



Proposed elements for guidelines for the operation of the GFFN

(4POW Table 2, Item 3.b – UNFF13)

Annex 2 of background paper
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in consultation with UNFF Secretariat

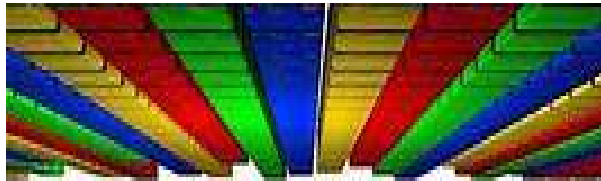
UNFF Expert Meeting
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Existing guidance for GFFN operations in:

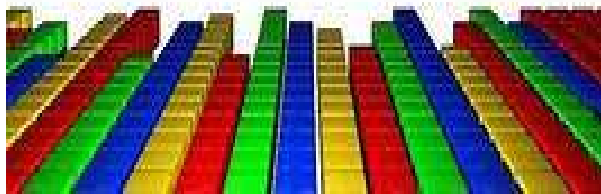


- ECOSOC resolution 2015/33
 - Paras 6.f.iii-iv, 13.a-f, 14.e-f, 15.b, 17.a.iii, 17.b.ii, 20.c
- UN Strategic Plan for Forests 2017-2030
 - Paras 60-63
- UNFF 12 resolution (2 paras)
- Includes guidance on:
 - GFFFN purpose & priorities
 - Special needs of countries
 - Management
 - Partnerships (CPF, GEF, GCF)
 - Use of UNFF Trust Fund resources
 - Monitoring & assessment by Forum

Also useful to take into account:



Guidelines



- Lessons learned from operating GFFFN to date
- Need for transparency & accountability – principles of good financial management
- Benefits of clear & concise set of guidelines:
 - Reflect “facilitative” nature & modest scale of GFFFN (not a project fund)
 - Can be understood by a variety of users

Based on these considerations Propose GFFN guidelines address 12 elements



1. Purpose
2. Priorities
3. Principles
4. Management
5. Eligibility
6. Procedures
7. Partnerships
8. Clearinghouse
9. Funding & resource mobilization
10. Reporting & communication
11. Monitoring & evaluation
12. Review

1. Purpose	<ul style="list-style-type: none"> • As set out in UNSPF (<i>para 60</i>)
2. Priorities	<ul style="list-style-type: none"> • As set out in UNSPF (<i>para 60</i>)
3. Principles	<ul style="list-style-type: none"> • GFFFN operates under UNFF auspices and is accountable to the Forum • GFFFN operations are consistent with applicable UN rules (<i>DESA</i>) • GFFFN priorities carried out in balanced, effective & transparent manner (<i>UNFF12</i>) • Special consideration given to special needs of developing countries & economies in transition in accessing funds (<i>UNSPF, E/2015/33</i>) • Effective functioning of GFFFN depends on adequate & predictable funding
4. Management	<ul style="list-style-type: none"> • UNFFS is GFFFN manager and will have the capacity needed to manage GFFFN effectively (<i>E/2015/33</i>)

5. Eligibility	All UNFF developing countries & economies in transition are eligible to request assistance through GFFFN
6. Procedures	<p><u>Requests for assistance by Member States:</u></p> <ul style="list-style-type: none"> • Country will submit requests from Minister & where appropriate through diplomatic channels • UNFFS will respond in timely manner, advises on consistency of request with GFFFN priorities & on availability of funds • If funds are available, UNFFS and partner country will agree on specific terms of assistance (activities, responsibilities, timeframe, outputs, etc.) <p><u>Allocation of GFFFN funds:</u></p> <ul style="list-style-type: none"> • Funds will be allocated on a 1st come basis • If sufficient funds are not available, requests will go on waiting list in the order received • Disbursement & accounting of funds will follow UN procedures

