests as an intergovernmental body on forests within the United Nations and the continued supporting role of the Collaborative Partnership on Forests, and the need for the Forum to continue to provide the Partnership with clear guidance,

*Recognizing* the need to strengthen interaction between the global forest policy dialogue and regional and subregional level processes,

1. *Decides* to strengthen the international arrangement on forests through the following measures;

2. *Agrees* that to achieve its main objective as set out in Economic and Social Council resolution 2000/35, the international arrangement on forests will perform the following additional principal functions:

(a) Enhance the contribution of forests to the achievement of the internationally agreed development goals, including the Millennium Development Goals, and to the implementation of the Johannesburg Declaration on Sustainable Development and the Plan of Implementation of the World Summit on Sustainable

<sup>209</sup> See General Assembly resolution 60/215 of 22 December 2005.

Development,<sup>207</sup> bearing in mind the Monterrey Consensus of the International Conference on Financing for Development;<sup>208</sup>

(b) Encourage and assist countries, including those with low forest cover, to develop and implement forest conservation and rehabilitation strategies, increase the area of forests under sustainable management and reduce forest degradation and the loss of forest cover in order to maintain and improve their forest resources with a view to enhancing the benefits of forests to meet present and future needs, in particular the needs of indigenous peoples and local communities whose livelihoods depend on forests;

(c) Strengthen interaction between the United Nations Forum on Forests and relevant regional and subregional forest-related mechanisms, institutions and instruments, organizations and processes, with participation of major groups, as identified in Agenda 21<sup>206</sup> and relevant stakeholders to facilitate enhanced cooperation and effective implementation of sustainable forest management, as well as to contribute to the work of the Forum;

### **Global objectives on forests**

3. *Decides*, with a view to achieving the main objective of the international arrangement on forests and enhancing the contribution of forests to the achievement of the internationally agreed development goals, including the Millennium Development Goals, in particular with respect to poverty eradication and environmental sustainability, and emphasizing in this regard the importance of political commitment and action at all levels for effective implementation of the sustainable management of all types of forests, to set the following shared global objectives on forests and to agree to work globally and nationally to achieve progress towards their achievement by 2015:

#### *Global objective 1*

Reverse the loss of forest cover worldwide through sustainable forest management, including protection, restoration, afforestation and reforestation, and increase efforts to prevent forest degradation;

#### *Global objective 2*

Enhance forest-based economic, social and environmental benefits, including by improving the livelihoods of forest dependent people;

#### *Global objective 3*

Increase significantly the area of protected forests worldwide and other areas of sustainably managed forests, as well as the proportion of forest products from sustainably managed forests;

#### *Global objective 4*

Reverse the decline in official development assistance for sustainable forest management and mobilize significantly increased new and additional financial resources from all sources for the implementation of sustainable forest management;

4. *Agrees* that countries, while taking national sovereignty, practices and conditions into account, should make all efforts to contribute to the above-mentioned global objectives through the development or indication of voluntary national measures, policies, actions or specific goals;

#### **Means of implementation**

5. *Urges* countries to make concerted efforts to secure sustained high-level political commitment to strengthen the means of implementation, including financial resources, to provide support, in particular for developing countries, including least developed countries, landlocked developing countries and small island developing States, as well as countries with economies in transition, in order to achieve the global objectives and to promote sustainable forest management by:

(a) Reversing the decline in official development assistance for sustainable forest management;

(b) Mobilizing and providing significant new and additional resources for sustainable forest management from private, public, domestic and international sources to and within developing countries, especially least developed countries, landlocked developing countries and small island developing States, as well as countries with economies in transition;

(c) Strengthening, through new and additional financial resources, provided on a voluntary basis, existing forest-related funds hosted by members of the Collaborative Partnership on Forests, including the National Forest Programme Facility, the Programme on Forests and the Bali Partnership Fund, to support national forest programmes and national actions aimed at implementing sustainable forest management as well as integrating forest issues in national development programmes and, where appropriate, poverty reduction strategies;

(d) Inviting the governing bodies of the National Forest Programme Facility, the Programme on Forests and the Bali Partnership Fund to enhance their contribution to sustainable forest management and the achievement of the global objectives by effectively managing and coordinating among themselves to facilitate access to the funds by developing countries, as well as countries with economies in transition, as appropriate;

(e) Assessing and reviewing the current funding mechanisms, including, if appropriate, the possibility of setting up a voluntary global funding mechanism as a contribution towards achieving the global objectives and implementing sustainable forest management;

(f) Inviting members of the Collaborative Partnership on Forests, in particular the World Bank, as host of the Programme on Forests, to maintain and enhance support to analytical work and knowledge generation and to develop new tools and approaches to key issues within the forest sector, in particular those relevant to the global objectives, in order to support developing countries, as well as countries with economies in transition, in accessing additional national and international funding;

(g) Welcoming the ongoing work of the Global Environment Facility to clarify its focal area strategies and operational programmes, and in this context inviting the Global Environment Facility Council to fully consider the potential for

strengthened support of the Facility for sustainable forest management, including the option to establish a separate operational programme on forests, without prejudicing other operational programmes;

(h) Inviting the governing bodies of international financial institutions, development agencies and regional banks to consider ways to generate and facilitate access to resources and to respond to requests from developing countries to finance forest-related activities;

(i) Creating an effective enabling environment for investment in sustainable forest management, including to avoid the loss of forest cover and forest degradation and to support reforestation, afforestation and forest restoration;