7. Partnerships	<ul style="list-style-type: none"> • UNFFS will collaborate with GEF, other CPF members, GCF, donor countries, sub/regional orgs. to facilitate GFFFN operations (<i>E/2015/33</i>) • Where possible, these partnerships will also aim to strengthen collaboration at national level (<i>E/2015/33</i>)
8. Clearinghouse (GFFFN priority 3)	<ul style="list-style-type: none"> • UNFFS will develop an online clearinghouse in consultation with CPF members, building on existing databases, to provide: <ul style="list-style-type: none"> ○ Database of financial flows for SFM ○ Interactive platform for exchange of data & experiences among users ○ Source of data to assess progress towards GFG4 & SDG15.b • UNFFS will establish a network of regular data providers to help maintain clearinghouse

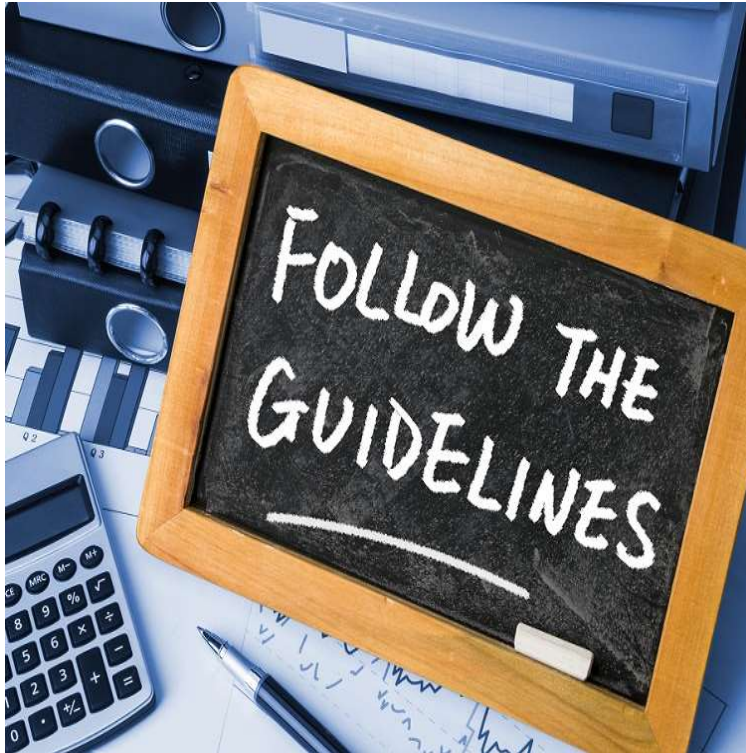
9. Funding & Resource mobilization	<ul style="list-style-type: none"> • MSs encouraged to contribute to UNFF Trust Fund to support GFFFN activities (<i>UNSPF, UNFF12</i>) • UNFFS will work with CPF members to identify opportunities to leverage co-financing for GFFFN • UNFFS will explore funding opportunities with UN technical programs, donor countries/NGOs, private sector, etc.
10. Reporting & Communication (transparency)	<p>UNFFS will:</p> <ul style="list-style-type: none"> • Report annually to Forum on GFFFN activities, performance & finances (<i>4POW 2017-2020</i>) • Inform donors, as requested, on use of their earmarked contributions to UNFF Trust Fund for GFFFN • Post regular GFFFN updates on UNFF website • Highlight GFFFN in Forum’s communication & outreach strategy (to be considered at UNFF13)

<p>11. Monitoring & Evaluation</p>	<ul style="list-style-type: none"> • Forum, during odd-year sessions, will: <ul style="list-style-type: none"> ○ Monitor/assess GFFFN performance ○ Review availability of Trust Fund & other resources for GFFFN activities ○ Review consistency of GFFFN operations with GFFFN Guidelines (as adopted by UNFF13) <i>(UNSPF, E/2015/33)</i> • UNFFS will arrange for independent evaluation of GFFFN operations every 4 years at end of each 4POW, beginning in 2020
<p>12. Review</p>	<ul style="list-style-type: none"> • Forum will review GFFFN Guidelines in 2024 as part of mid-term review of effectiveness of IAF (including effectiveness of GFFFN)

Recap - 12 proposed elements aim to:



- Build on existing guidance - E/2015/33, UNSPF, UNFF12
- Take into account GFFFN experiences to date
- Provide for transparency & accountability
- Provide clear & concise guidance for users



**Thank you
for your
attention!**