(j) Creating an enabling environment for the involvement of and investment by local communities and other forest users in sustainable forest management;

(k) Further developing innovative financial mechanisms for generating revenue to support sustainable forest management;

(l) Encouraging the development of mechanisms, including systems for attributing proper value, as appropriate, to the benefits derived from goods and services provided by forests and trees outside forests, consistent with relevant national legislation and policies;

(m) Fostering access, where appropriate, by households and communities to forest resources and markets;

(n) Supporting livelihoods and income diversification from forest products and services for small-scale forest owners, indigenous peoples, including forest-dependent local communities and poor people living in and around forest areas, consistent with sustainable forest management objectives;

6. *Also urges* countries to make concerted efforts to develop and implement national forest programmes, policies and strategies, as appropriate, in order to achieve the global objectives set out in the present resolution and to promote sustainable forest management, through capacity-building and transfer of environmentally sound technologies, including traditional technologies, and taking into account economic, social and environmental priorities specific to countries by:

(a) Providing greater support to scientific and technological innovations for sustainable forest management, including innovations that help local communities undertake sustainable forest management;

(b) Enhancing the capacity of countries, in particular developing countries, to significantly increase the production of forest products from sustainably managed forests;

(c) Integrating national forest programmes or other forest strategies into national strategies for sustainable development, relevant national action plans and, where appropriate, poverty reduction strategies;

(d) Promoting international cooperation, including South-South cooperation and triangular cooperation;

(e) Promoting the active participation and empowerment of all forest-related stakeholders, especially local and forest-dependent communities, indigenous peoples, women and small-scale private forest owners and forest workers, in the

development and implementation of sustainable forest management policies and programmes;

(f) Strengthening of mechanisms that enhance sharing and use of best practices in sustainable forest management;

(g) Strengthening the capacity of countries to address illegal practices according to national legislation and illegal international trade in forest products in the forest sector, through the promotion of forest law enforcement and governance at the national and subnational and regional and subregional levels, as appropriate;

(h) Encouraging the private sector, including timber processors, exporters, and importers, as well as civil society organizations, to develop, promote and implement voluntary instruments with a view to adopting good business practices and improving market transparency;

### **Enhanced cooperation and cross-sectoral policy and programme coordination**

7. *Encourages* countries to enhance cooperation and cross-sectoral policy and programme coordination in order to achieve the global objectives set out in the present resolution and to promote sustainable forest management by:

(a) Facilitating implementation of the proposals for action of the Intergovernmental Panel on Forests/Intergovernmental Forum on Forests through clustering and further simplification of the language, as needed, taking into account existing work, and through promoting greater stakeholder understanding of the intent of these proposals;

(b) Strengthening forest education and research and development through global, regional and subregional networks, as well as relevant organizations, institutions and centres of excellence in all regions of the world, particularly in developing countries, as well as countries with economies in transition;

(c) Strengthening cooperation and partnerships at the regional level, as needed, to:

- (i) Increase political, financial and technical support and capacity;
- (ii) Develop regional strategies and plans for implementation;
- (iii) Collaborate on implementation activities;
- (iv) Exchange experiences and lessons learned;

(d) Establishing or strengthening multi-stakeholder partnerships and programmes;

8. *Invites* the Collaborative Partnership on Forests to enhance cooperation and cross-sectoral policy and programme coordination by promoting the exchange of forest management-related experiences and good practices and considering the feasibility of serving as a clearing house to facilitate access by developing countries, as well as countries with economies in transition, to better technology for sustainable forest management;

9. *Invites* the relevant multilateral environmental agreements, instruments, processes and United Nations bodies to improve collaboration and cooperation with the international arrangement on forests;

### **Working modalities**

10. *Decides* that, following its seventh session in 2007, the Forum shall meet biennially for a period of up to two weeks on the basis of a focused multi-year programme of work to be adopted by the Forum at its seventh session;

11. *Invites* forest-related regional and subregional bodies, mechanisms and processes, in coordination with the Forum secretariat, as appropriate, to strengthen collaboration and to provide input to the work of the Forum by:

(a) Raising awareness of the work of the Forum at the regional and subregional levels;

(b) Addressing topics identified in the multi-year programme of work, with a view to sharing with the United Nations Forum on Forests regional and subregional perspectives on these topics;

(c) Encouraging participation of interested members of the Forum, especially from within the region, as well as members of the Collaborative Partnership on Forests, relevant regional organizations and major groups;

12. *Decides* that the Forum will seek to strengthen interaction with major groups and other forest stakeholders in meetings of the Forum;

13. *Recommends* that country-led initiatives address issues identified in the multi-year programme of work for a given cycle;

14. *Emphasizes* that ad hoc expert groups referred to in paragraph 4 (k) of Economic and Social Council resolution 2000/35 could be convened to address issues identified in the multi-year programme of work;

15. *Stresses* that the Forum should consider inputs from regional and subregional forest-related bodies, mechanisms and processes and from country-led initiatives, as well as from major groups;

16. *Reaffirms* that the Forum should continue to support participants from developing countries, with priority to the least developed countries, as well as from countries with economies in transition, in accordance with General Assembly decision 58/554 of 23 December 2003;

17. *Decides* to consider ways of strengthening the secretariat of the Forum, within existing resources, as well as through increased voluntary extrabudgetary resources to enable it to fulfil its function more effectively, bearing in mind paragraph 163 (b) of the 2005 World Summit Outcome;<sup>204</sup>

18. *Calls upon* interested donor Governments, financial institutions and other organizations to make voluntary financial contributions to the United Nations Forum on Forests Trust Fund, and urges other countries in a position to do so and other interested parties to contribute to the Trust Fund;

### **Monitoring, assessment and reporting**

19. *Agrees* that countries should, on a voluntary basis, submit national reports to the Forum, in accordance with a timetable established by the Forum, on progress in implementing national measures, policies, actions or specific objectives towards achieving the global objectives set out in the present resolution, taking into

consideration, as appropriate, the seven thematic elements of sustainable forest management;

20. *Invites* the member organizations of the Collaborative Partnership on Forests, in collaboration with the Forum, to further harmonize processes for voluntary monitoring, assessment and reporting, taking into account the seven thematic elements for sustainable forest management, with a view to reducing the reporting burden on countries;

21. *Also invites* the Collaborative Partnership on Forests to continue to report in a consolidated manner to the Forum on its initiatives and activities, including progress on the means of implementation, in support of the work of the Forum;

### **Collaborative Partnership on Forests**

22. *Reaffirms* that the Forum will provide guidance to the Collaborative Partnership on Forests, and invites members of the Collaborative Partnership on Forests:

(a) To strengthen their collaboration and coordination on forest issues in order to foster progress towards sustainable forest management at the global, regional and national levels;

(b) To continue and further develop its ongoing initiatives on monitoring, assessment and reporting on forest resources, on streamlining national forest reporting, on the sourcebook on funding for sustainable forest management, on harmonizing forest-related definitions and on the Global Forest Information Service;

(c) To translate relevant policy recommendations of the Forum into their programmes of work;

(d) To explore ways to involve major groups in the activities of the Collaborative Partnership on Forests and to strengthen the Partnership's contribution to activities at the regional level;

(e) To provide, if requested by the Forum, an assessment of scientific knowledge-based actions needed to achieve sustainable forest management and the global objectives at all levels;

(f) To continue to strengthen the Tehran Process, consistent with their mandates and programmes of work, through developing and implementing strategies on conservation and rehabilitation of forests in low forest cover countries;

23. *Welcomes* the joint initiative by the International Union of Forest Research Organizations, the Center for International Forestry Research and the International Centre for Research in Agroforestry, in collaboration with other members of the Collaborative Partnership on Forests, on science and technology in support of the Forum by assessing available information and producing reports on forests-related issues of concern to the Forum;

24. *Urges* States members of the governing bodies of the member organizations of the Collaborative Partnership on Forests to help ensure that their forest-related priorities and programmes are integrated and mutually supportive, consistent with their mandates;



25. *Urges* countries and parties interested in the work of the Collaborative Partnership on Forests to support its joint initiatives by making voluntary financial contributions to the respective lead organizations of the Partnership, as appropriate;

**Non-legally binding instrument**

26. *Emphasizes* the importance of strengthening political commitment and action at all levels to implement effectively the sustainable management of all types of forests and to achieve the global objectives set out in the present resolution by requesting the Forum to conclude and adopt at its seventh session a non-legally binding instrument on all types of forests, and, in order to facilitate the work of the Forum in this regard;

27. *Requests* the secretariat of the Forum to circulate to the member States, by 31 July 2006, a compilation of the draft indicative elements and other proposals submitted by members during the sixth session, which are contained in the annex to the present resolution, as well as any further proposals submitted by members by 30 June 2006;

28. *Invites* the member States to provide comments on the compilation circulated by the Forum secretariat by 31 August 2006, and requests the secretariat to circulate these comments to the member States;

29. *Decides* that the Forum should, within its existing resources, convene an open-ended ad hoc expert group for up to five days to consider the content of the non-legally binding instrument to assist the Forum in its deliberations, drawing on the compilation and comments referred to in paragraphs 27 and 28 above; the group should be convened in time to allow its outputs to be made available in all languages before the seventh session of the Forum and should be open to all member States, members of the organizations of the Collaborative Partnership on Forests and representatives of major groups;

30. *Invites* the member States to consider sponsoring country-led initiatives to contribute to the work of the Forum, emphasizing that such initiatives should be open to and facilitate participation by all members of the Forum, as well as members of the Collaborative Partnership on Forests and representatives of major groups;

31. *Invites* member States to contribute to the United Nations Forum on Forests Trust Fund in support of the actions outlined in paragraphs 29 and 30 above;

32. *Decides* that the effectiveness of the international arrangement on forests will be reviewed in 2015 and that on this basis a full range of options will be considered, including a legally binding instrument on all types of forests, strengthening the current arrangement, continuation of the current arrangement and other options;

**Input to the Commission on Sustainable Development**

33. *Decides also* that the Forum should contribute relevant input, as appropriate, to the 2012-2013 cycle of the Commission on Sustainable Development.

*43rd plenary meeting  
28 July 2006*

**Annex****Elements or proposals for a non-legally binding instrument on forests****I. Proposal of the African Group****Elements of a voluntary code/guidelines/international understanding**

1. Enhanced capacity-building mechanisms.
2. Recognition of the global importance of forests.
3. Must facilitate or attract strong political support.
4. Must build on strengthening subregional initiatives.
5. Must provide for technological transfer as a means for achievement of sustainable forest management.
6. Must address the three elements (social, environmental and economic) of sustainable forest management.
7. Should include reference to the role of Major Groups.
8. Should accommodate regional nuances and variations.
9. Should have appropriate institutional arrangements for implementation, including strengthening the role of the Collaborative Partnership on Forests.
10. Clear funding mechanisms to ensure that implementation is facilitated in developing countries.
11. Effective institutional arrangements and working modalities.
12. Enhanced international cooperation and assistance.

**II. Proposal of Australia****Potential elements of a voluntary international instrument to support sustainable forest management****Summary****1. Purpose and preamble**

Including an explanation of context and relationship to other instruments.

**2. Adoption/endorsement****3. Principles and definitions****4. Strategic objectives/goals**

Including reference to agreed international standards and objectives for sustainable forest management.

**5. National policies**

Policies and strategies that are relevant to, and adopted by, the participant country.

Including special requirements for developing countries/economies in transition; cross-sectoral coordination; research.

**6. Means of implementation and modalities**

Including financial arrangements; international and regional cooperation; capacity-building; transfer of environmentally sound technologies; and involvement of major groups and relevant stakeholders.

Assumes institutional arrangements and governance are covered in the relevant Economic and Social Council resolution.

**7. Process for assessment/monitoring/reporting**

**8. Process for information exchange/cooperation/peer review**

**9. Mechanism to review future effectiveness/renewal of the instrument**

**III. Proposal of Brazil**

**International understanding on the management, conservation and sustainable development of all types of forests**

*The United Nations Forum on Forests,*

*Reaffirming* the relevance of the forest-related commitments made in Agenda 21, in the non-legally binding Authoritative Statement of Principles for a Global Consensus on the Management, Conservation and Sustainable Development of All Types of Forests and the Johannesburg Declaration and Plan of Implementation,

*Reaffirming also* the importance of achieving the Millennium Development Goals within their time frame and concerned that some countries may not be in a position to do so in view of lack of adequate financial and technical resources,

*Reaffirming further* the Principles of the Rio Declaration on Environment and Development, in particular those relating to the sovereign right of countries to take advantage of their own resources according to their policies on environment and development as well as to the common but differentiated responsibilities of the countries, based on their historical contribution towards the degradation of the global environment,

*Reaffirming* the decisions of the United Nations Forum on Forests and the proposals for action identified by the Intergovernmental Panel on Forests and the Intergovernmental Forum on Forests, and welcoming ongoing efforts to implement such actions,

*Reaffirming also* Economic and Social Council resolution 2000/35 of 18 October 2000, which stated that the main objective of the international arrangement on forests is to promote the management, conservation and sustainable development of all types of forests and to strengthen long-term political commitment to that end; that the purpose of such an international arrangement would be to promote the implementation of internationally agreed actions on forests at the national, regional and global levels in order to provide a coherent, transparent and participatory global framework for policy implementation, coordination and development and to carry out principal functions, based on the Rio Declaration on Environment and Development, the non-legally binding Authoritative Statement of Principles for a Global Consensus on the Management, Conservation and Sustainable Development of All Types of Forests (Forest Principles), chapter 11 of Agenda 21 and the proposals for action adopted by the Intergovernmental Panel on

Forests and the Intergovernmental Forum on Forests, in a manner consistent with and complementary to existing international legally binding instruments relevant to forests,

*Expressing concern* about continued deforestation and forest degradation and its adverse impact on the livelihoods of over a billion people (including many of the poorest and most vulnerable), and about the need for more effective implementation of actions to facilitate the management, conservation and sustainable development of all types of forests,

*Reaffirming* the United Nations Forum on Forests, with the assistance of the Collaborative Partnership on Forests as the key intergovernmental mechanisms to facilitate and coordinate the implementation of sustainable forest management at the national, regional and global levels, and stressing the importance of their appropriate strengthening,

*Recognizing* that the implementation of policies and measures to promote the management, conservation and sustainable development of all types of forests requires significant technical and institutional capacities and substantive investments,

*Noting* that sufficient new and additional financial resources have yet to be channelled to support national policies and programmes aimed at the conservation, management and sustainable development of forests,

*Convinced* that policies and measures adopted at global, regional, subregional and national levels should enhance the capacity of countries to significantly increase the production of forest products from sustainably managed sources,

*Aware* that States should cooperate to promote a supportive and open international economic system that would lead to economic growth and sustainable development in all countries to better address the problems of environmental degradation and that trade policy measures for environmental purposes should not constitute a means of arbitrary or unjustifiable discrimination or a disguised restriction on international trade,

*Reaffirming* the special needs and requirements of low forest cover countries and other countries with fragile ecosystems,

1. *Decides* to adopt the following International Understanding on the Management, Conservation and Sustainable Development of All Types of Forests (referred to below as the "Understanding") as a voluntary instrument to enhance international cooperation and to support national, regional and subregional policies and measures, within the International Arrangement on Forests and the mandate of the United Nations Forum on Forests;

2. *Decides also* that the International Understanding on the Management, Conservation and Sustainable Development of All Types of Forests is based on the non-legally binding Authoritative Statement of Principles for a Global Consensus on the Management, Conservation and Sustainable Development of All Types of Forests;

3. *Recognizes* in the implementation of the Understanding that:

(a) Each country is responsible for the conservation and sustainable management of its forests and the enforcement of its forest laws, which are essential to achieving sustainable forest management;

(b) International cooperation plays a crucial and catalytic role in reinforcing the efforts of developing countries and countries with economies in transition to improve the management of their forests;

(c) The private sector, forest owners, local and indigenous communities and other stakeholders can contribute to achieving sustainable forest management and should be involved in a transparent and participatory way in decision-making on forests that affects them;

### **Strategic objectives**

4. *Agrees* on the following strategic objectives to be achieved through the implementation of the Understanding:

1. Increase significantly the area of protected and sustainably managed forests and reverse the loss of forest cover around the world;

2. Eradicate poverty in forest areas and improve the quality of life in forest-dependent communities through social and economic policies and measures and sustainable forest management;

3. Reversing the decline in official development assistance allocated to forest-related activities and mobilize significantly increased new and additional financial resources to the implementation of sustainable forest management;

4. Consistently increase the economic value and market share, including for export, of forest products originated from sustainably managed forests and their associated environmental functions;

### **Policies and measures**

5. *Resolves* that the following actions should be developed at global, regional and subregional levels to achieve the above-mentioned strategic objectives:

(a) Initiate or strengthen public-private partnerships with the private sector, civil society organizations and other stakeholders to promote implementation of national forest programmes, criteria and indicators for sustainable forest management, good business practices and improved market transparency;

(b) Promote research and development of forests by means of a network of established centres of excellence in all regions of the world, especially in developing countries;

(c) Promote international cooperation, including South-South cooperation, and the participation of local communities;

(d) Promote long-term political commitments and strengthen existing commitments, which would allow countries to adopt concrete actions in institutional, economic and social fields for the integration of conservation and sustainable forest management within national development policies;

6. *Resolves* that the following actions should be developed at the national level to achieve the above-mentioned strategic objectives:

(a) Formulate, implement, publish and regularly update national programmes containing measures to support and increase sustainable forest management and combat deforestation;

(b) Establish and make public national goals related to strategic objectives (1) to (4) established in paragraph 4 of the Understanding;

(c) Develop, periodically update and make available to the Forum national reports on actions and instruments adopted to achieve the strategic objectives of the Understanding, using comparable methodologies to be agreed upon by the Forum and taking into account reports required by other multilateral environmental agreements;

(d) Countries should seek, through the respective governing bodies of member States of the Collaborative Partnership on Forests, to ensure that their forest-related programmes are consistent with the priorities and are supportive of the actions adopted to implement the Understanding;

(e) Include forests in national poverty reduction strategies and, as appropriate, in strategies to achieve the Millennium Development Goals and to carry out the actions agreed upon in Agenda 21 and the Johannesburg Plan of Implementation, with a view to mobilizing new and additional financial resources for sustainable forest management;

(f) Integrate conservation and sustainable forest management within national development policies;

#### **Means of implementation**

7. *Decides* to develop the following means of implementation:

(a) Secure high-level political commitment and support to provide financial and technical resources to meet the strategic objectives of the present resolution, including by the establishment of a global forest fund, with the aim of providing specific financial resources for achieving the objectives of the Understanding;

(b) Establish a clearing house mechanism to facilitate a better exchange of experiences and good practices and to facilitate access by developing countries to better technology for a sustainable forest management and an increase of in situ value added for forest products;

(c) Promote the transfer of technology to and capacity-building in developing countries to enable them to implement national policies and measures aimed at reversing the loss of forest cover in their territories as well as significantly increase the area of protected and sustainably managed forests;

(d) Invite the Council of the Global Environment Facility to strengthen its role in implementing sustainable forest management by establishing a new operational programme on forests with sufficient additional funds to be allocated by the current replenishment negotiations without prejudice to other operational programmes;

### **Institutional modalities**

8. *Decides also* that the Proposals for Action adopted by the Intergovernmental Panel on Forests and the Intergovernmental Forum on Forests should be fully taken into account in the development of the actions referred to in paragraph 4 above;

9. *Decides further* that the Forum should meet every two years to assess the implementation of this Understanding, review national reports, the provision of financial resources and the adequacy of the modalities for transfer of technology and to provide guidance for further action to achieve the objectives established above;

10. *Decides* that regional and subregional meetings should be held at least every two years to discuss practical steps at that level for the implementation of the Understanding; such meetings which should be conducted by regional or subregional organizations mandated by their member States and acknowledged by the Forum, would be prepared jointly by the designated organizations and the Forum secretariat;

11. *Decides also* that the Forum should continue to encourage and facilitate the participation of stakeholders from all major groups in its work, in an open and transparent way;

12. *Decides further* that the Forum should agree on a multi-year programme of work 2006-2015 and the strengthening of the secretariat to fulfil its mandate;

13. *Decides* that the Forum should review, in 2015, the progress achieved in international cooperation for the conservation, management and sustainable development of all types of forests and should consider ways for further strengthening the international arrangement on forests, taking into account the Intergovernmental Panel on Forests/Intergovernmental Forum on Forests proposals for action as well as Economic and Social Council resolution 2000/35.

## **IV. Proposal of Canada**

### **Possible elements of an international convention on forests**

An international convention on forests should build on the many recommendations produced by the international dialogue of the past 15 years and, at a minimum, should:

(a) Establish the overarching objectives, fundamental principles and definitions that would provide a common understanding of sustainable forest management;

(b) Specify the obligations that parties would undertake to implement sustainable forest management, for example:

- Maintain a national forest estate as a percentage of total area
- Complete, review and update forest inventories
- Develop national frameworks of criteria and indicators for sustainable forest management
- Develop and implement national forest programmes
- Integrate traditional forest-related knowledge in forest management

- Safeguard forests, as appropriate, from fire, insects, diseases, pollution and alien species
- Apply management plans
- Complete networks of protected areas
- Strengthen transparent forest concession allocation systems
- Require environmental impact assessments for projects with likely adverse effects
- Ensure the participation of stakeholders in forest policy decisions
- Encourage industry to develop and use voluntary codes going beyond national legislation
- Support the development of certification schemes that reflect “essential” principles
- Promote research, capacity-building, education and public awareness

(c) Promote cooperation among parties and between parties and international organizations (for example, through agreements);

(d) Consider enhanced access to public and private financial resources and the transfer of environmentally sound technology to help developing countries and countries with economies in transition to meet their obligations (likely a new forest fund);

(e) Establish a compliance regime and dispute settlement process;

(f) Create a permanent governance body with the power to monitor, periodically review and recommend approaches to strengthen the effectiveness of the convention and advance its implementation through the establishment of subsidiary bodies and actions such as programmes of work;

(g) Establish a secretariat to provide coordination;

(h) Create a structure to enable periodic monitoring and reporting on global and regional progress in achieving sustainable forest management as well as peer reviews;

(i) Define its relationship to other international legally binding forest-related agreements.

## **V. Proposal of the European Union**

### **Part A: Strengthening of the International Arrangement on Forests**

- Preambular paragraphs, including reaffirmation of Economic and Social Council resolution 2000/35 of 18 October 2000 (reference to the 2012-2013 cycle of the Commission on Sustainable Development)
- Global goals and national commitments
- Text on multi-year programme of work, including prioritization of implementation and emerging issues
- Means of implementation



- Invitation to the secretariat of the United Nations Forum on Forests, with support of the Collaborative Partnership on Forests members, to develop terms of reference for country reports
- Text on the Forum secretariat
- Text on Collaborative Partnership on Forests, including on its joint initiatives (such as continued work on streamlining forest-related reporting) and on monitoring, assessment and reporting on sustainable forest management (reference to criteria and indicators for sustainable forest management)
- Text on working modalities (periodicity, location, regionalization of meetings of the International Arrangement on Forests)
- Text on interim review (2011) and review (2015), including consideration of the establishment of a legally binding instrument on all types of forests at the latest in the context of the review in 2015
- Discontinuation.

## **Part B: Draft international instrument on all types of forests**

### **Preamble**

*The [subscribing] States,\**

- Reconfirmation of the Rio Declaration, the Forest Principles and the Intergovernmental Panel on Forests/Intergovernmental Forum on Forests proposals for action;
- Recognition of valuable contributions of the Intergovernmental Panel on Forests, the Intergovernmental Forum on Forests, the United Nations Forum on Forests and the Collaborative Partnership on Forests towards building consensus on forest policy and sustainable forest management;
- Reconfirmation of the United Nations Conference on Environment and Development, the World Summit on Sustainable Development, the Millennium Development Goals and the 2005 World Summit Outcome;
- Underscoring of multiple economic, environmental, social and cultural benefits provided by forests;
- Emphasis on contribution of sustainable forest management to sustainable development and to achieving the Millennium Development Goals;
- Expression of concern about continued deforestation and forest degradation;
- Affirmation that the sustainable management of forests is a common concern of humankind;
- Recognition of contribution of regional processes;
- Recognition that the shared global goals are mutually supportive and intersect with the seven thematic elements of sustainable forest management;

---

\* For the European Union, the added value of subscription depends on the level of adherence to and the contents of the international instrument on all types of forests and on negotiations at the sixth session of the United Nations Forum on Forests.

- Desire to enhance and complement existing international arrangements for the sustainable management of forests;
- Determination to sustainable forest management for the benefit of present and future generations;

Have agreed as follows:

## **I. Purpose**

I.1 Purpose of the international instrument on all types of forests is to:

- Strengthen the implementation function of Economic and Social Council resolution 2000/35 of 18 October 2000;
- Strengthen the long-term commitment to sustainable forest management;
- Achieve the global goals;

## **II. Use of terms**

II.1 Definition of terms used for the purposes of the international instrument, including:

- States;
- Regional economic integration organizations;
- Collaborative Partnership on Forests;
- Forests;

## **III. Principles**

III.1 Principles should include:

- National sovereignty over and responsibility for forests;
- Common, but differentiated responsibilities;
- Role of international cooperation in supporting national efforts;
- Recognition of the importance of forest governance;
- Recognition of the role and contribution of the private sector and stakeholders;
- Recognition of the importance of partnerships;

## **IV. Global goals and national commitments**

IV.1 With a view to the achievement of internationally agreed development goals, including the Millennium Development Goals, [subscribing] States agree to achieve, by 2015, the following shared global goals on forests:

### *Goal 1*

[Agreed ad ref.] Reverse the loss of forest cover worldwide through sustainable forest management, including protection, restoration, afforestation and reforestation, and increase efforts to prevent forest degradation;

*Goal 2*

[Agreed ad ref.] Enhance forest-based economic, social and environmental benefits and the contribution of forests to the achievement of internationally agreed development goals, including those contained in the Millennium Declaration, in particular with respect to poverty eradication and environmental sustainability, including by improving the livelihoods of forest dependent people;

*Goal 3*

[Agreed ad ref.] Increase significantly the area of protected forests worldwide and the area of sustainably managed forests and increase the proportion of forest products from sustainably managed forests;

*Goal 4*

[Agreed ad ref.] 1. Reverse the decline in official development assistance for sustainable forest management and mobilize significantly increased new and additional financial resources from all sources for the implementation of sustainable forest management;

IV.2 [Subscribing] States agree to develop national targets contributing to the achievement of the global goals;

**V. National measures**

V.1 In order to achieve sustainable forest management and the global goals and to meet the related national commitments, [Subscribing] States shall:

(a) Develop, further elaborate, where appropriate, and implement national forest programmes or other forest strategies;

(b) Integrate national forest programmes or other forest strategies into national strategies for sustainable development, national action plans in relation to multilateral environmental agreements and, where appropriate, into poverty reduction strategies;

**VI. Strengthening of coordination and international cooperation**

VI.1 [Subscribing] States to cooperate, at the regional and global level, with other [subscribing] States, directly or, where appropriate, through competent international organizations, on matters of mutual interest, for sustainable forest management and the achievement of the global goals;

VI.2 [Subscribing] States to promote cooperation and cross-sectoral policy and programme coordination;

VI.3 [Subscribing] States to involve stakeholders in a transparent and participatory manner in forest decision-making;

**VII. Monitoring, assessment, reporting, and multilateral consultative process**

VII.1 [Subscribing] States to monitor contributions to global goals, the achievement of national targets and the implementation of national forest programmes and other forest strategies and report to the United Nations Forum on Forests;

VII.2 Development of terms of reference for country reports;

VII.3 Establishment of a process for facilitation, peer review and dialogue;

### **VIII. Institutional modalities**

#### *United Nations Forum on Forests/[subscribing] States*

VIII.1 The United Nations Forum on Forests to monitor the implementation of the international instrument, including through monitoring of:

- Mobilization of resources;
- Activities of the Collaborative Partnership on Forests related to the international instrument;
- Cooperation with other forest-related international processes;
- Forest law enforcement, governance and trade;

VIII.2 To identify forest-related priorities;

VIII.3 To consider and adopt amendments to the international instrument;

VIII.4 To consider and undertake any additional action at the international level that may be required for the achievement of the global goals of the international instrument in the light of experience gained;

#### *Regional cooperation*

VIII.5 Work with existing regional bodies related with forests or the Food and Agriculture Organization of the United Nations as Chair of the Collaborative Partnership on Forests, through its Regional Forestry Commissions, to:

- (a) Facilitate and strengthen regional cooperation and close collaboration with relevant regional and subregional organizations and processes and through building regional partnerships;
- (b) Be complementary to and avoid duplication of existing processes;
- (c) Be open to members of the Forum, members of the Collaborative Partnership on Forests, major groups and interested parties;
- (d) [Should be held in alternating years to the Forum];
- (e) Address issues identified in the multi-year programme of work, including through assessing implementation and progress towards achieving the global goals;
- (f) Provide regional input to the Forum;
- (g) Build awareness of the work of the Forum and the agreed Intergovernmental Panel on Forests/Intergovernmental Forum on Forests proposals for action;
- (h) Ensure participation by the Forum secretariat;

*Collaborative Partnership on Forests*

VIII.6 Text explaining the relationship between the international instrument and the Collaborative Partnership on Forests [to be informed by the outcomes of the Forum deliberations];

*Secretariat*

VIII.7 The Forum secretariat shall serve as secretariat of instrument;

VIII.8 Functions of the secretariat;

**IX. Means of implementation**

[to be informed by the outcomes of the deliberations of the Forum]

*Financial resources*

IX.1 [Subscribing] States to:

(a) Strengthen existing forest-related funds hosted by members of the Collaborative Partnership on Forests, including the National Forest Programme Facility, the Programme on Forests and the Bali Partnership Fund, to support national actions to implement sustainable forest management and commit to contribute to them;

(b) Create an effective enabling environment for private sector investment for sustainable forest management;

(c) Develop innovative financial mechanisms for generating revenue or public-private partnerships within context of sustainable forest management;

*Incentives measures*

IX.2 [Subscribing] State shall, as appropriate, adopt economically and socially sound measures that act as incentives for the conservation and sustainable management of forests;

*Research, capacity-building and training and technology transfer*

IX.3 [Subscribing] States to:

(a) Develop, via the International Union of Forest Research Organizations, the Center for International Forestry Research and the World Agroforestry Centre in collaboration with other members of the Collaborative Partnership on Forests, a joint initiative on science and technology to support the implementation of the international instrument by assessing available information and producing reports on forest-related issues;

(b) Promote, via the Food and Agriculture Organization of the United Nations, in collaboration with members of the Collaborative Partnership on Forests, exchange of experiences and good practice, and a clearing house mechanism to facilitate access by developing countries to better technology for sustainable forest management;

IX.4 [Subscribing] States to: promote effective protection, use and related benefit-sharing of traditional knowledge in sustainable forest management;

**[X. Subscription]**

X.1 The international instrument shall be open for subscription by States and regional economic integration organizations through submission of a diplomatic note to the secretariat;

X.2 The secretariat shall serve as an immediate central contact for receiving and announcing the subscription of States or regional economic integration organizations to the international instrument;

X.3 The present international instrument becomes operational [...].

**VI. Proposal of the United States of America****Structure and elements for a Voluntary [?] for Sustainable Forest Management (Codex Sylvanus)**

The subscribing States/Members of the General Assembly of the United Nations:

**1. Preamble**

- Emphasize the multiple benefits provided by forests
- Emphasize the contribution of sustainable forest management to sustainable development and the achievement of the Millennium Development Goals
- Recall the World Summit on Sustainable Development, the Forest Principles, the proposals for action of the Intergovernmental Panel on Forests/Intergovernmental Forum on Forests, the establishment of the International Arrangement on Forests
- Welcome the work of the United Nations Forum on Forests and the Collaborative Partnership on Forests
- Recognize the importance of forest governance and public-private partnerships
- Recognize the importance of international cooperation
- Recognize the importance of political commitment at all levels

**2. Adoption/endorsement of a Codex Sylvanus****3. Principles**

- National sovereignty over forests
- National responsibility for forests
- Role of international cooperation in supporting national efforts
- International obligations
- Contribution of the private sector, communities and other stakeholders
- Importance of cross-sectoral coordination at all levels
- Seven thematic elements of sustainable forest management

**4. Global goals or strategic objectives**

- Reversing forest cover loss
- Promoting forest benefits through sustainable forest management and legally harvested forest products
- Increasing effectively managed protected forest areas
- Mobilizing financial resources — domestic, foreign, public and private

**5. National policies/actions**

- Identify policies and measures for country action
- Strengthen public-private partnerships
- Identify/implement measures to improve cross-sectoral coordination
- Support regional cooperation efforts
- Include forests in national poverty reduction and development strategies
- Promote mutually supportive forest-related programmes of the members of the Collaborative Partnership on Forests

**6. Cooperation and means of implementation**

- Better coordinate existing programmes and processes
- Initiate or strengthen public-private partnerships
- Promote research and development and technology transfer
- Promote international cooperation
- Strengthen regional processes
- Catalyse financial resources
- Facilitate international support, especially through the Collaborative Partnership on Forests
- Forest priorities of the members of the Collaborative Partnership on Forests are mutually supportive

**7. Report and review**

- Report progress on implementation to the United Nations Forum on Forests and the members of the Collaborative Partnership on Forests
- Assess progress/review of effectiveness in 2015

**Annex**  
**List of subscribing States**

*[Mechanism for informing the Secretary-General]*

**VII. Co-Chairs' draft indicative elements for a non-legally binding instrument**

*The following is a list of common elements for an indicative list of elements that could be considered in developing a [instrument/code/guidelines/international understanding]:*

**Context/preamble**

- Recognition of global importance of forests
- Economic, social and environmental benefits
- Principles
- Need for political support
- Recognizing regional differences

**Strategic objectives/goals**

- Same as in resolution

**Policies and measures**

- Strengthening subregional initiatives

**Means of implementation**

- Technology transfer
- Funding mechanism
- Capacity-building
- Enhanced international cooperation and assistance
- Involvement of major groups

**Institutional modalities**

- Review in 2015
- Secretariat of the United Nations Forum on Forests as secretariat for the instrument

**Annex**  
**Proposed list of elements of an understanding/instrument**

**Context/preamble**

- Importance of forests and multiple benefits
- Concern over deforestation and forest degradation
- Sustainable forest management for benefit of present and future generations



- Rio Declaration, Agenda 21, Intergovernmental Panel on Forests/ Intergovernmental Forum on Forests processes
- Role of forests in sustainable development (World Summit on Sustainable Development)
- Contribution of forests to the Millennium Development Goals (2005 World Summit)
- Economic and Social Council resolution 2000/35
- Need to strengthen the International Arrangement on Forests
- Need for adequate means of implementation
- Special needs (developing countries, including least developed countries, small island developing States, landlocked developing States, as well as countries with economies in transition)
- Need for strong political commitment
- Shared global goals/strategic objectives
- National sovereignty over forests
- National responsibility for forests
- International obligations
- Need to reflect regional nuances and variations
- Need for policies and strategies to be relevant to national circumstances
- Role of international cooperation in supporting national efforts
- Importance of dialogue and cooperation at regional and subregional levels
- Importance of cross-sectoral coordination at all levels
- Importance of forest governance
- Contribution of major groups, for example, the private sector, communities and other stakeholders
- Need to address social, environmental and economic aspects of sustainable forest management, using framework of seven thematic elements

**Strategic objectives/goals**

- Same as in resolution

**Policies and measures**

- Identify policy measures for country action through development and implementation of national forestry programme (or equivalent)
- Set national goals
- Integration with other policies (for example, national development plans, poverty reduction strategies)
- Identify/implement measures to improve cross-sectoral coordination

- Strengthen regional and subregional processes
- Strengthen public/private partnerships
- Secure participation of major groups
- Promote mutually supportive forest-related programmes of members of the Collaborative Partnership on Forests

**Means of implementation**

- Official development assistance for forest-related activities
- Mobilizing finance from private, public and voluntary sources
- Enabling environment for investment
- Existing forest-related funds
- Global forest fund
- Innovative financial mechanisms
- Payment for environmental services
- Transfer of environmentally sustainable technology
- Research and development (including clearing house)
- Support to scientific and technological innovations
- Capacity-building
- Tackling illegal forest-related activities/forest law enforcement
- Better coordination of existing programmes and processes
- International cooperation (including South-South cooperation and triangular cooperation)
- Peer review and monitoring, assessment and reporting

**Institutional modalities**

- Secretariat of the United Nations Forum on Forests as secretariat for the instrument
- Submit voluntary national reports to the United Nations Forum on Forests on progress in implementation
- Assess effectiveness of instrument in 2015
- Adoption/subscription